

Suspension Dynamics Modeling for (TAMA and) LIGO and KAGRA

Mark Barton July GW Seminar 7/24/15



Prehistory

- Started modeling the Xpendulum I developed for TAMA300 at ICRR 1993-7.
- Originally Mathematica v2.2 (now v10.1)!



★ > MBP15 HD > Users > mbarton > Work > TAMA > Calculations > 2DX Analysis > 1stPrototype >							
Name	^	Date Modified		Kind	Size	Access	
🔮 2DX	OrigOpt.m 🕒	Wednesday, June 5, 1996	at 12:55 PM	Objeccode	254.4 KB	-rwxrwx (777)	



Glasgow Matlab Models

- Calum Torrie modeled the GEO triple as part of his PhD (LIGO-P000040-v1).
- Lots of hand-coded Matlab hard to check and hard to modify. Also lots of simplifications to the physics.
- Ken Strain extended Calum's code to model first aLIGO QUAD prototype.
- These were being used to design aLIGO suspensions.
- Needed something to compare these models against and allow for future improvements.



KAGRA The Mathematica Toolkit, PendUtil.nb

- The X-pendulum model was developed into a general toolkit. •
- Implemented as a Mathematica "package", PendUtil.nb, for • specifying different configurations (e.g., quad, triple etc) in a (relatively) user-friendly way
- Supported features: •
 - 6-DOF rigid bodies for masses (no internal modes)
 - Springs described by an elasticity tensor and a vector of pre-load forces
 - Massless wires (i.e., no violin modes) but detailed elasticity model from beam equation
 - Arbitrary frequency-dependent damping on all sources of elasticity
 - Symbolic up to the point of minimizing the potential to find the equilibrium position
 - Calculates elasticity and mass matrices semi-numerically (symbolic partial derivatives of functions with mostly numeric coefficients)
 - Eigenfrequencies and eigenmodes calculated numerically
 - Arbitrary frequency dependent damping on each different elastic element
 - Transfer functions
 - Thermal noise plots
 - Export of state-space matrices to Matlab and E2E

KAGRA Based on standard method of mass/stiffness matrices

• Express the potential energy of the system in terms of the coordinates:

$$E_P = E_P(x_1, \dots x_n) = E_P(\mathbf{x})$$

• Express the kinetic energy of the system in terms of the coordinates and velocities:

$$E_K = E_K \left(x_1, \dots x_n, \dot{x}_1, \dots \dot{x}_n \right)$$

• Minimize the potential energy to find the equilibrium values of the coordinates.

$$\mathbf{x}_{eq} = \left(x_{1(eq)}, \dots x_{n(eq)}\right)^{\mathrm{T}}$$

• Compute the matrix of second derivatives of potential, a.k.a., the potential energy matrix or the stiffness matrix.

$$E_{P} = E_{P} \left(\mathbf{x}_{eq} \right) + \frac{1}{2} \left(\mathbf{x} - \mathbf{x}_{eq} \right)^{\mathrm{T}} \mathbf{K} \left(\mathbf{x} - \mathbf{x}_{eq} \right) \qquad \mathbf{K} : K_{ij} = \frac{\partial E_{P}}{\partial x_{i} \partial x_{j}} \Big|_{\mathbf{x} = \mathbf{x}}$$

 Compute the matrix of second derivatives of kinetic energy, a.k.a., the kinetic energy matrix or the mass matrix:

$$E_{K} = \frac{1}{2} \dot{\mathbf{x}}^{\mathrm{T}} \mathbf{M} \dot{\mathbf{x}} \qquad \mathbf{M} : M_{ij} = \frac{\partial E_{K}}{\partial \dot{x}_{i} \partial \dot{x}_{j}} \Big|_{\substack{\dot{\mathbf{x}} = 0\\ \mathbf{x} = \mathbf{x}_{eq}}}$$

 Write matrix equations of motion and solve for particular numerical frequencies using

$$\dot{\mathbf{x}} = i\omega\mathbf{x} = 2\pi i f \mathbf{x}$$
 $\ddot{\mathbf{x}} = -(2\pi f)^2 \mathbf{x}$
 $\mathbf{M}\ddot{\mathbf{x}} = -\mathbf{K}\mathbf{x} + \mathbf{C}\mathbf{x}_{external} + \mathbf{f}_{external}$

$$\mathbf{x} = \left(\mathbf{K} - (2\pi f)^2 \mathbf{M}\right)^{-1} \left(\mathbf{C}\mathbf{x}_{external} + \mathbf{f}_{external}\right)$$

