# Optimal Control and Optimization for KAGRA Vibration Isolation system

Tsang Terrence Tak Lun

## Overview

Part I: Introduction, Definitions, and Problem Formulation

Today 2020/08/19

Part II: Optimal Control Using H₂ and H∞ Synthesis

Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

Part IV: Optimal Complementary Filters

\_\_\_\_\_ Next meeting

Part V: Model Reference Diagonalization



Part VI: File Management System/Data Pipeline for Suspension Models, both simulated and regressed.

## Progress

Part I: Introduction, Definitions, and Problem Formulation

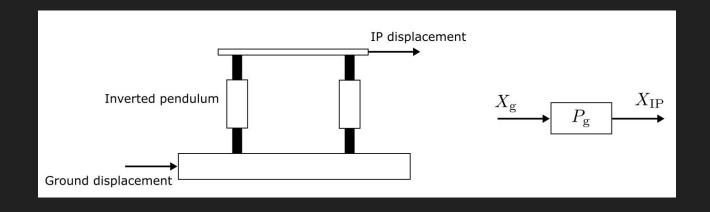
Part II: Optimal Control Using H₂ and H∞ Synthesis

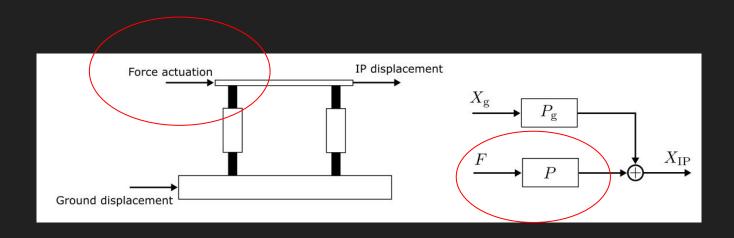
Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

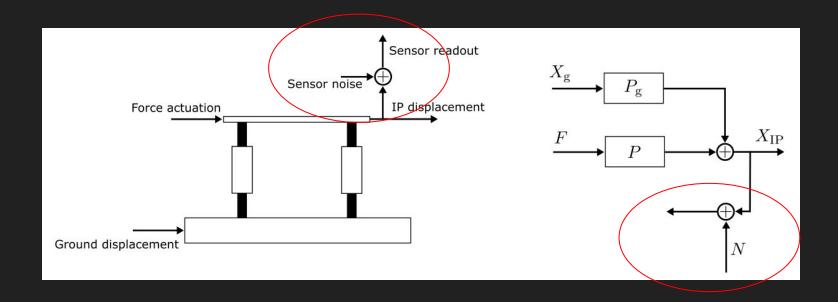
Part IV: Optimal Complementary Filters

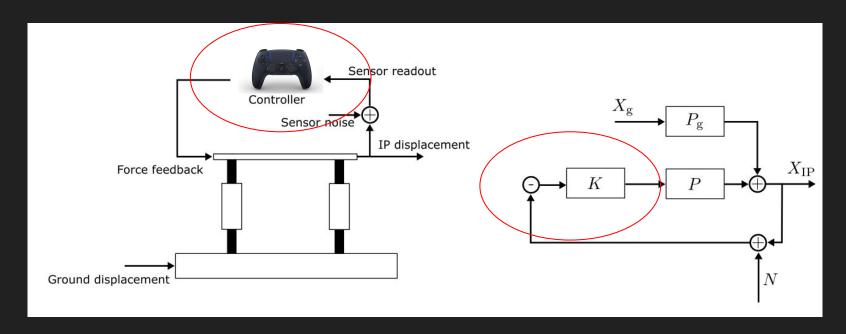
Part V: Model Reference Diagonalization

Part VI: File Management System/Data Pipeline for Suspension Models, both Simulation and regressed.

