



# Mirror quality and interferometer performance

Hiro Yamamoto - Caltech LIGO

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- Introduction
- Gaussian beam and Modal model
- Cavity basic
- PSD, BRDF and loss
- Mirror polishing and coating
- Thermal effects
- Not so nice looking mirrors

# Introduction

## idealized vs reality

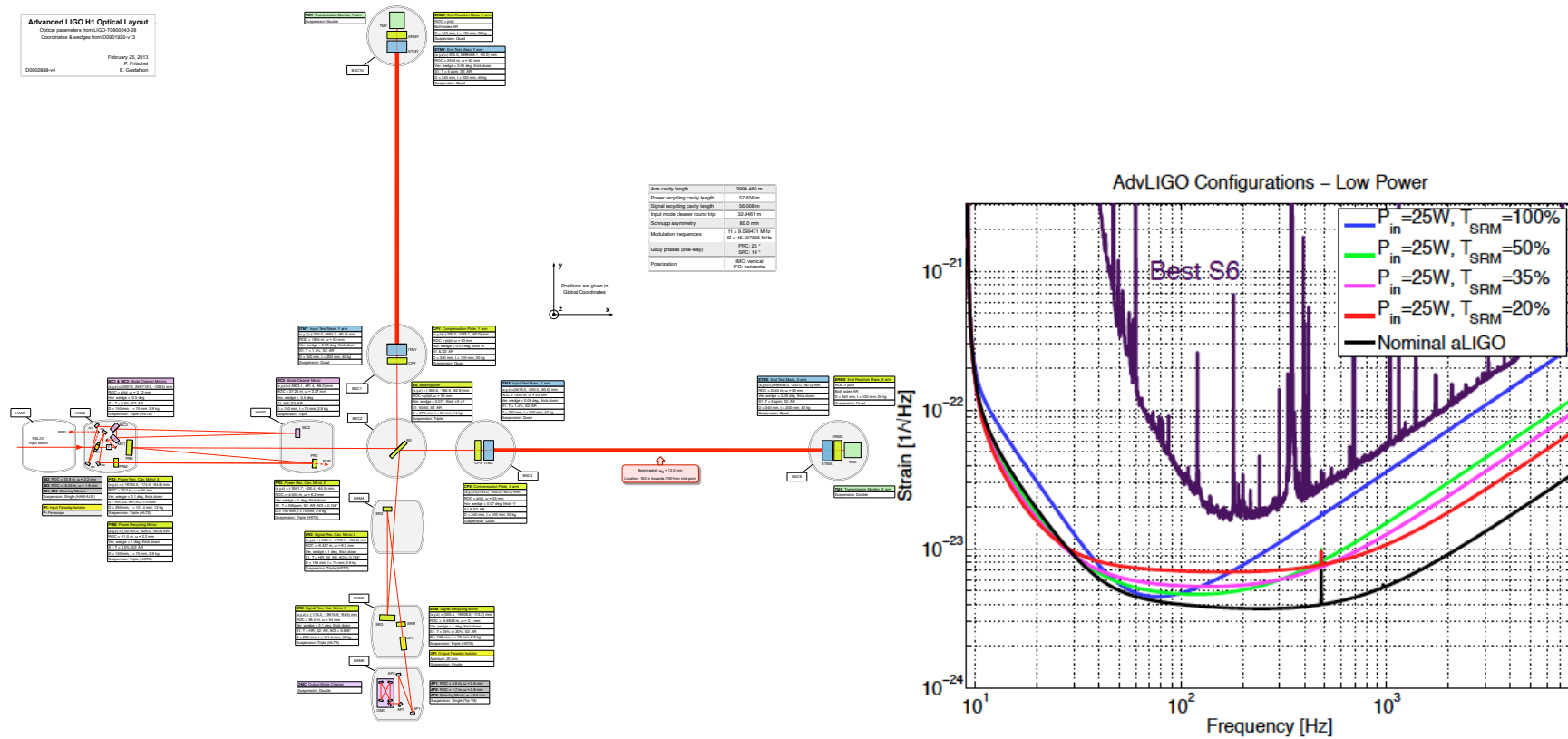
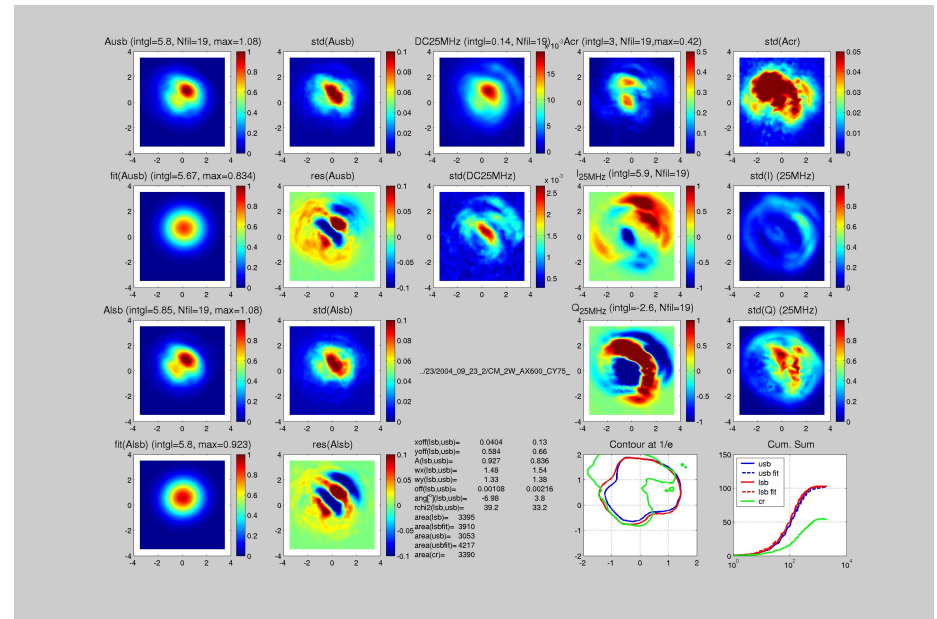
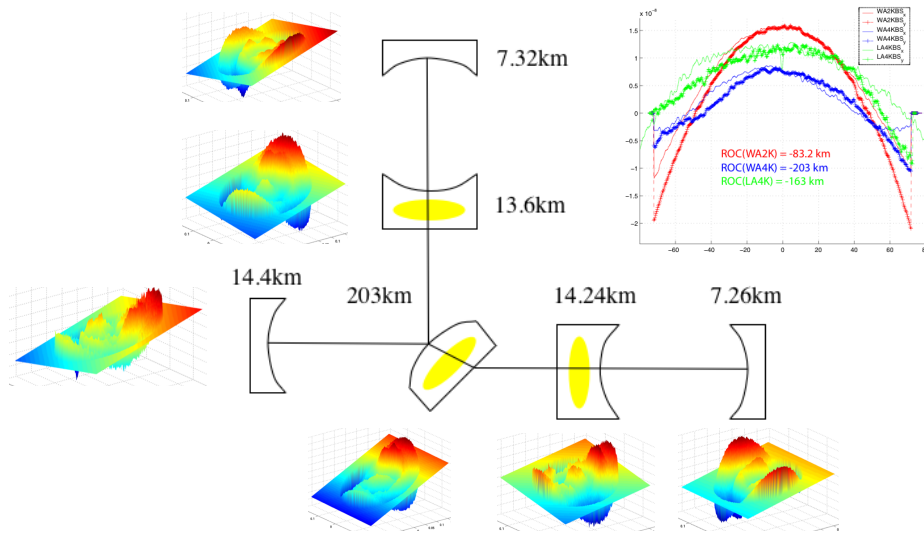


Figure 2: Possible configurations of aLIGO in the early commissioning phase, with 25W of input power. As reference, the nominal aLIGO curves and the best S6 sensitivity are also included.

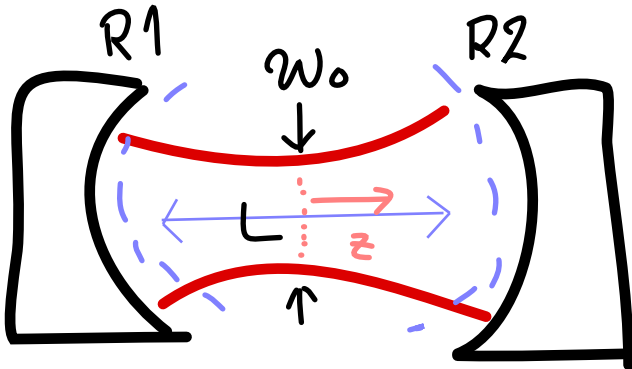


# Initial LIGO optics and Fields idealized vs reality



# Gaussian beam and Modal model

- Gaussian beam : stationary state in a two mirror cavity (FP)



$$G_{00}(x,y,z,t) = G_{00}(x,y,z) \exp[i(\omega \cdot t - k \cdot z)]$$

$$G_{00}(x,y,z) = \sqrt{\frac{2}{\pi}} \frac{1}{w(z)} \exp\left(-r^2 \left(\frac{1}{w(z)^2} + i \frac{k}{2R(z)}\right) + i \cdot \eta(z)\right)$$

