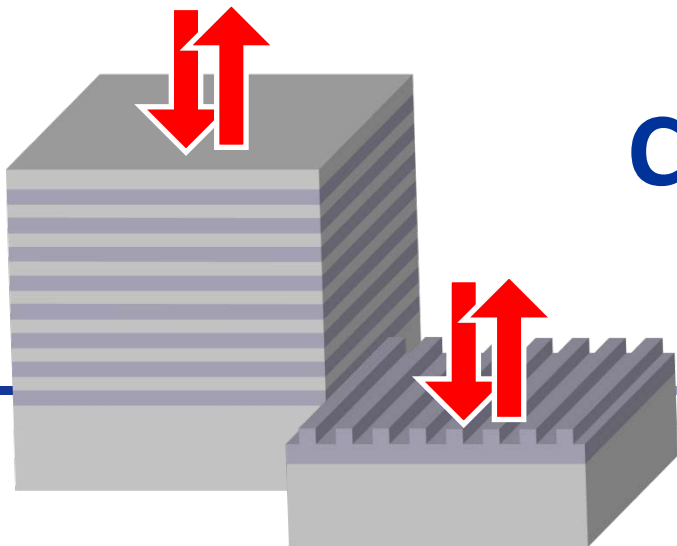
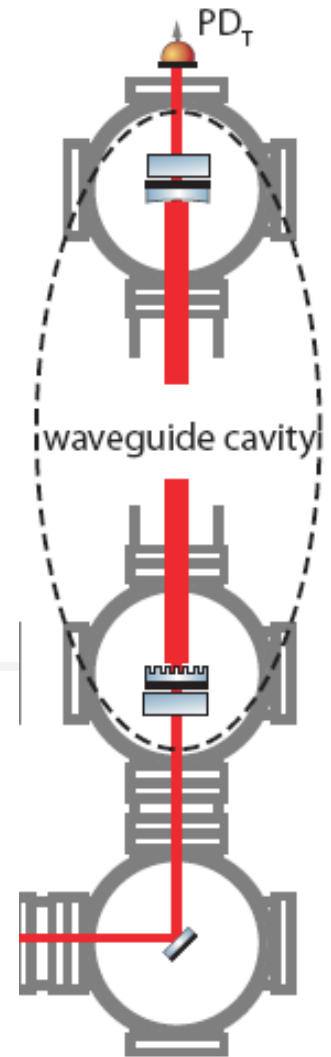


Waveguide Gratings as Cavity Mirrors



Daniel Friedrich

Student seminar, 20th of Jan. 2012



Hannover, Germany → 東京, 日本

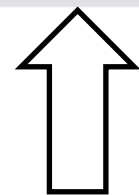
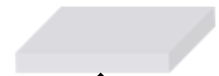


- Since Nov. 2011:
JSPS research fellow @
with Prof. Kawamura



- Nov. 2011:
PhD thesis @  Albert-Einstein-Institut
Hannover

Laser Interferometry with Coating-Free Mirrors



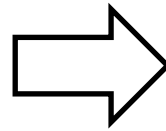
- Waveguide grating mirrors
- Opto-mechanics with thin membranes

Outline of this talk

- AEI Hannover
- Introduction
- Resonant waveguide gratings (WGG)
- WGG @ 1064nm
- WGG @ 1550nm
- Summary