Or, assume a sinusoidal solution with no external forces

$$\mathbf{K}\mathbf{e}_i = \boldsymbol{\omega}_i^2 \mathbf{M}\mathbf{e}_i$$

Do a simultaneous diagonalization to obtain the eigenfrequencies $f_i = \omega_i/2\pi$ and eigenmodes \mathbf{e}_i :

$$\mathbf{x}_i(t) = \mathbf{x}_{eq} + \mathbf{e}_i e^{\omega_i t}$$

See Goldstein, "Classical Mechanics", 3rd Ed.



Rigid-body mass coordinates

- Six coordinates for center of mass (COM): x, y, z, yaw (Y), pitch (P), roll (R)
- Three extra "body" coordinates for non-COM points such as wire attachments



• The model definer needs to provide a list of the COM coordinates of all the masses.



Kinetic energy

• There is typically a linear term in terms of mass *m* plus angular term in terms of moment of inertia tensor *I*:

$$E_{K} = \frac{1}{2}m(\dot{x}^{2} + \dot{y}^{2} + \dot{z}^{2}) + \frac{1}{2}\left(\begin{array}{ccc}\omega_{x} & \omega_{y} & \omega_{z}\end{array}\right)\left(\begin{array}{ccc}I_{xx} & I_{xy} & I_{zx}\\I_{xy} & I_{yy} & I_{yz}\\I_{xz} & I_{yz} & I_{zz}\end{array}\right)\left(\begin{array}{ccc}\omega_{x}\\\omega_{y}\\\omega_{z}\end{array}\right)$$

• *I* is in body coordinates so the angular velocity vector needs to be transformed:

$$\begin{pmatrix} \boldsymbol{\omega}_{x} \\ \boldsymbol{\omega}_{y} \\ \boldsymbol{\omega}_{z} \end{pmatrix} = \begin{pmatrix} -\sin P & 0 & 1 \\ \cos P \sin R & \cos R & 0 \\ \cos P \cos R & -\sin R & 0 \end{pmatrix} \begin{pmatrix} \dot{Y} \\ \dot{P} \\ \dot{R} \end{pmatrix}$$

• The model definer needs to supply a Mathematica expression for the kinetic energy for all masses, with terms similar to the above.



Gravitational energy

$$E_G = mgz$$

 The model definer has to supply a Mathematica expression giving the total gravitational potential for all masses.



Wire energy

- ۲ terms:
 - Longitudinal stretch based on $E_{P(wire_longitudinal)} = \frac{1}{2}k_w(l(t)-l_0)^2$ straight-line distance
 - Bending near the endpoints
 - Extra longitudinal stretch due to bending
 - $E_{P(wire_torsional)} = \frac{1}{2} \left(\frac{TJ}{A} + GJ_e \right) \Delta \theta_T^2$ – Torsion
- Model definer needs to supply ۲ a list of parameters for each wire, including Young's modulus, length, moment of area, attachment points, etc, etc.





Flexure correction

- Wire bending terms use some complicated 3D geometry and are slow to calculate.
- It turns out there's a shortcut: the wire behaves as if attached with a hinge at a distance a from actual break-off point, where

$$a = \sqrt{\frac{EI}{T}}$$



- E=Young's modulus, I = second moment of area, T = tension
- This trick was not used in the Mathematica toolkit but has been used in the exported Matlab code.