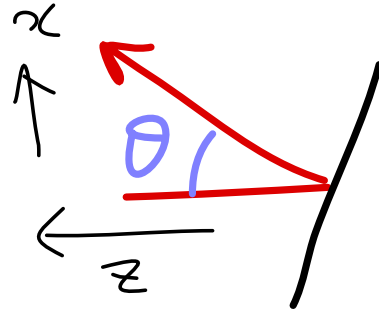
$$w(z)^2 = w_0^2 \left(1 + \frac{z^2}{z_0^2}\right), \quad R(z) = z + \frac{z_0^2}{z}, \quad \eta(z) = a \tan\left(\frac{z}{z_0}\right)$$

$$HG_{mn} = G_{00}(x,y,z,t) \sqrt{\frac{1}{2^{m+n} m! n!}} H_m\left(\frac{\sqrt{2}x}{w(z)}\right) H_n\left(\frac{\sqrt{2}y}{w(z)}\right) \exp[i(m+n)\eta(z)]$$

$$LG_{pm} = G_{00}(x,y,z,t) \sqrt{\frac{p!}{(p+|m|)!}} \exp(im\varphi) L_p^{|m|}\left(\frac{2r^2}{w(z)^2}\right) \exp[i(2p+|m|)\eta(z)]$$

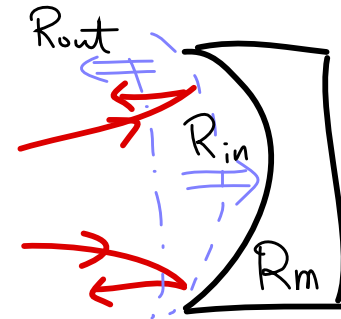
# Gaussian beam and Modal model

- Tilt



$$\begin{aligned}
 E_{ref}(\theta) &= G_{00}(\theta=0) \cdot \exp(i\omega t - i(x \cdot k_x + z \cdot k_z)) \\
 &= G_{00} \cdot (1 - i x \cdot k \cdot \theta) \\
 &= G_{00} \cdot (1 - i \cdot \frac{1}{\sqrt{2}} H_1(\frac{\sqrt{2}x}{w(z)}) \cdot \frac{\theta}{\Theta(z)}) \\
 &= G_{00} - i \frac{\theta}{\Theta(z)} \cdot G_{10} \\
 \Theta(z) &= \frac{1}{\pi} \frac{\lambda}{w(z)}, \quad H_1(x) = 2x
 \end{aligned}$$

- curvature mismatch



$$\begin{aligned}
 E_{ref}(\delta R) &= G_{00}(R = R_{in}) \cdot \text{Exp}[-ikr^2(-\frac{2}{2R_m})] \\
 &= G_{00}(R = \infty) \cdot \text{Exp}[ikr^2(\frac{1}{2R_{in}} - (\frac{1}{R_{in}} - \frac{1}{R_m}))] \\
 &\approx G_{00}(R = \infty) \cdot \text{Exp}[ir^2(\frac{1}{2R_{in}})] \cdot (1 - ikr^2 \frac{\delta R}{R_{in}^2}) \\
 &= G_{00}(R = -R_{in}) (1 - ik \frac{w^2}{2} \frac{\delta R}{R_{in}^2} (1 - L_1^0(\frac{2r^2}{w^2}))) \\
 &\approx G_{00}(R = -R_{in}) + i\pi \frac{w^2}{\lambda R_{in}} \frac{\delta R}{R_{in}} LG_1^0(R = -R_{in})
 \end{aligned}$$

$$\delta R = R_m - R_{in}, \quad L_1^0(r) = 1 - r$$

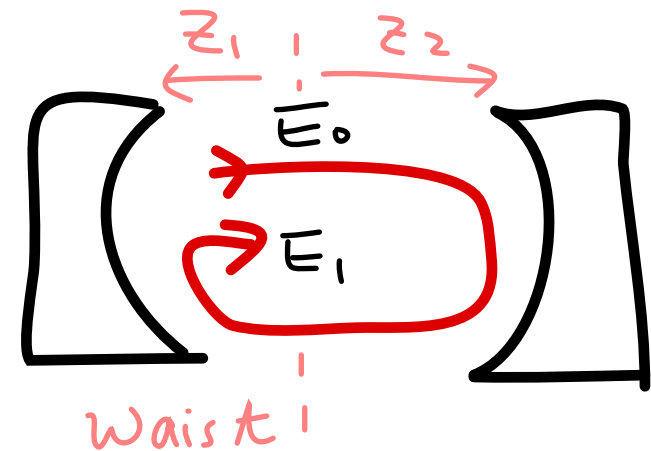
# Gaussian beam and Modal model

- Cavity field and Gouy phase

$$E_1 = E_0 \cdot r_1 r_2 \cdot \exp[i2\phi]$$

$$\phi = \left\{ \begin{array}{c} m+n+1 \\ 2p+|m|+1 \end{array} \right\} \Delta\eta - kL$$

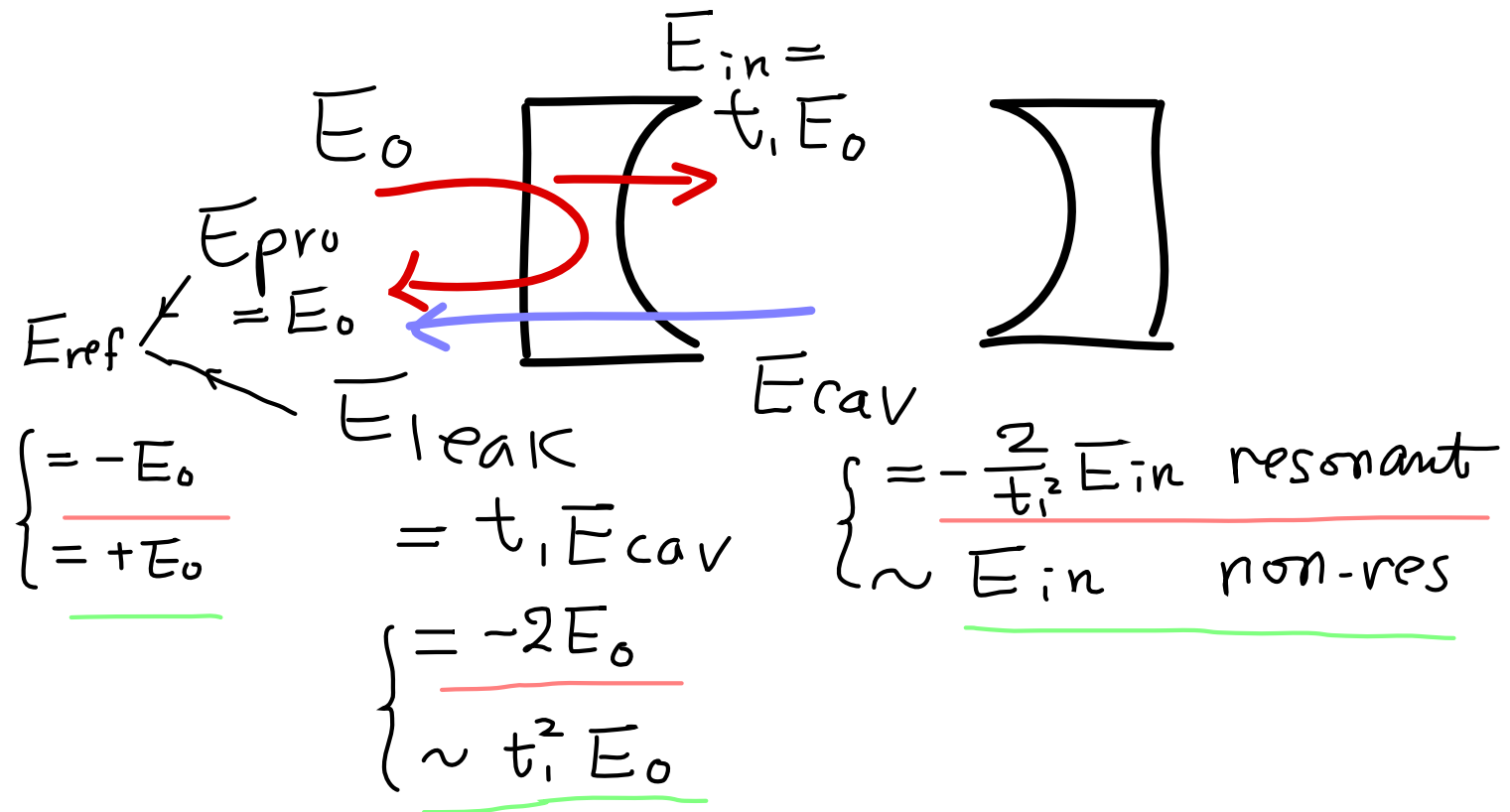
$$\Delta\eta = \eta(z_2) - \eta(-z_1) = a \cos\left(1 - \frac{L}{R}\right) \text{ for } R_1 = R_2 = R$$



- Resonance condition

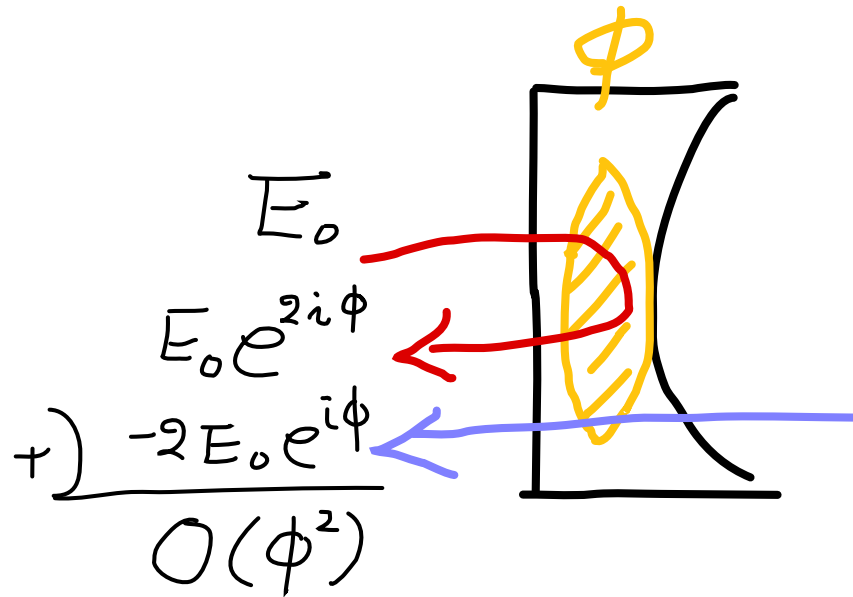
- »  $\phi = n\pi$  for main mode
- » non resonant for other modes