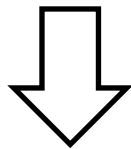
AEI Hannover

 Albert-Einstein-Institut
Hannover



11
102
1004

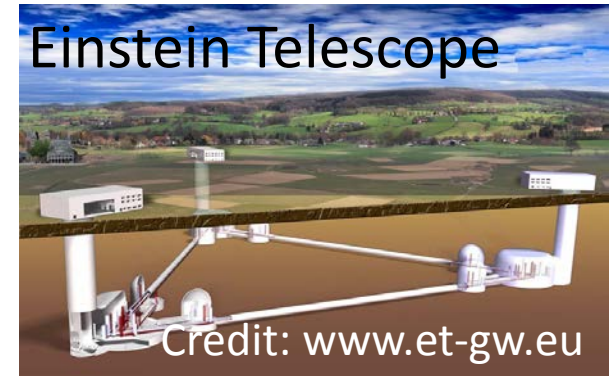
Leibniz
Universität
Hannover



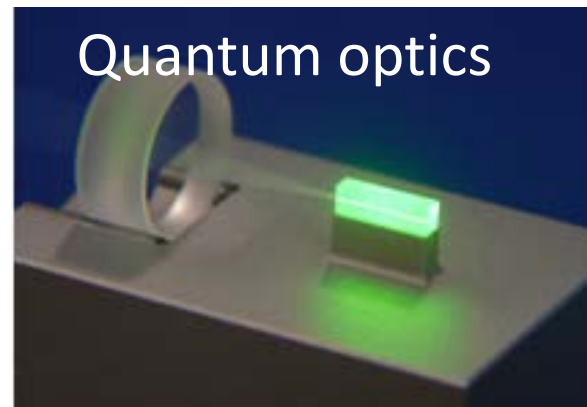
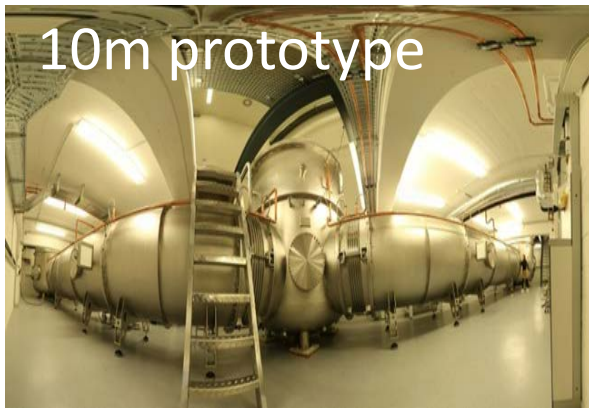
MAX-PLANCK-GESELLSCHAFT