Springs

- Simple zero-length spring model connecting a point on one mass to a point on another.
- 6x6 matrix of elastic constants plus a 6x1 vector of preload forces:

$$E_{P(spring)} = \frac{1}{2} \left(\begin{array}{ccccc} \Delta x & \Delta y & \Delta z & \Delta Y & \Delta P & \Delta R \end{array} \right) \left(\begin{array}{ccccc} K_{xx} & K_{xy} & K_{xz} & K_{xy} & K_{xx} & K_{xy} & K_{xx} \\ K_{xy} & K_{yy} & K_{yz} & K_{yy} & K_{yp} & K_{yp} \\ K_{xz} & K_{yz} & K_{yz} & K_{zy} & K_{zp} & K_{zp} \\ K_{xy} & K_{yy} & K_{zy} & K_{zp} & K_{yp} & K_{zp} \\ K_{xy} & K_{yy} & K_{zp} & K_{yp} & K_{pp} & K_{pp} \\ K_{xR} & K_{yR} & K_{yR} & K_{zR} & K_{yR} & K_{RR} \end{array} \right) \left(\begin{array}{c} \Delta x \\ \Delta y \\ \Delta z \\ \Delta Y \\ \Delta P \\ \Delta R \end{array} \right) + \left(\begin{array}{c} f_x & f_y & f_z & f_y & f_p & f_R \\ f_x & f_y & f_z & f_y & f_p & f_R \end{array} \right) \left(\begin{array}{c} \Delta x \\ \Delta y \\ \Delta z \\ \Delta Y \\ \Delta P \\ \Delta P \\ \Delta R \end{array} \right)$$

 Model definer has to provide a list of springs with masses, attachment points and elastic constant and pre-load information.



Extra coordinates

- As well as the coordinates used in the normal mode analysis ("vars"), two other sorts are important:
 - "params" the support and other objects which are stationary during normal modes but move for transfer functions
 - "floats" things like wirespring junctions with no associated mass





KAGRA Stiffness matrix for extra coordinates

It is convenient to calculate a master stiffness matrix with partial ٠ derivatives between all types of coordinates:

$$\mathbf{K}_{master} = \begin{pmatrix} \mathbf{K} & \mathbf{C}_{XQ} & \mathbf{C}_{XS} \\ \mathbf{C}_{QX} & \mathbf{Q} & \mathbf{C}_{QS} \\ \mathbf{C}_{SX} & \mathbf{C}_{SQ} & \mathbf{S} \end{pmatrix}$$
"vars"
"floats"
"params"

- K, Q and S give the coupling among vars, floats and params within their • respective groups.
- C_{xo} , C_{os} and C_{sx} give the coupling between groups.
- Because the "floats" are dependent on the others, they need to be • eliminated:

 $\mathbf{K}_{effective} = \mathbf{K} - \mathbf{C}_{XQ} \mathbf{Q}^{-1} \mathbf{C}_{QX} \qquad \mathbf{C}_{SX(effective)} = \mathbf{C}_{SX} - \mathbf{C}_{SQ} \mathbf{Q}^{-1} \mathbf{C}_{QX} \qquad \mathbf{S}_{effective} = \mathbf{S} - \mathbf{C}_{SQ} \mathbf{Q}^{-1} \mathbf{C}_{QS}$



Damping

• Lossiness in elastic components can be represented by a complex elastic constant:

 $k \to k_0 \big(\varepsilon'(\omega) + i \varepsilon''(\omega) \big)$

 The real and imaginary parts should satisfy the Krämers Krönig relationship:

$$\varepsilon'(\omega) - 1 = \frac{2}{\pi} PV \int_{-\infty}^{\infty} \frac{\varepsilon''(x)}{x - \omega} dx \qquad \varepsilon''(\omega) = -\frac{2}{\pi} PV \int_{-\infty}^{\infty} \frac{\varepsilon'(x) - 1}{x - \omega} dx$$

- If losses are small, the real part can be assumed to be constant: $k \rightarrow k_0(1+i\phi(f))$
- The model definer can specify a different damping function for each elastic component.
- The toolkit keeps track of which damping function applies to which coefficients in the master stiffness matrix.



Wire/Fibre damping

• Wire ϕ usually has a frequencyindependent "structural" term and a frequency dependent "thermoelastic term.



 Thermoelastic damping has a characteristic peak at the timescale of heat flow across the wire.



Thermal Noise

- Suspension thermal noise is a potential limiting factor in GW detectors.
- Noise is given in terms of damping by Fluctuation Dissipation Theorem:

$$x^{2}(\omega) = \frac{4k_{B}T\operatorname{Re}(Y(\omega))}{\omega^{2}}$$

• Y is the admittance (v/F) at a test point where the thermal noise is to be calculated.