# Resonant vs non-resonant

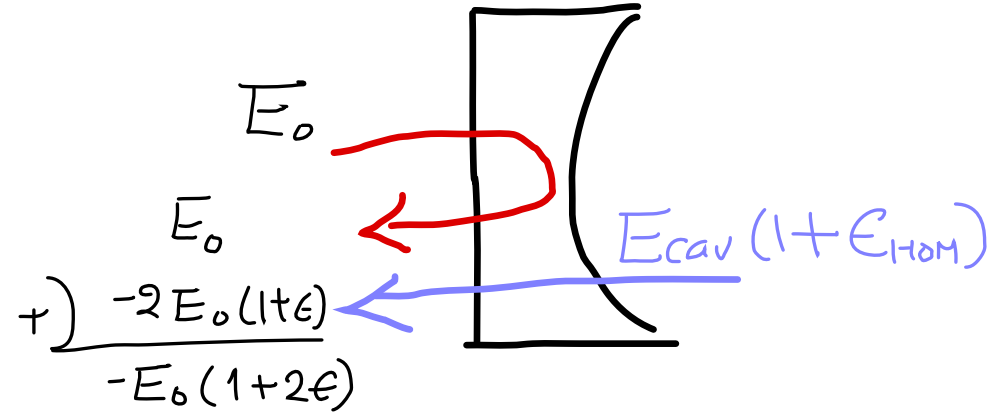


# Phase cancellation and noise enhancement

## Phase cancellation



## Noise enhancement



Only carrier (injected field which resonate in the cavity)  
 not sideband (not resonating in the cavity)  
 nor signal sideband (induced in the cavity)



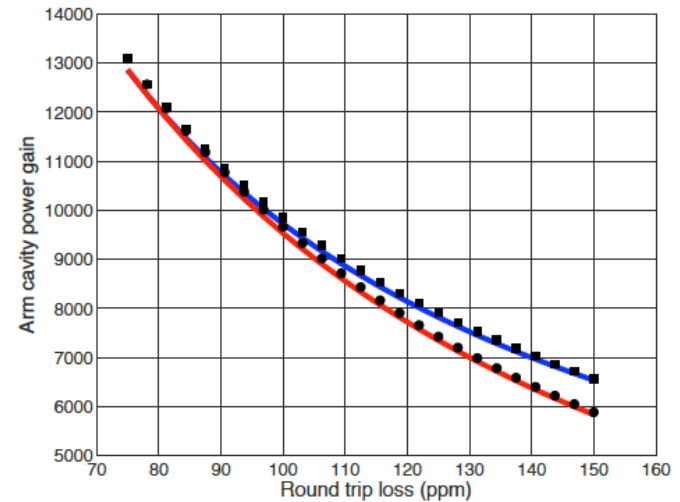
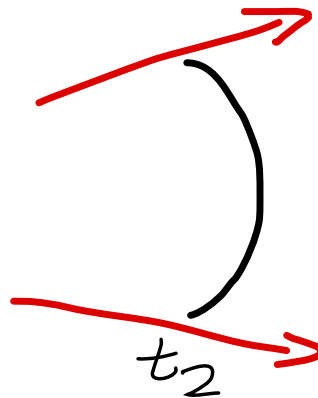
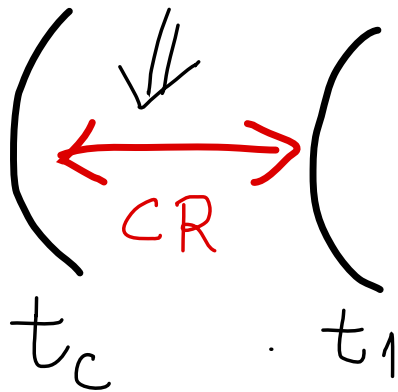
# Cavity basic

## Coupled cavity

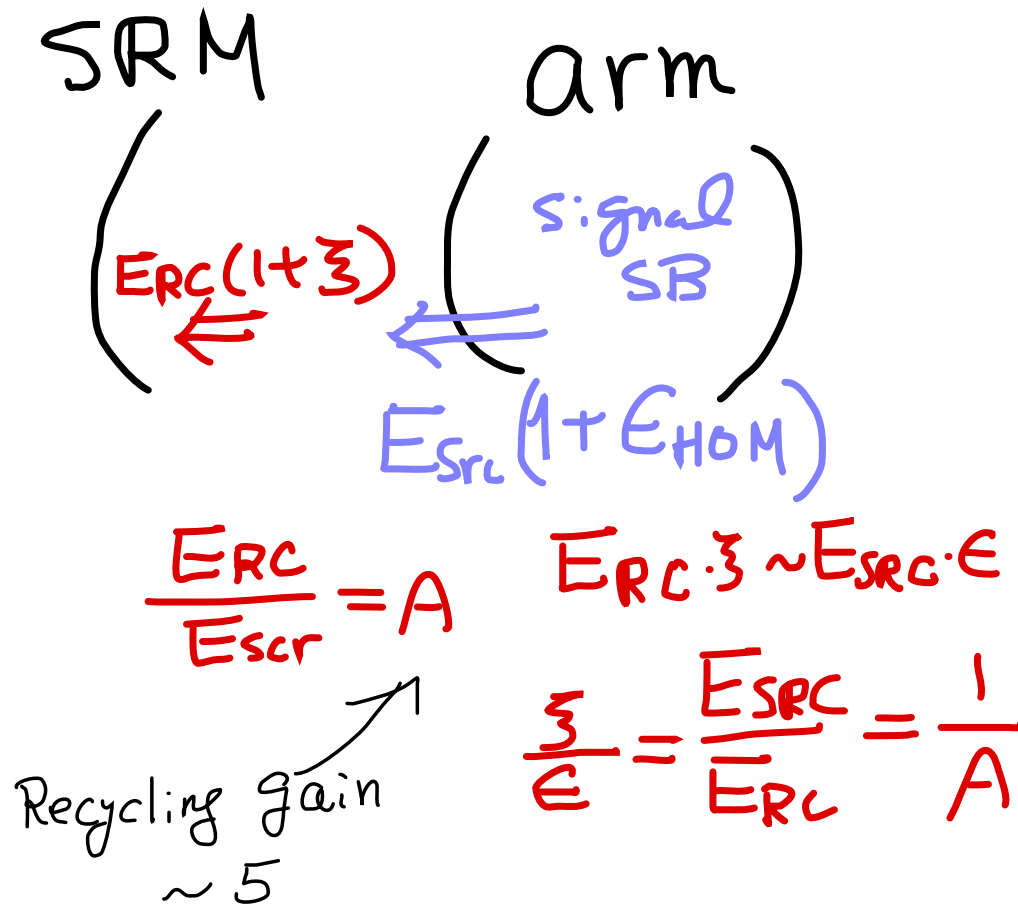
$$T_2 = t_2^2 + \text{loss in the arm}$$

$$-\frac{2}{t_c} / \left\{ \left( 1 + \frac{T_c}{4} \right) \left( 1 + \frac{4 T_2}{T_1 T_c} \right) \right\}$$

$$T_1 \cdot T_c = 5 \times 10^{-4} = 500 \text{ ppm}$$

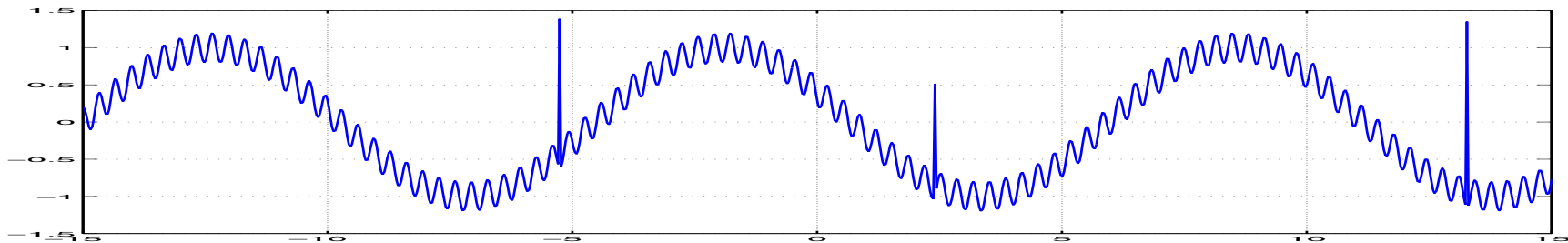
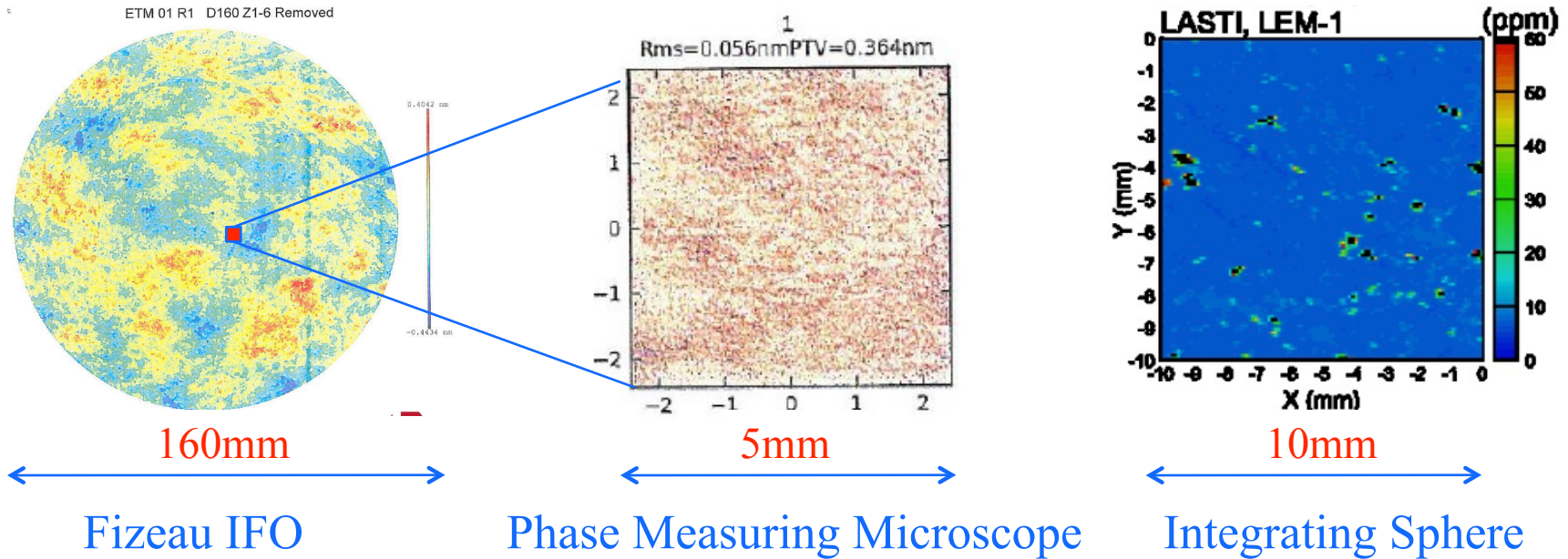