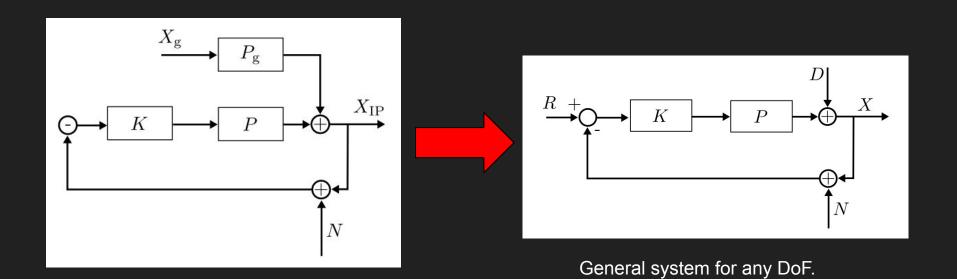




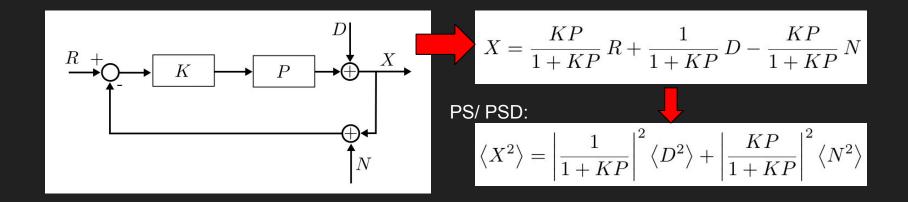




## Generalization



# Displacement



## Problem statement

Disturbance, external, cannot be reduced, but can be induced.

Displacement that we want to minimize (Goal)

$$\left\langle X^{2}\right\rangle =\left|\frac{1}{1+KP}\right|^{2}\left\langle D^{2}\right\rangle +\left|\frac{KP}{1+KP}\right|^{2}\left\langle N^{2}\right\rangle$$

Noise, can be reduced, but exist limitations.

Plant, mechanical, fixed

Control filter, can be whatever we want (almost)

→ Into optimal control

## Progress

Part I: Introduction, Definitions, and Problem Formulation

Part II: Optimal Control Using H₂ and H∞ Synthesis

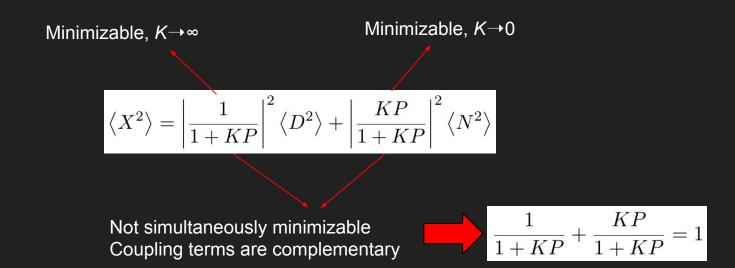
Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

Part IV: Optimal Complementary Filters

Part V: Model Reference Diagonalization

Part VI: File Management System/Data Pipeline for Suspension Models, both Simulation and regressed.

## Fundamental Limitation in Control System



# Optimization

$$\left\langle X^{2}\right\rangle =\left|\frac{1}{1+KP}\right|^{2}\left\langle D^{2}\right\rangle +\left|\frac{KP}{1+KP}\right|^{2}\left\langle N^{2}\right\rangle$$

Simple observation: It is a positive definite function.

- $\rightarrow$  It must be minimizable by some optimal controller K, given some disturbance D and noise N.
- → → Optimization

# Optimization Interlude

I mean mathematical optimization.

→ Minimization of a cost function by choosing the critical parameters within an allowed set.

### For example,

Cost function:

$$J(x) = x^2$$

Allowed set:

$$x \in \mathbb{R}$$

In a compact way

Minimization:

$$\min J(x) = 0, \quad x^* = 0$$

$$x^* = \operatorname*{arg\,min}_{x \in \mathbb{R}} \left( J(x) \right) = 0$$

## Optimal Control

→ Find optimal controller that minimizes a cost function.

Some cost functions to be minimized:

E.g.,

The integrated RMS/expected RMS: 
$$J_2=\int_0^\infty \left\langle X^2 \right\rangle\,df$$
 \_\_\_\_\_\_ 2-norm of the system

The maximum of the displacement spectrum:

$$J_{\infty} = \max \left\langle X^2 
ight
angle$$
  $ightharpoons$  -norm of the system

We can choose controllers however we like.

But, the system has to be stable.