Research @ AEI Hannover



Credits: www.aei-hannover.de



- Data analysis
- Laser development
- Optical components

- See also: www.dfg-science-tv.de/en/projects/the-wave-hunters/2009-06-09

AEI Hannover



Directors:
Prof. Danzmann
Prof. Allen

Scientific staff: ~80
PhD students: ~50
Master students: ~10



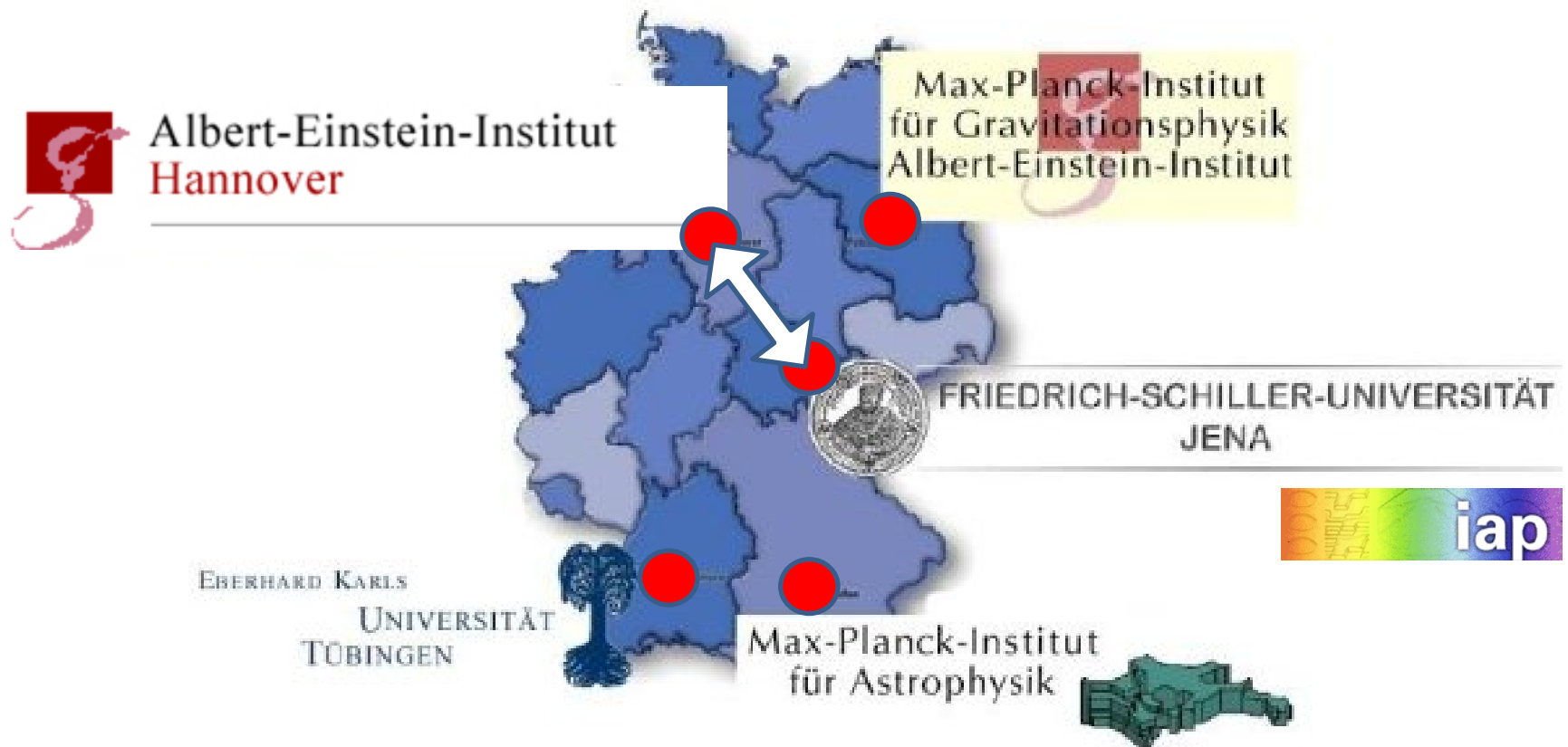
1-4: offices/labs

G
E
O
6
0
0

High-Reflection Waveguide Coatings...

- Project within the SFB/TR7:
- Started in 2007
- Collaboration: Hannover - Jena

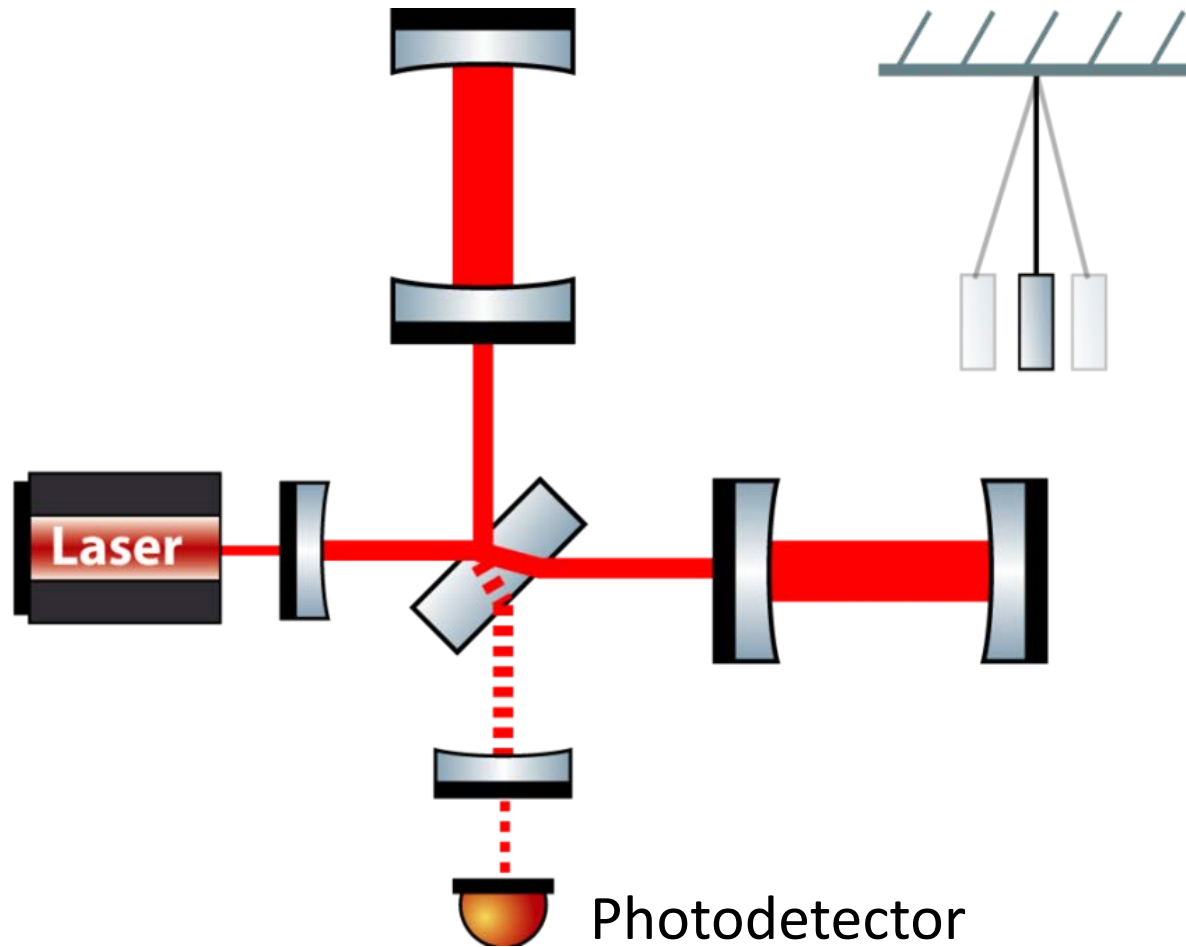
Gravitational wave astronomy
Methods – Sources - Observation