# Cavity basic Mode healing





# Surface structure with different spatial distribution



LIGO-G1300120-v1  
JGW-G1301555-v1

Get-together meeting of JGW March 1st, 2013

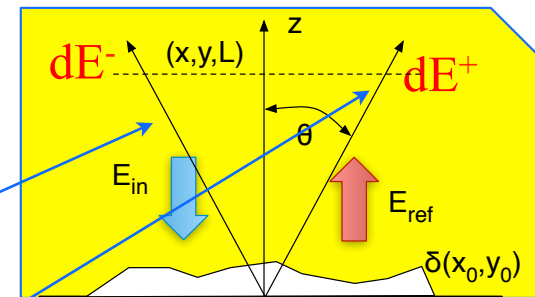
# Scattering by aberration

$$\begin{aligned}
 E_{ref} &= E_{ref}^0 \cdot \exp(i2k\delta(x,y)) \\
 &= E_{ref}^0 \cdot (1 + i2k\delta - 2(k\delta)^2) \\
 &= E_{ref}^0 \cdot (1 - 2(k\delta)^2) + E_{ref}^0 \cdot i2k\delta
 \end{aligned}$$

$$\begin{aligned}
 dP &= \iint dx dy |E_{ref}^0|^2 4k^2 \delta(x,y)^2 \\
 &= P_{ref}^0 \left( \frac{4\pi\sigma}{\lambda} \right)^2 S
 \end{aligned}$$

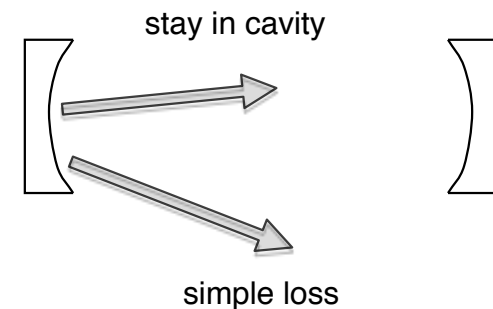
$$\begin{aligned}
 \sigma^2 &\equiv \iint dx dy \delta(x,y)^2 / S \\
 &= \int df PSD_{1D}(f)
 \end{aligned}$$

$$\theta = k_x / k = \lambda_{laser} / \lambda_{space}$$



for  $\delta(x,y) = \delta_0 \sin(k_x x)$

$$\begin{aligned}
 E_{ref}^0 \cdot i2k\delta &= E_{ref}^0 \cdot i2k\delta_0 \sin(k_x x) \\
 &= E_{ref}^0 \cdot (k\delta_0 (\exp(ik_x x) - \exp(-ik_x x))) \\
 &= E^0 \frac{2\pi\delta_0}{\lambda} [\exp(-i(kz - k_x x)) - \exp(-i(kz + k_x x))]
 \end{aligned}$$



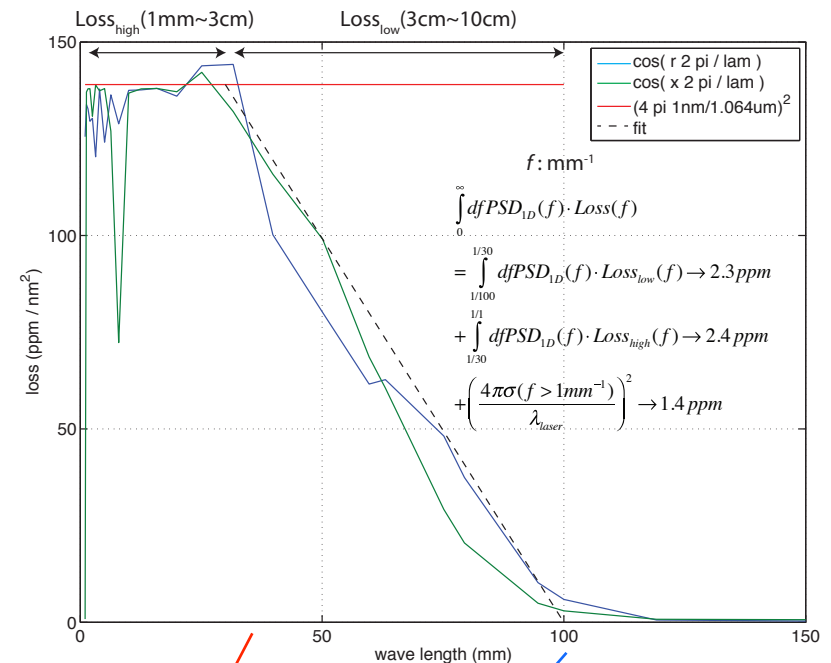
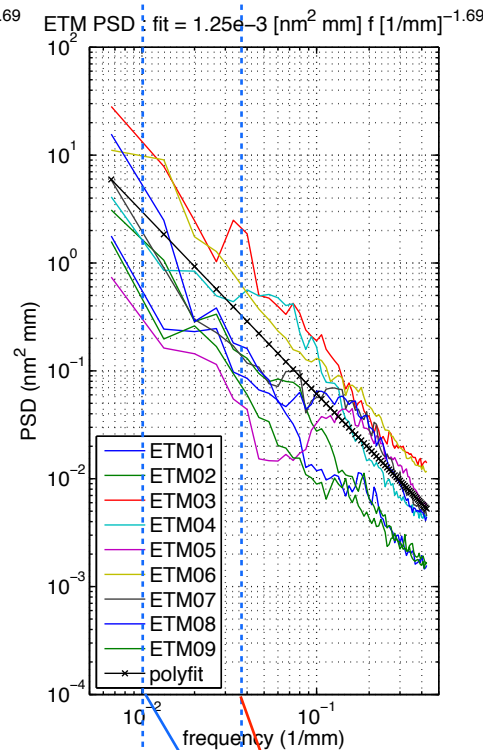
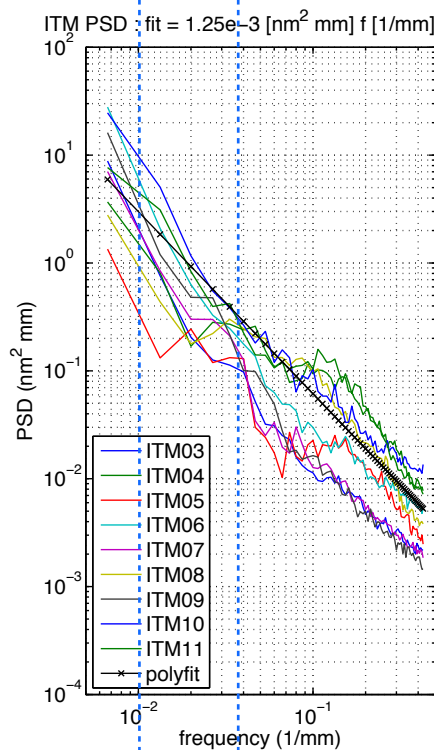