Dissipation Dilution (i)

- In a simple mass-spring system, the quality factor of the oscillation depends purely on the spring material: $Q = \frac{1}{\phi}$
- However systems such as pendulums and wires are used in GW detectors because with certain geometries, the damping (dissipation of energy) is "diluted": $Q = \frac{D}{\phi_{maximal}} \gg \frac{1}{\phi_{maximal}}$



Dissipation Dilution (ii)

- The dissipation dilution factor *D* depends on the fraction of the energy involved in first-order stress changes of the material.
- Pulling a pendulum or violin string sideways creates only a second-order or smaller stretch -> dilution.
- Quick test: calculate the contribution to the potential matrix for each potential term twice, once with and without all tensions zeroed.





Calculation Procedure (i)

- The model calculation notebook is run.
- It loads the model definition notebook, which loads the toolkit, the model definition, and a default set of numerical values.
- The calculation notebook can then selectively override some of the numerical values.
- The wire and spring lists are processed to create a list of potential terms, each with a damping function.
- The total potential is computed, with numerical values for all quantities except the "var" coordinates. (Usually the wire bending potential terms are omitted for speed.)
- The total potential is minimized to find the equilibrium position.



Calculation Procedure (ii)

- Numerical values for everything but the coordinates are substituted into the potential terms.
- The potential terms are differentiated to find the stiffness matrix elements. Each term is processed separately for two reasons:
 - for speed (each term depends on only a few coordinates, so most derivatives are zero and don't need to be computed)
 - to keep contributions with different damping functions separate.
- The process is repeated with the tension switched off, to allow dissipation dilution to be calculated.



Calculation Procedure (iii)

- Versions of the stiffness matrix with and without damping are calculated.
- The stiffness matrix without damping (a totally numerical matrix) is used to calculate the eigenfrequencies and eigenmodes.
- The stiffness matrix with damping (a mostly numerical matrix that is a Mathematica function of frequency, f) is used for all other purposes.
- Mathematica functions are provided to allow transfer functions from/to selected inputs/outputs, thermal noise plots at selected test points, eigenmode shape plots etc.
- If the small but time-consuming wire bending/torsion potential terms were omitted at the beginning, they are computed and added in.



Models for LIGO

- Models defined for all the LIGO suspensions (no KAGRA yet):
 - QUAD: single chain of AdvLIGO quad pendulum, with 4 masses, 6 blade springs and 14 wires.
 - BSFM, HSTS, HLTS: 3 masses, 6 blade springs and 10 wires.
 - OFMC, TMTS: 2 masses, 2 or 4 blades, 6 wires
 - HAUX, HTTS, OFIS: 1 mass, assorted blade/wire combinations
 - Many toy models



Example model: LIGO quad pendulum

- 2 blade springs
- 2 wires
- top mass
- 2 blade springs
- 4 wires
- upper intermediate mass
- 2 blade springs
- 4 wires
- intermediate mass
- 4 fibres (or wires)
- optic (or reaction mass)





Sample Output (i) Table of Mode Freqs/Shapes

Model "20140304TMproductionTM"

The aLIGO quad suspension main chain, with monolithic final stage (i.e., fused silica fibres supporting the test mass)

N	f	type		
1	0.432096	pitch3	pitch2	pitch1
2	0.461778	у3	roll3	roll2
3	0.522439	pitch3	pitch2	
4	0.552861	z3	z2	
5	0.599185	yaw3	yaw2	
6	0.86847	roll1	roll3	roll2
7	0.99234	pitch0	pitch1	x2
8	1.04328	y2	y 1	у3
9	1.33906	pitch0	pitch1	
10	1.34913	yaw3	yaw1	
11	1.59901	pitch0	pitch2	
12	1.98325	pitch0	x 0	x 1
13	2.10062	roll1	у0	y1
14	2.22968	z0	z 1	
15	2.39081	yaw0	yaw2	
16	2.64322	pitch1	roll1	roll0
17	2.74352	pitch1	pitch0	
18	3.0388	yaw1	yaw0	
19	3.30053	pitch0	roll0	roll1
20	3.39905	x0	x 1	pitch0
21	3.56066	z 1	z0	
22	5.08788	roll0	pitch0	
23	9.68987	z2	z3	
24	13,8069	ro112	ro113	