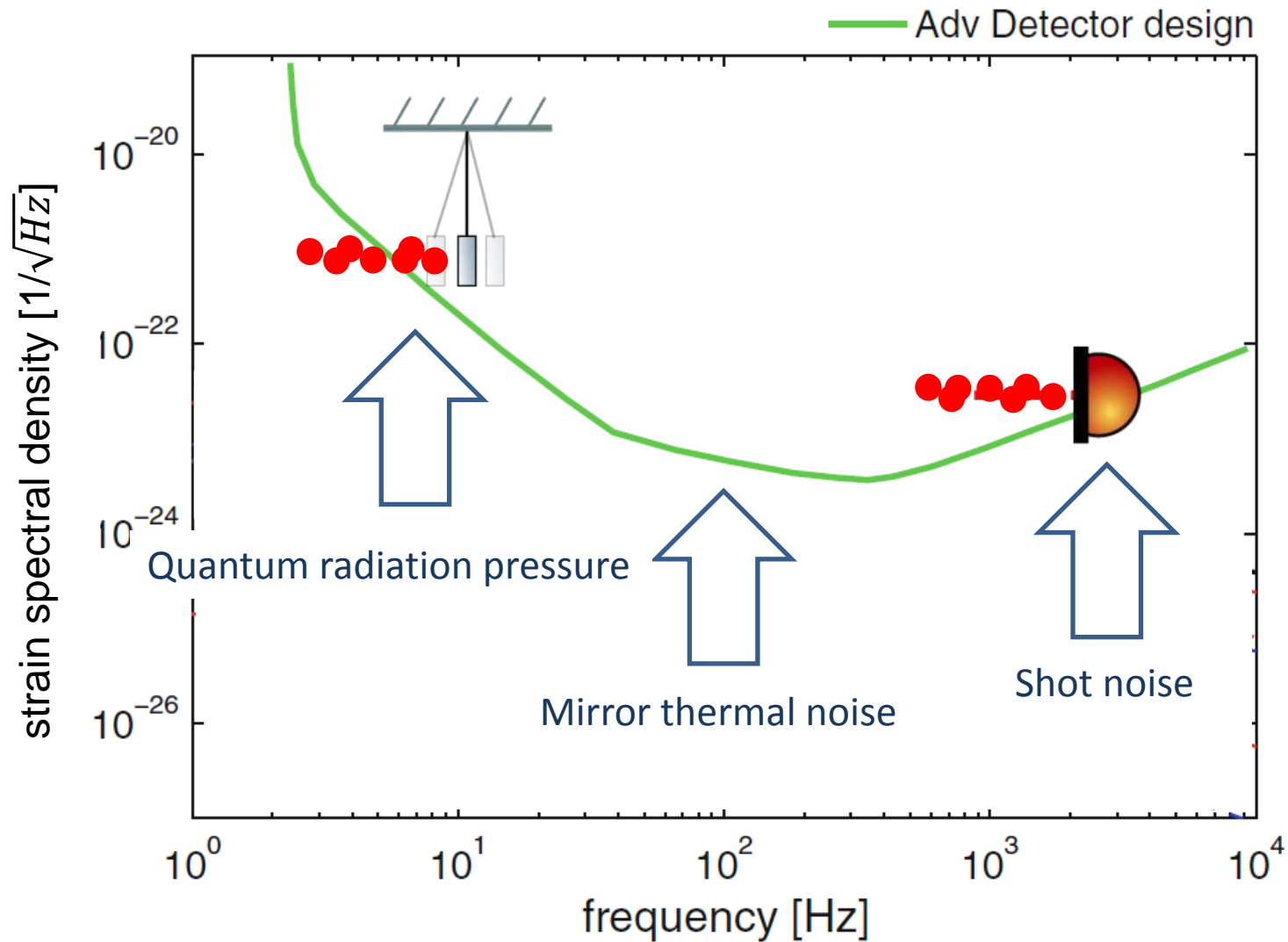
Outline of this talk

- AEI Hannover
- **Introduction**
- Resonant waveguide gratings (WGG)
- WGG @ 1064nm
- WGG @ 1550nm
- Summary