# aLIGO optics scattering loss by polished surface

10cm 3cm

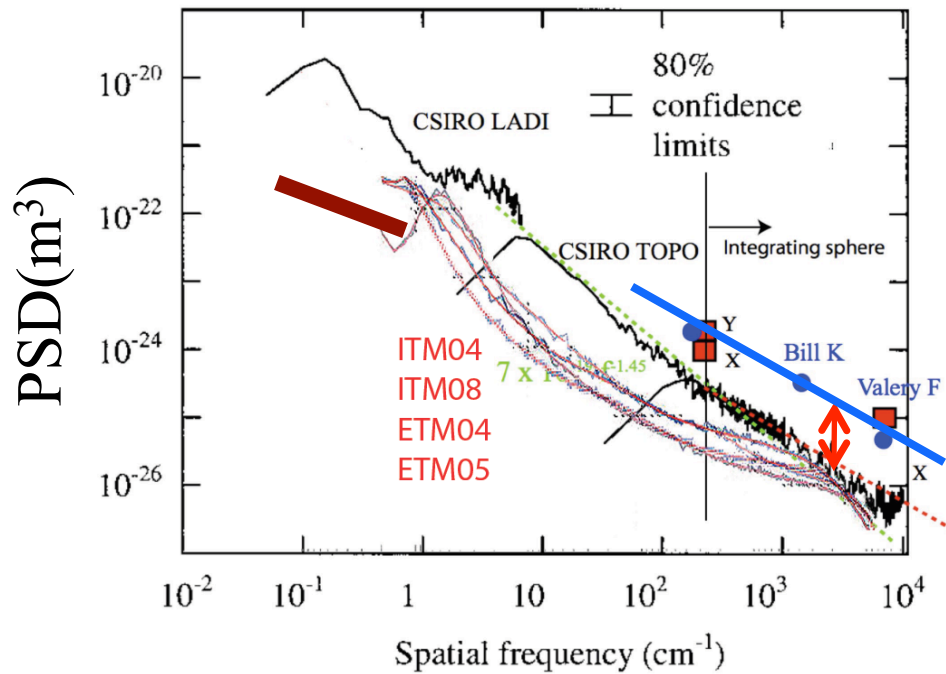
10cm 3cm

$$\lambda / \frac{17cm}{4000m} = 2.5cm$$

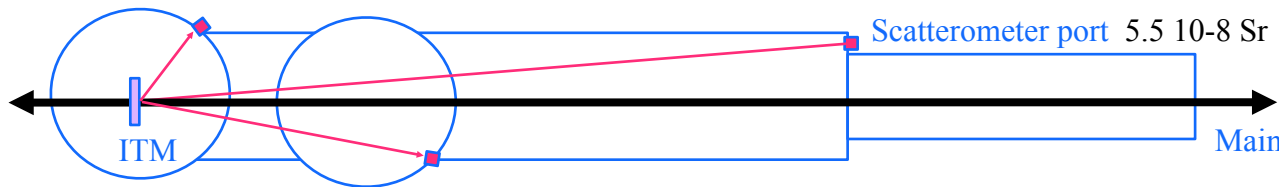
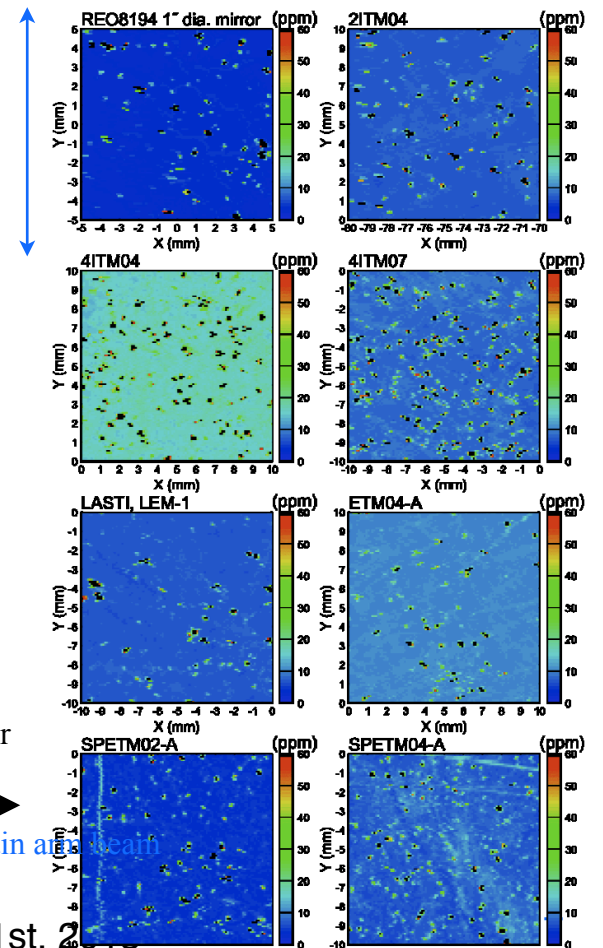


# Peeking at LIGO mirror profile

iLIGO vs aLIGO PSD



1cm x 1cm  
0.3mm beam size  
0.1mm step



LIGO-G1300120-v1  
JGW-G1301555-v1

Get-together meeting of JGW March 1st, 2010

# BRDF $\neq$ PSD

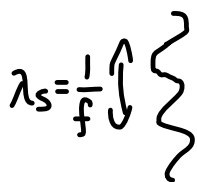
- BRDF

- » how light is reflected by an opaque surface

- PSD

- » spectral density of the surface

$$BRDF(\theta) = \left(\frac{4\pi}{\lambda^2}\right)^2 PSD_{2D}(f) = \left(\frac{4\pi}{\lambda^2}\right)^2 C \frac{PSD_{1D}(f)}{f}$$

$\lambda_s = \frac{1}{f}$ 

 $\theta = \frac{\lambda_{laser}}{\lambda_{space}} = f \cdot \lambda_{laser}$

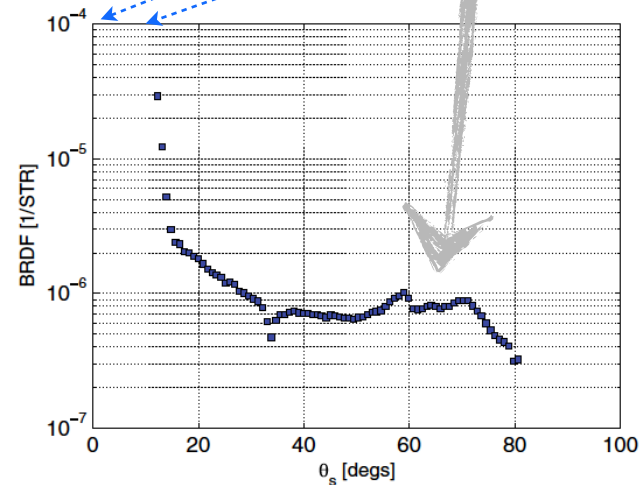
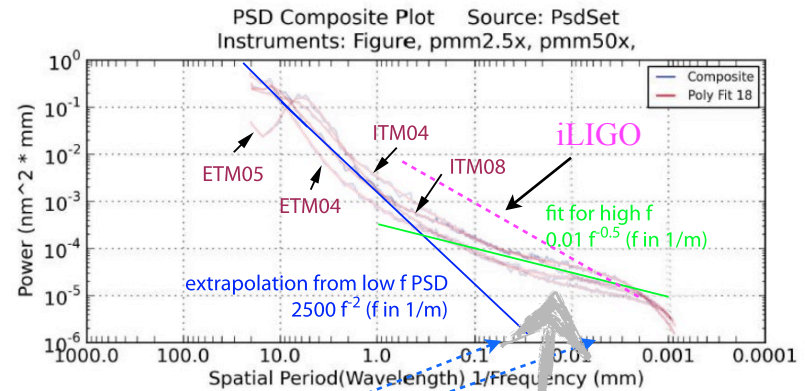
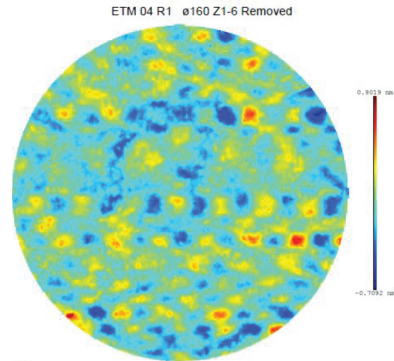
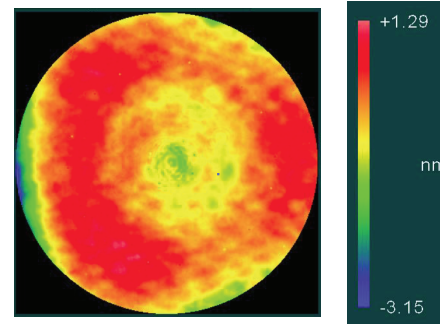


Fig. 9. (Color online) BRDF versus scattering angle for the HRM.

# Polishing and coating ETM04 : coating is tough

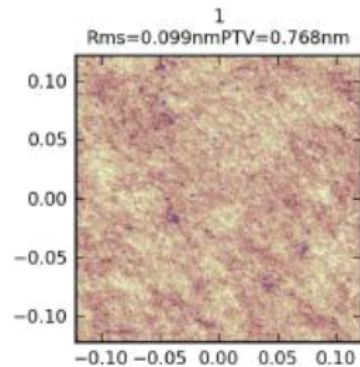


(1) Surface after polishing by ASML  
Aperture size 160mm  
RMS = 0.1732nm, PV=1.611nm

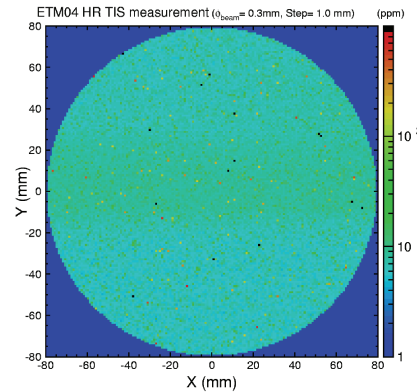


## latest coating

(2) Surface after multilayer coating by ison spattering  
Aperture 160mm  
RMS = 0.563nm, PV=4.436nm



(3) Surface after polishing measured by PMM(phase measuring microscope) with magnification of 50. 0.25mm x 0.25mm square near center.  
RMS = 0.099nm, PV=0.768nm



(4) Reflectance measured by an integrating sphere with the scattering angle larger than 1°. The size of the laser is 0.3mm, with spacing 1mm. RMS using all data points is 98ppm. RMS is 20ppm after excluding 15 points with reflectance > 1000ppm.