I.e. The controllers/system must be within some mathematical set.

# H<sub>2</sub>/H<sub>∞</sub> Optimal Controller

Letter "H" comes from the mathematical space the optimization takes place, namely, <u>Hardy space</u>.

Hardy space contains all possible stable systems.

In a nutshell, H<sub>2</sub>/H<sub>∞</sub> optimal controllers are:

H<sub>2</sub> optimal controller: 
$$K_{\mathcal{H}_2} = \arg\min_{K \in S} \int_0^\infty \langle X^2 \rangle \ df$$

H∞ optimal controller: 
$$K_{\mathcal{H}_{\infty}} = \operatorname*{arg\,min}_{K \in S} \left( \max \left\langle X^2 \right\rangle \right)$$

 $S: \{All possible controllers such that the system is stable\}$ 

# Why H∞?

Some weighting filter/function according to requirements

$$J_{\infty} = \max \langle X^2 \rangle$$

$$J_{\infty} = \max \left( \langle X^2 \rangle |W_X|^2 \right)$$

Only minimizes the dominating peaks, e.g. resonances.

- → Trade-off between seismic noise suppression and control noise attenuation.
- →→ Maximizing hardware potential to suppress seismic noise while meeting noise requirement.

## Some Benefits of Optimization-based Approaches

Form of cost function is not limited, we can even add actuation signal as part of the cost function so it doesn't saturate.

E.g.

$$J_{\infty} = \max\left(\left\langle X^{2}\right\rangle \left|W_{X}\right|^{2} + \left\langle F^{2}\right\rangle \left|W_{F}\right|^{2}\right)$$

Displacement spectrum

Actuation signal spectrum

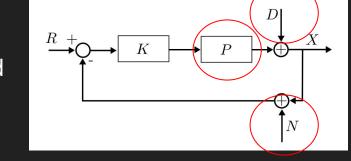
→ Trade-off between suppression and actuation signal

## Things to Do

Generating H₂ and H∞ optimal filters are extremely easy, only if

- We have precisely modelled the plant,
- 2. We have precisely modelled the disturbance, and
- 3. We have precisely modelled the noise.

But, those were never done in a systematic manner.



Of course, I can do those stages by stage, suspension by suspension.

But, wouldn't it be nice if we can have a data analysis pipeline that automates the workflow? → Part VI of my presentation.

## **Fundamental Limitations**

Having optimal controllers is only minimizing the disturbance and noise coupling to the displacement.

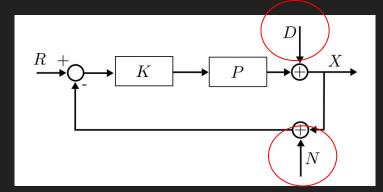
True limitations are the disturbances and noises.

Therefore, before doing optimal control, it is necessary to reduce the disturbance

and noise level as much as possible.

Remains to be another discussion

→ Part III, IV, V of my presentation.



# 2020/08/28

## Overview

Part I: Introduction, Definitions, and Problem Formulation

Done 2020/08/19

Part II: Optimal Control Using H₂ and H∞ Synthesis

Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

Part IV: Optimal Complementary Filters

Today 2020/08/28 Next meeting

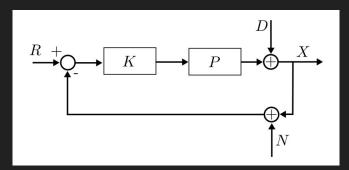
Part V: Model Reference Diagonalization

Or Next Next meeting

Part VI: File Management System/Data Pipeline for Suspension Models, both simulated and regressed.