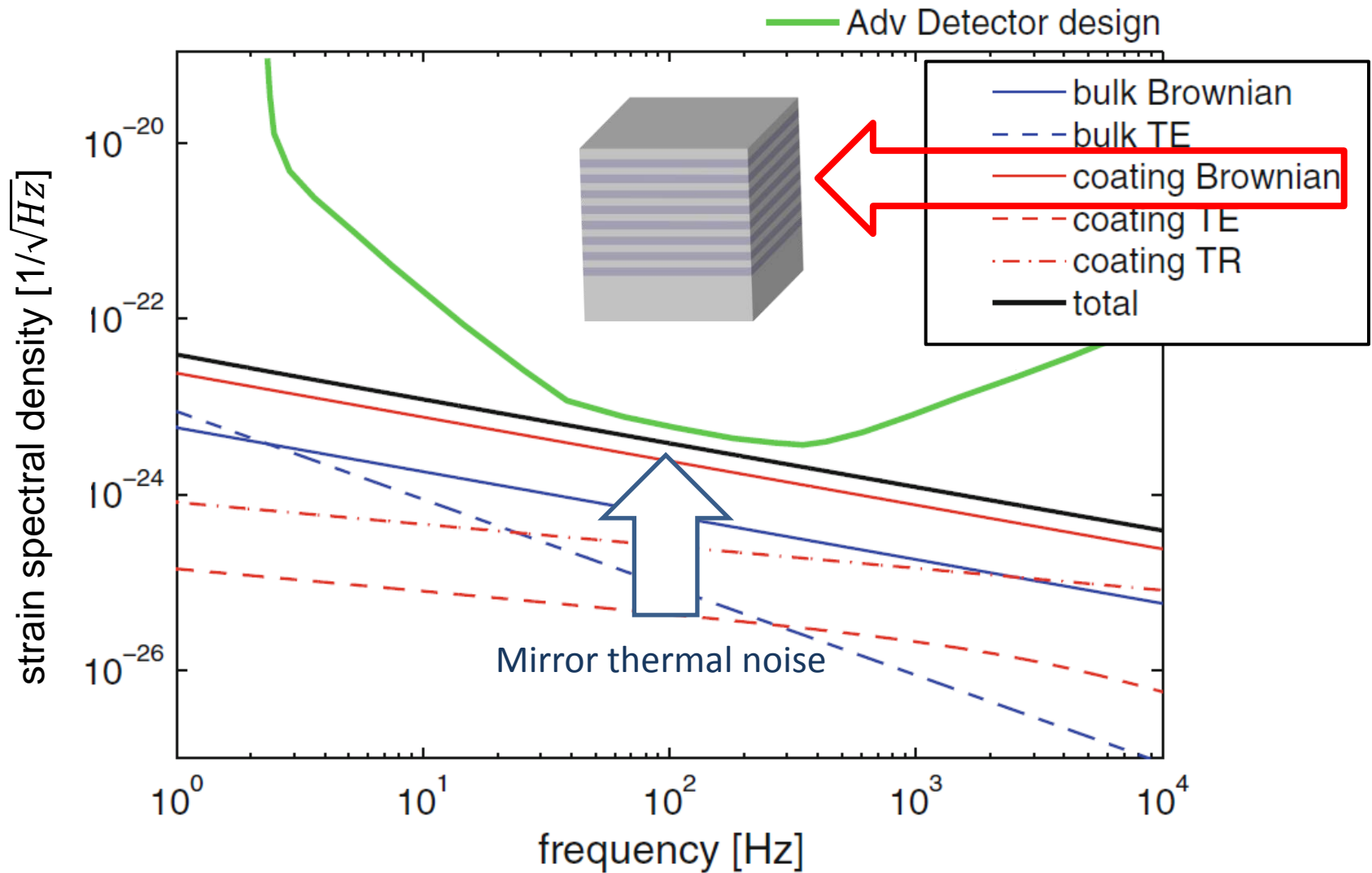
Advanced GW Detectors



3 Main Noise Sources



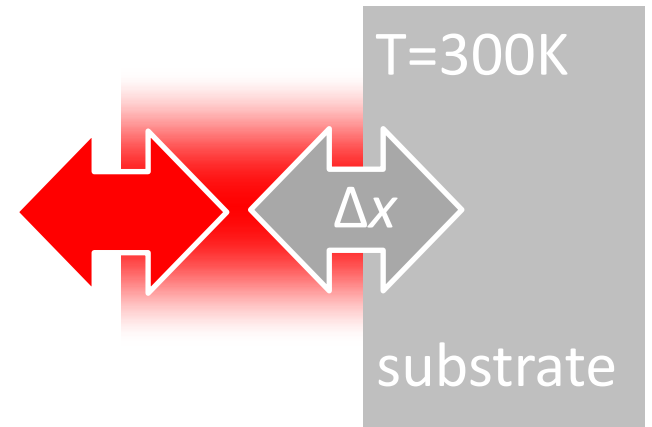
Mirror Thermal Noise



Brownian Thermal Noise

- Temperature driven eigenmotion