latest coating

5~10ppm





# Polishing by Coastline and ASML

## Requirement and result of ITM04

Surface	Specification Parameter	Location	Specification Value	Actual Value	Pass/Fail
1	Spherical, CC, RoC	Central 160 mm	1934 m - 5m/+15m	1938.61 m	PASS
	Radius Difference from all ITMs	Central 160 mm	1938.53 m $\pm$ 3 m	0.08 m	PASS
	Astigmatism Amplitude ( $Z_{2,2}$ )	Central 160 mm	$\sigma_{RMS} < 3$ nm	0.12 nm	PASS
	Figure Error (LSF) $< 1\text{mm}^{-1}$	Central 300 mm	$\sigma_{RMS} < 2.5$ nm	0.37 nm	PASS
	$Z_{0,0}, Z_{1,1}, Z_{2,0}, Z_{2,2}$ Fit	Central 160mm	$\sigma_{RMS} < 0.3$ nm	0.15 nm	PASS
	Error (HSF) $1-750\text{mm}^{-1}$	Center, $\text{\O}60$ mm, $\text{\O}120$ mm	$\sigma_{RMS} \leq 0.16$ nm	0.137 nm	PASS

Requirement by simulation

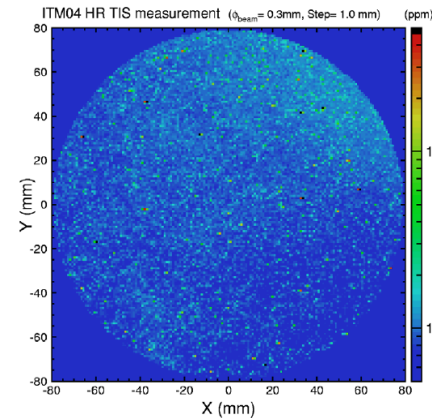
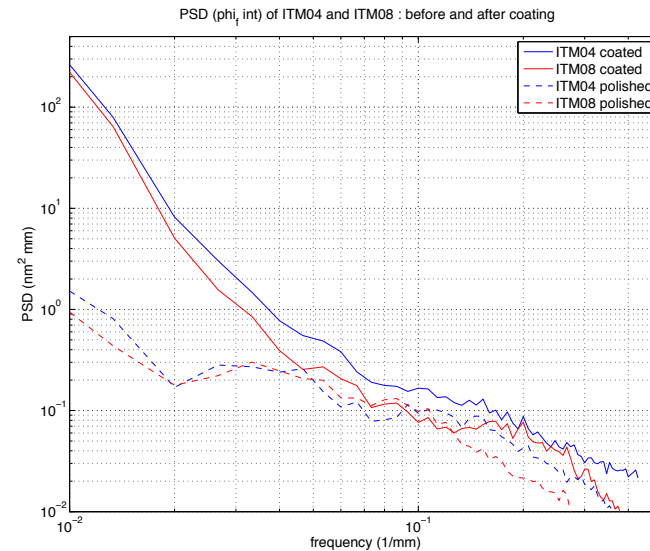
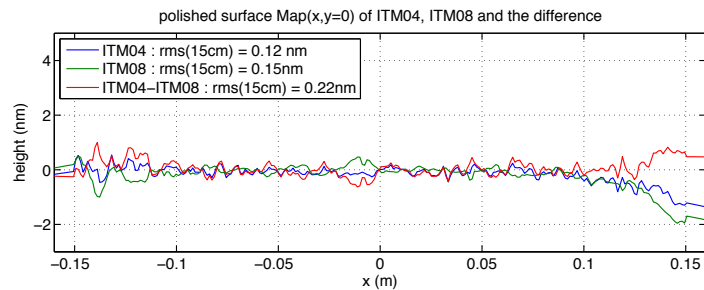
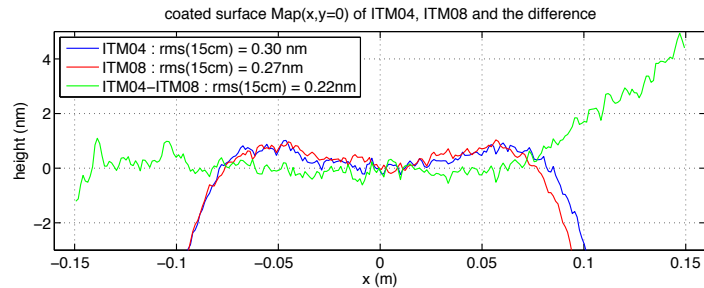
Actually delivered

LSF( $>2\text{mm}$ ) :  $\sigma < 0.5\text{nm}$  for loss  $< 20\text{ppm}$ ,  $\sigma = 0.15\text{nm} \rightarrow 2\text{ppm}$

HSF( $<1\text{mm}$ ) :  $\sigma = 0.137\text{nm} \rightarrow \sim 3\text{ppm} (< 1\text{mm})$ ,  $< 6\text{ppm} (< 2\text{mm})$

# Coating by LMA

## ITM04 and ITM08



Caltech : 10ppm  
LMA : 4.5ppm

		Round trip loss (ppm)	Non 00 mode in cavity (ppm)	LG20 mode in cavity (ppm)
polished	ITM04	2.9	3.2	0
	ITM08	3.0	3.5	0
coated	ITM04	2.7	8.8	2.8
	ITM08	3.0	9.0	4.9

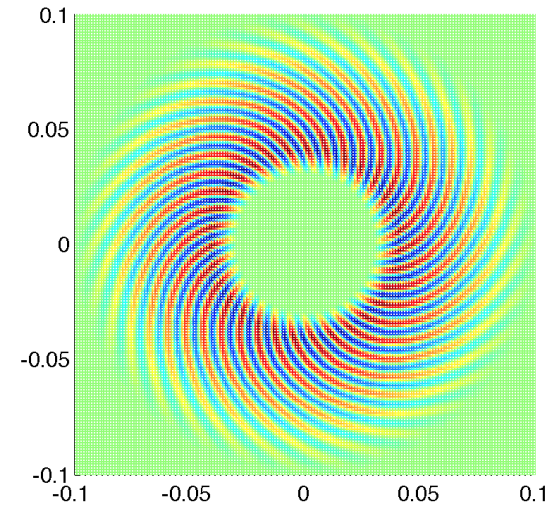
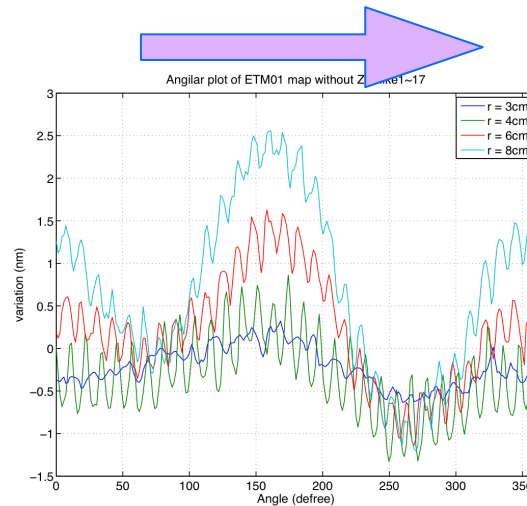
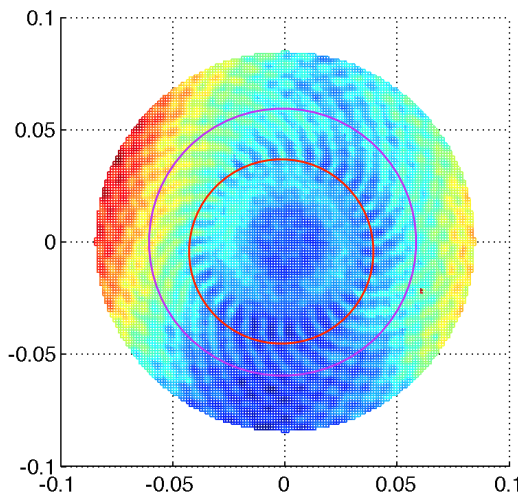
Table 1 Cavity quality factors

# Coating by LMA

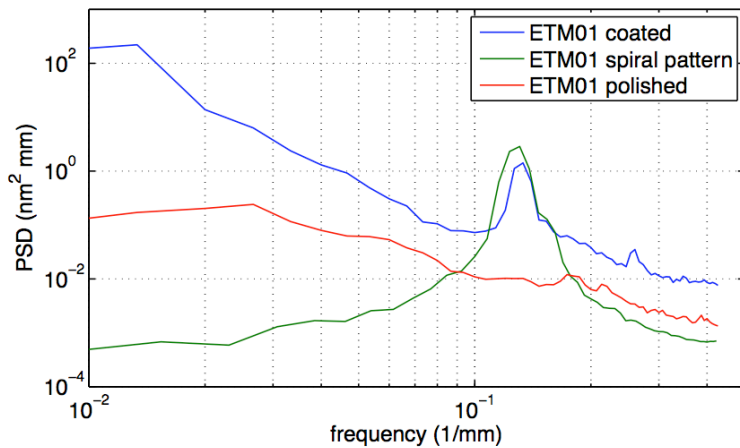
## ETM01

measured at Caltec - Z1~Z7

P-V 1 nm



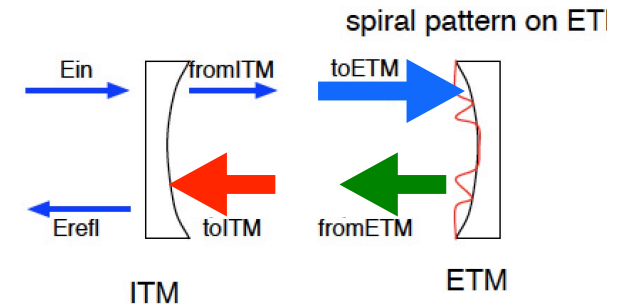
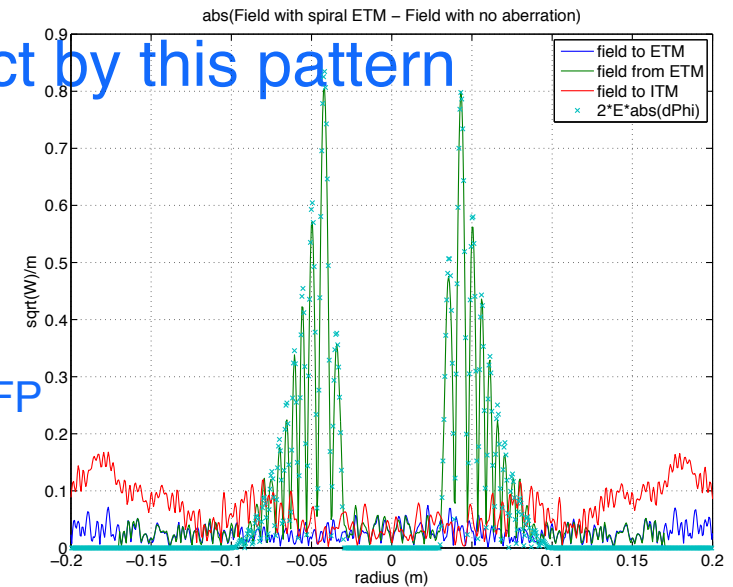
PSD : coated vs polished