# Recap

#### General model for a DoF



Displacement PSD, The quantity that we want to minimize

$$\left\langle X^{2}\right\rangle =\left|\frac{1}{1+KP}\right|^{2}\left\langle D^{2}\right\rangle +\left|\frac{KP}{1+KP}\right|^{2}\left\langle N^{2}\right\rangle$$

#### Cost function and optimization

$$J(x) = x^2$$

$$x^* = \operatorname*{arg\,min}_{x \in \mathbb{R}} \left( J(x) \right) = 0$$

### H<sub>2</sub> and H<sub>∞</sub> optimal controller

$$K_{\mathcal{H}_2} = \operatorname*{arg\,min}_{K \in S} \int_0^\infty \left\langle X^2 \right\rangle \, df$$

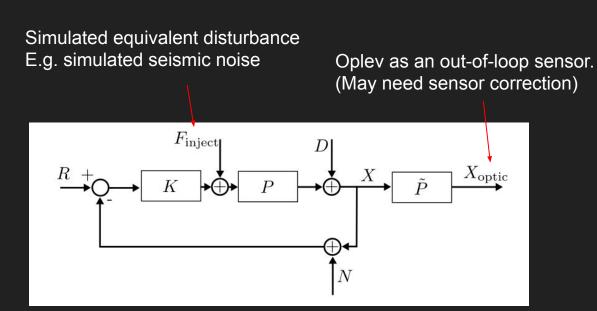
$$K_{\mathcal{H}_{\infty}} = \operatorname*{arg\,min}_{K \in S} \left( \max \left\langle X^2 \right\rangle \right)$$

 $S: \{All possible controllers such that the system is stable\}$ 

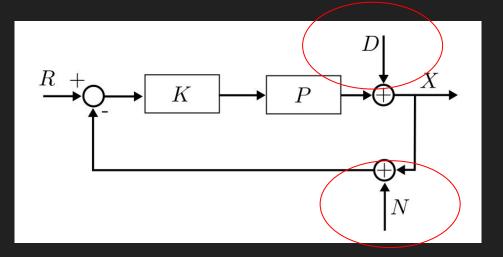
# Testing and Evaluating Optimal Controllers

- 1. Wait when actual disturbance is small.
- 2. Pick a disturbance model, e.g. 90th percentile seismic noise.
- 3. Synthesize controller accordingly.
- Inject the modeled disturbance to the system, which mimics the actual disturbance.
- 5. Measure  $\frac{X_{\text{optic}}}{F_{\text{inject}}}$

With/without control and with previous controller.



## Disturbance and Noise Limitation



$$\left\langle X^{2}\right\rangle =\left|\frac{1}{1+KP}\right|^{2}\left\langle D^{2}\right\rangle +\left|\frac{KP}{1+KP}\right|^{2}\left\langle N^{2}\right\rangle$$

Limitations

## Progress

Part I: Introduction, Definitions, and Problem Formulation

Part II: Optimal Control Using H₂ and H∞ Synthesis

Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

Part IV: Optimal Complementary Filters

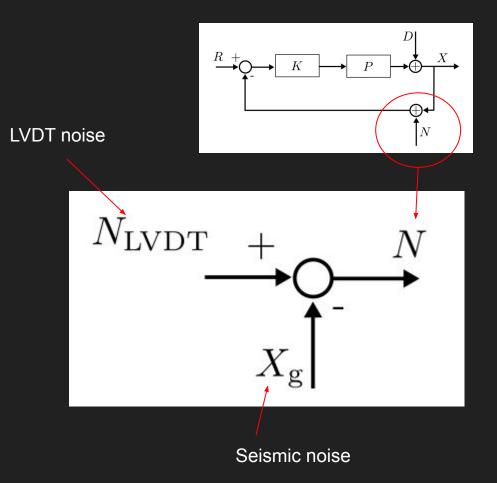
Part V: Model Reference Diagonalization

Part VI: File Management System/Data Pipeline for Suspension Models, both Simulation and regressed.