Sample Output (ii) Individual Mode Shape

In[34]:= **Hz2[[-1]]** Out[34]= 0.432096

In[35]:= pretty[Chop[e2ni.eigenvectors2[[-1]], 10^-4]]

Out[35]//TableForm=

	x	У	z	yaw	pitch	roll
Mass N	0.0446664	0	0	0	-0.324194	0.00109208
Mass U	0.0791596	0.000232412	0	0	-0.380551	0.00221296
Mass 2	0.12786	0.000408359	0	0	-0.54992	0.00186767
optic	0.244087	0.000781052	0	0	-0.602881	0.00187027

In[111]:= DoWithStatus["Plotting stage 2 mode 1",

eigenplot[eigenvectors2[[-1]], 0.5, {0, -3, 0}, floatmatrix2]]





Sample Output (iii) Transfer Function

Transfer function from x motion of the support to x motion of the optic





Sample Output (iv) Thermal Noise

Thermal noise in x motion of the optic, scaled to give strain noise for four optics





State Space Terminology

• For simulations, it is convenient to write the equations of motion in state-space format. In traditional notation:

$$\begin{pmatrix} \dot{\mathbf{x}} \\ \mathbf{y} \end{pmatrix} = \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{C} & \mathbf{D} \end{pmatrix} \begin{pmatrix} \mathbf{x} \\ \mathbf{u} \end{pmatrix}$$

- x: vector of state coordinates
- u: vector of inputs
- y: vector of outputs
- A: state matrix (maps state to rate of change)
- B: input matrix (maps u to rate of change)
- C: output matrix (maps state to output)
- D: feedthrough matrix (maps inputs directly to output)



SS State/Inputs/Outputs for Suspension Models

- In mechanical simulations, the EOMs are second-order, so the full state needs to be the coordinates ("vars") plus the velocities: $x \rightarrow \begin{pmatrix} x \\ \dot{x} \end{pmatrix} \qquad \begin{pmatrix} \dot{x} \\ \dot{x} \end{pmatrix} = A \begin{pmatrix} x \\ \dot{x} \end{pmatrix} + Bu$
- A good set of inputs is the support coordinates ("params") plus input forces on the main coordinates: $u = \begin{pmatrix} s \\ \dot{s} \\ f \end{pmatrix}$
- A good set of outputs is all the main coordinates plus the reaction forces on the support:

$$\mathbf{y} = \begin{pmatrix} \mathbf{x} \\ \mathbf{f}_{s} \end{pmatrix}$$



Full State Space for Suspension Models



- K is the stiffness matrix; M is the mass matrix
- C is the coupling stiffness matrix; S is the support stiffness
- The E matrices are arrays of velocity damping coefficients.
- Sizes of matrices and vectors are given as <Nrows x Ncolumns>.



Matlab Export

- All the components of the SS A/B/C/D matrices can easily be exported from Mathematica as numbers or Matlab code.
- For symbolic export, it's better to export M and calculate M⁻¹ in Matlab.



Usage at LIGO

- Mathematica models were used to study thermal noise and asymmetrical suspensions.
- The GEO Matlab models were retained but had the hand-written state-space matrices replaced with improved code generated by the corresponding Mathematica model.
- Matlab models were used for analysis of experimental data and for control design.
- All Mathematica models are hosted on the SUS SVN (Subversion version control system) at https://redoubt.ligo-wa.caltech.edu/websvn/listing.php?
 repname=sus&path=%2Ftrunk%2FCommon
 %2FMathematicaModels
 %2FMathematicaModels
 %2Ftrunk

- Matlab models are in the same SVN but scattered about.



References

- LIGO-T020205 Models of the Advanced LIGO Suspensions in Mathematica[™]
- LIGO-T080188 Models of the Advanced LIGO Suspensions in MATLAB
- <u>https://awiki.ligo-wa.caltech.edu/aLIGO/</u> <u>Suspensions/OpsManual</u> (needs LIGO credentials or see LIGO-E1200633).
- LIGO-T070101 Dissipation dilution
- LIGO-T080096 Flexure corrections



KAGRA

- Sekiguchi-san has used some of the ideas and written his own package.
- Much more automated and easy to use.
- Probably no need (certainly no plan) to redo KAGRA models with LIGO toolkit.
- Not too hard to do if there is a need for say detailed thermal noise plots.