Using matlab to extract the spiral pattern, and use it as the phasemap in SIS

# LMA ETM01 coating accepting test short wavelength spiral pattern

- SIS analysis to understand the effect by this pattern
- Round trip loss  $\sim 6\text{ppm}$  ← OK
- Any other effects
  - » Field aberration due to this pattern
    - Field in FP with this map – Field in idealistic FP
    - Very fine grid sizes to make sure FFT is OK
  - » Mode analysis if any mode could dominate
    - No dominant mode for LGpm ( $2p+m < 25$ ) and HGmn ( $m+n < 25$ )
  - » If ITM has similar pattern, can they interfere
    - ITM = MAPPING  
( `DATAFILE("ETM01pattern.dat"), "-x","y"` ) \* 0.5
    - Loss = loss by ETM + loss by ITM  
no additional by interference



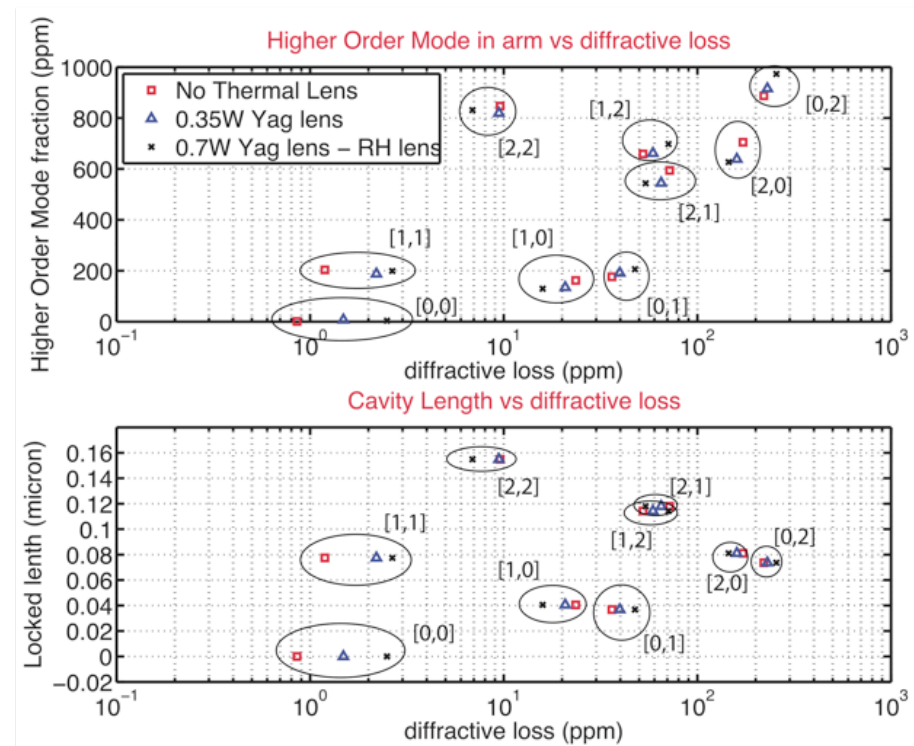
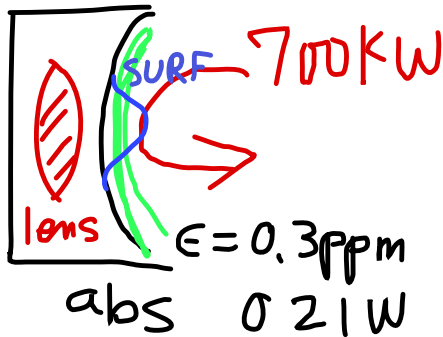
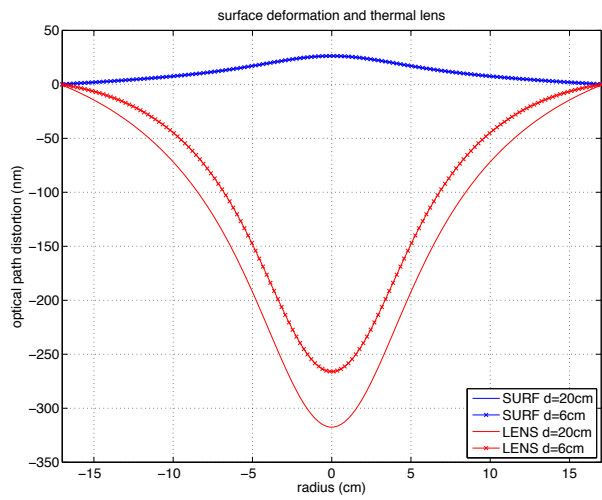


# LMA ETM01 coating accepting test long wavelength central plateau

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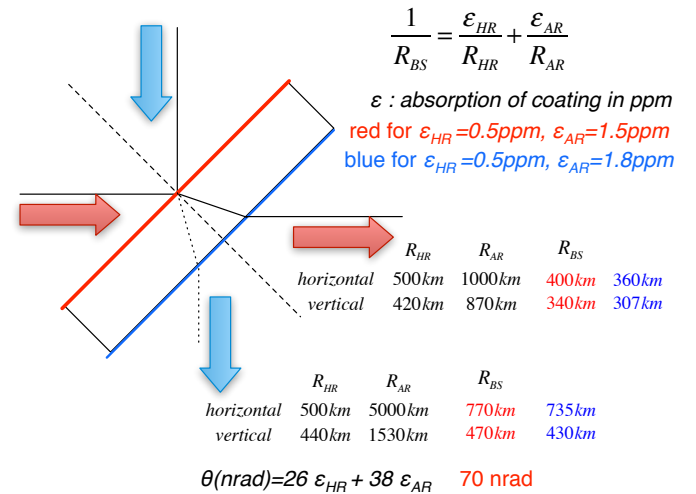
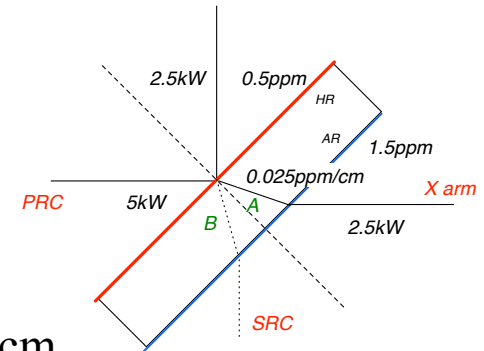
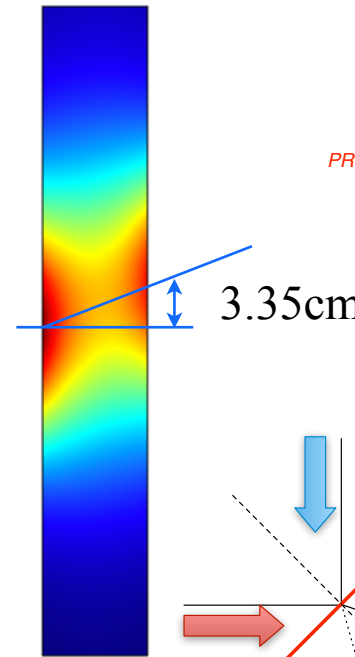
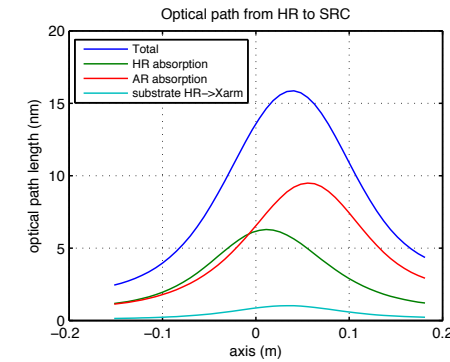
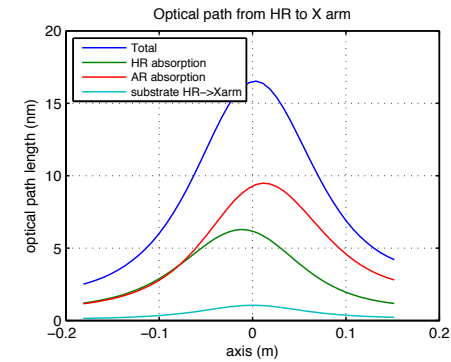
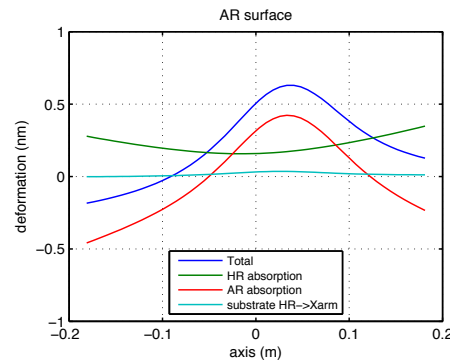
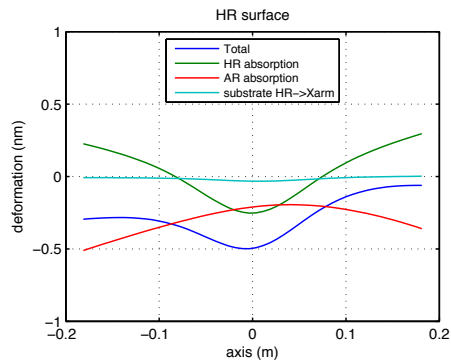
- Old coating system, one at a time
  - » The beam size on ETM is larger than that on ITM and the plateau size on ETM needs to be 20% wider, when coating to coating variation is taken into account
- New coating using the planetary system, a pair at a time
  - » Higher order mode, mostly LG20, in the FP cavity is ~100ppm
    - Better than old, 120ppm, and two ETMs will be “identical”, but is this **good enough?**
    - The plateau size is around the same as the old one
    - Astigmatism uncertainty due to the substrate is not a major issue
    - Asymmetry in the far outside is better (smaller) in the new coating
  - » Coupled cavity simulation
    - LG20 in SRC shows no increase of LG20 by the mode healing
      - Stable signal recycling cavity kills LG20 in SRC
    - LG20 in PRC is ~2000ppm increase by the ETM coating aberration