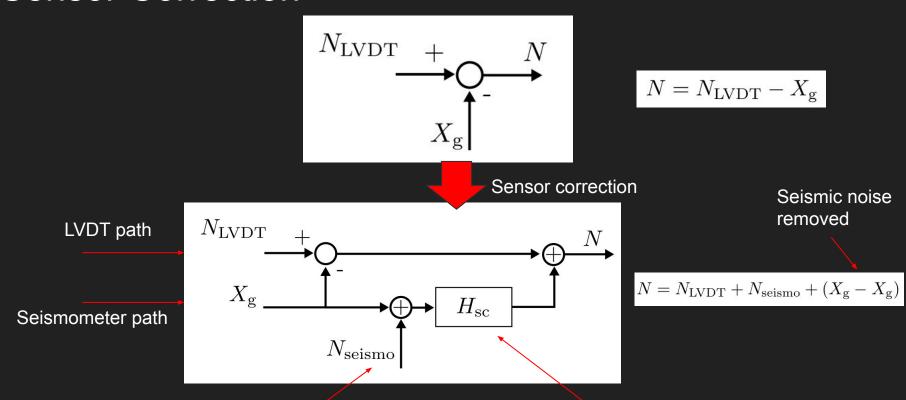
## Problem with LVDT

Senses relative displacement

- → Coupled with ground motion.
- →→ Cannot actively suppress seismic noise without injecting it back to the system.



## **Sensor Correction**



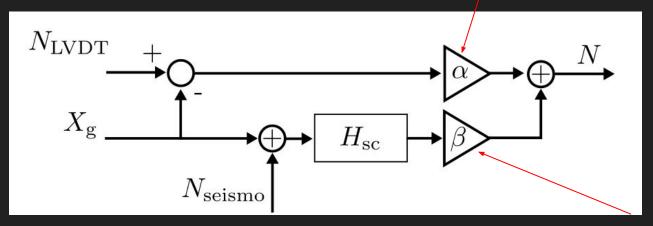
Seismometer noise

Sensor correction filter, =1 for now

28

## Inter-calibration Mismatch

Unknown calibration mismatch, fixed by LVDT calibration factor



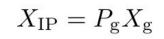
$$N = N_{
m LVDT} + N_{
m seismo} + (\beta X_{
m g} - \alpha X_{
m g})$$

Sensor correction gain.

# Measuring Alpha (A suboptimal way)

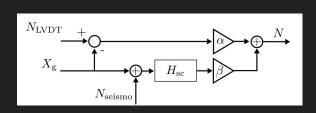
LVDT readout:

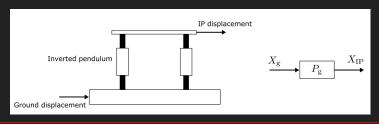
$$X_{\mathrm{IP}} + N_{\mathrm{LVDT}} - \alpha X_{\mathrm{g}}$$





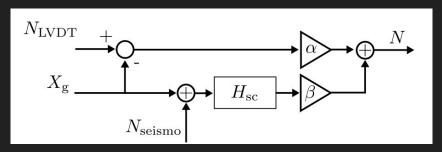
$$\beta \approx \alpha \pm |P_{\rm g}|$$





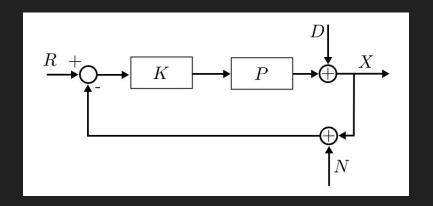
Unwanted bias

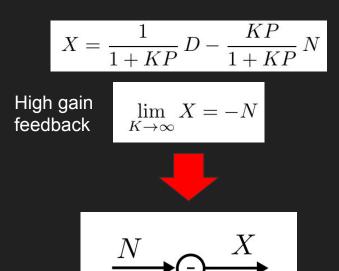
# Find Sensor Correction Gain using Optimization



$$J(\beta) = \left\langle N^2 \right\rangle = (\beta - \alpha)^2 \left\langle X_{\rm g}^2 \right\rangle + \dots$$
$$\beta^* = \operatorname*{arg\,min}_{\beta \in \mathbb{R}} J(\beta) = \alpha$$

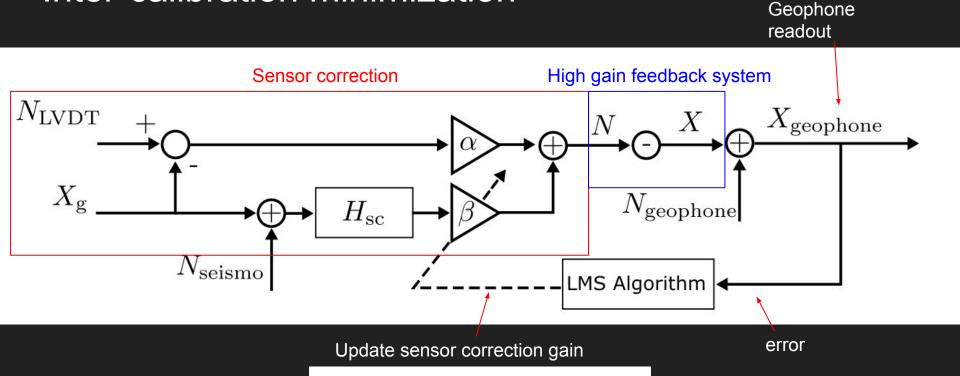
# Measuring Sensor Noise





Can be measured by geophones!

## Inter-calibration minimization



mean-square error =  $(\beta - \alpha)^2 \langle X_g^2 \rangle + \dots$ 

33

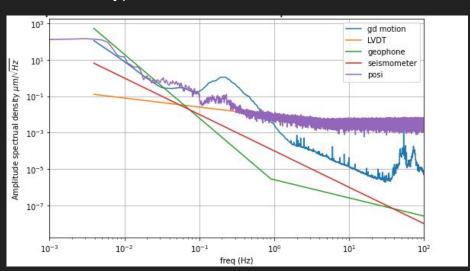
## **Simulation Condition**

Controller K = 1/P \* (lowpass) \* 1000

In time domain, simulate inverted pendulum displacement with typical noises.

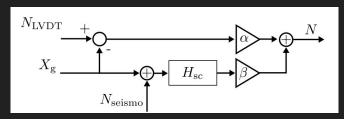
At each time step, we update the sensor correction gain using LMS algorithm

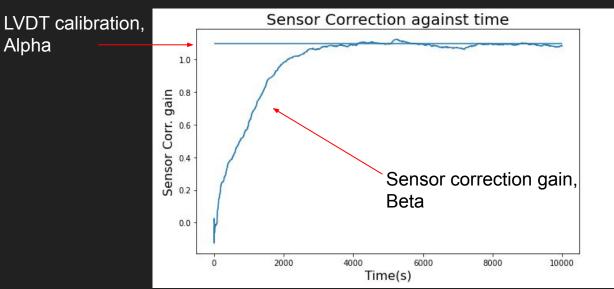
#### Typical noises



Credits: Lam Yee Ching (Jason)
Undergraduate at my university (CUHK)

## Simulation Result





Credits: Lam Yee Ching (Jason)
Undergraduate at my university (CUHK)

## Discussion and limitations

- I don't know if this works with the real suspension
  - Is actuation going to saturate? What would happen?
  - Only works when seismic noise dominates other noises.
  - Many things to tweak, e.g update rate of LMS algorithm.
  - Original sensor correction filter with 3x peak noise amplification didn't work.
  - Need to shape very good highpass for geophone.

## Progress

Part I: Introduction, Definitions, and Problem Formulation

Part II: Optimal Control Using H₂ and H∞ Synthesis

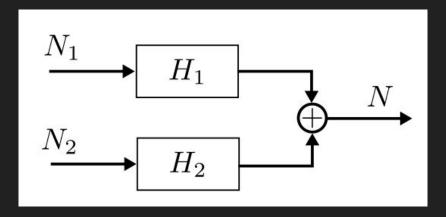
Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

Part IV: Optimal Complementary Filters — 一石二鳥!

Part V: Model Reference Diagonalization

Part VI: File Management System/Data Pipeline for Suspension Models, both Simulation and regressed.

# Complementary Filter



## Progress

Part I: Introduction, Definitions, and Problem Formulation

Part II: Optimal Control Using H₂ and H∞ Synthesis

Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

Part IV: Optimal Complementary Filters

Part V: Model Reference Diagonalization

Part VI: File Management System/Data Pipeline for Suspension Models, both Simulation and regressed.

## Progress

Part I: Introduction, Definitions, and Problem Formulation

Part II: Optimal Control Using H₂ and H∞ Synthesis

Part III: Sensor Correction Filter Optimization, and Inter-calibration Minimization.

Part IV: Optimal Complementary Filters

Part V: Model Reference Diagonalization

Part VI: File Management System/Data Pipeline for Suspension Models, both Simulation and regressed.