# Thermal distortion test mass

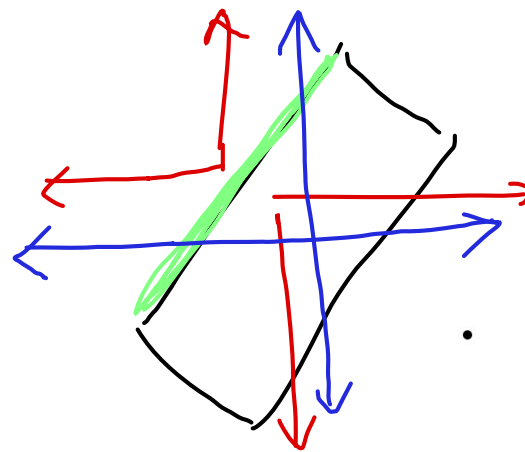
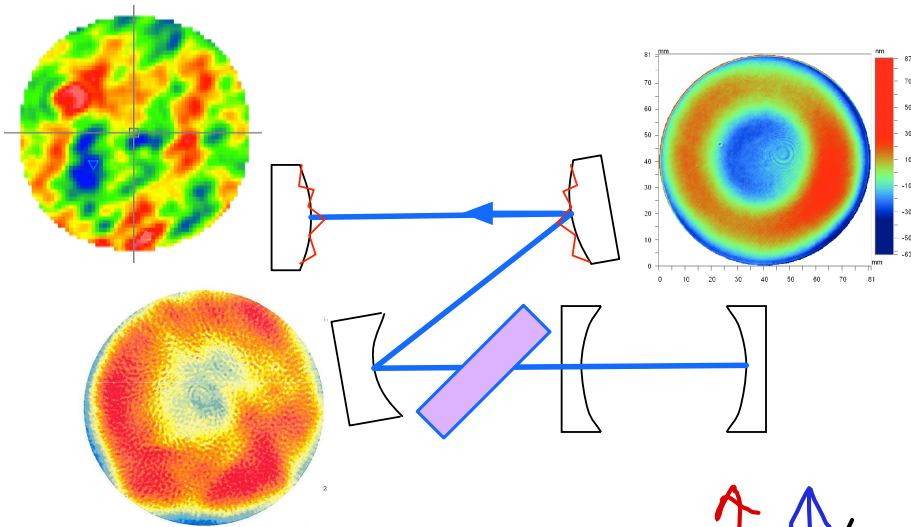


# Thermal distortion BS

HR AR

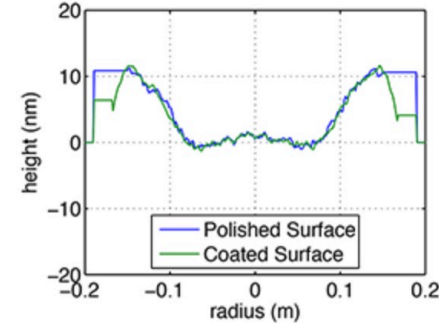


# Not so nice looking mirrors

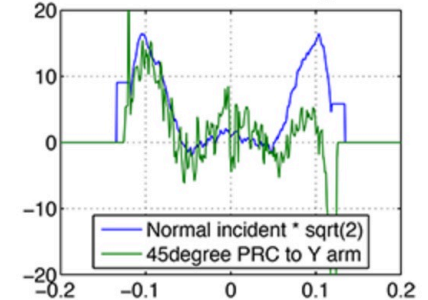


- **BS02 maps**

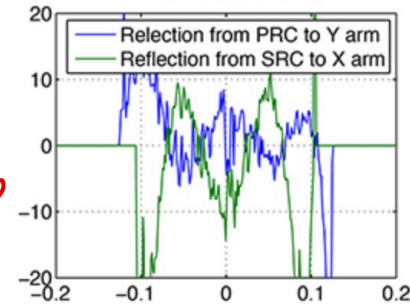
Polished surface vs Coated surface – normal incident



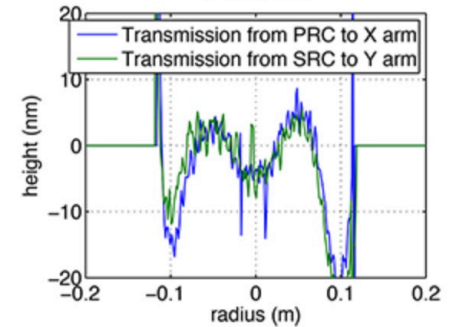
Normal incident vs 45 degree



RPY vs RSX



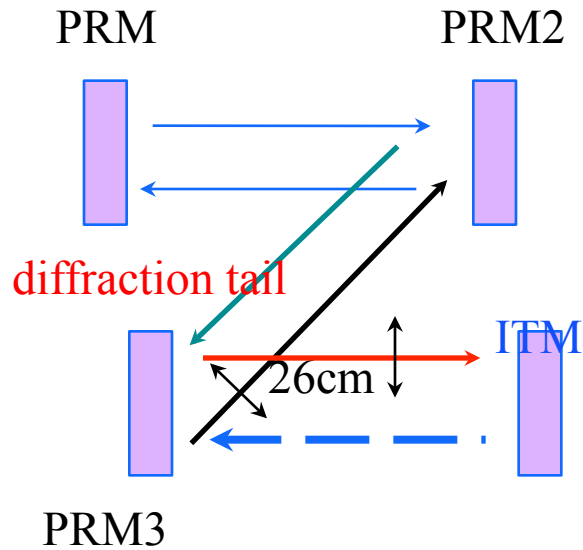
TPX vs TSY



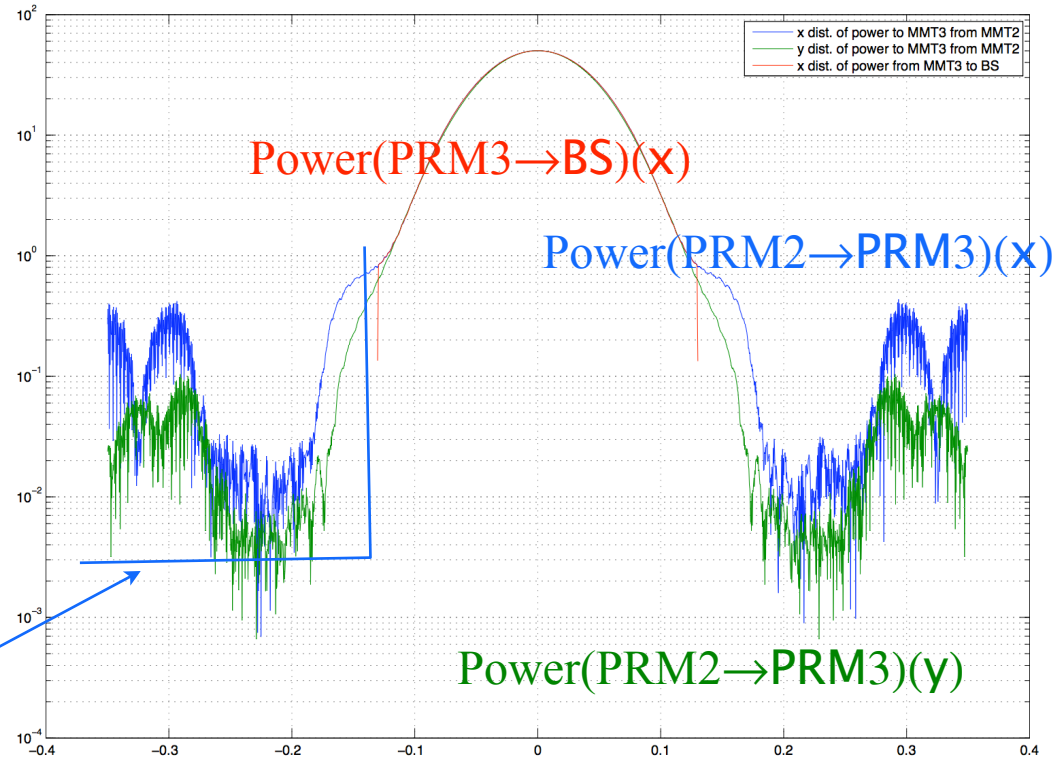




# Why $\text{ROC}(\text{ITM}) < \text{ROC}(\text{ETM})$ Power loss on RM3



loss = 330ppm  
energy outside of  
PRM3 surface



# Higher order mode fraction on SRM