- Sensed by the laser beam
- Fluctuations $\Delta x \leftrightarrow$ Dissipation ϕ

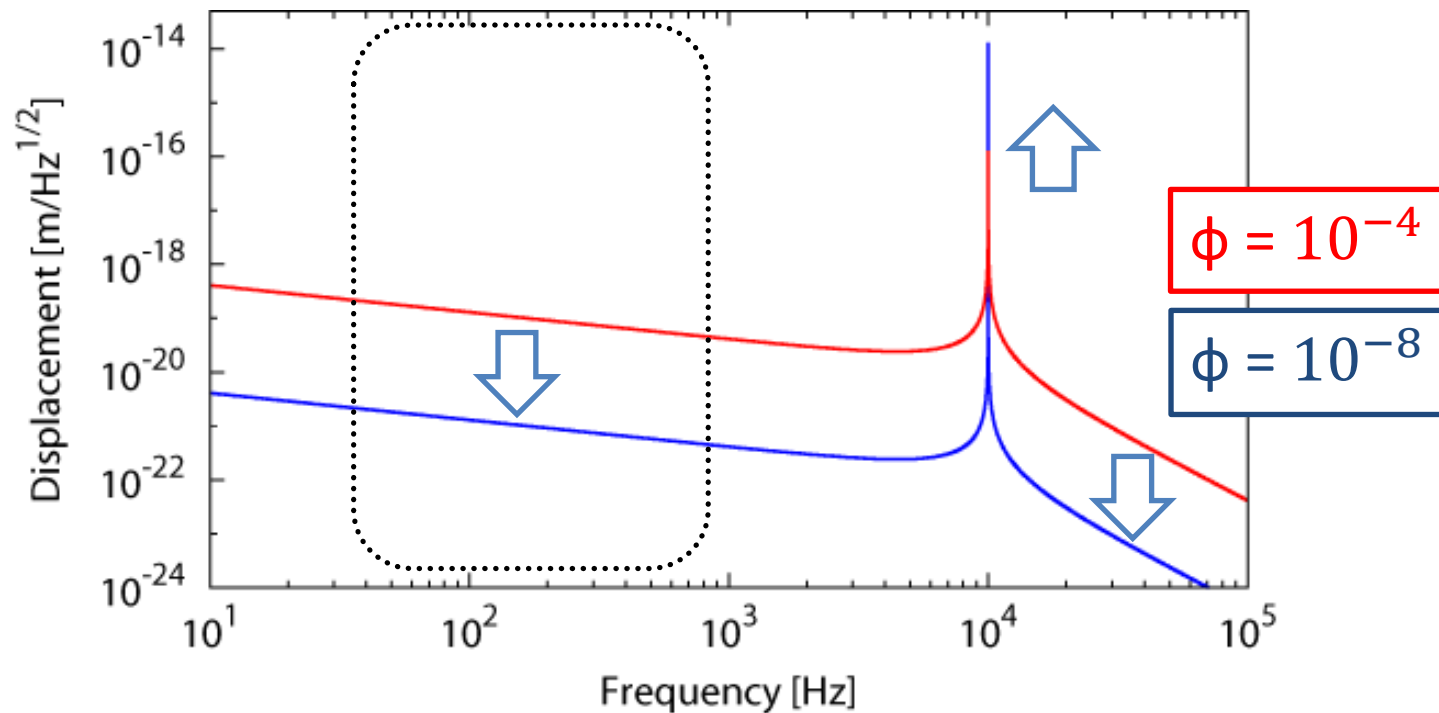
*H.B. Callen and R..F. Greene, Phys. Rev. **86**, 702, 1952 v*



Mechanical Oscillator

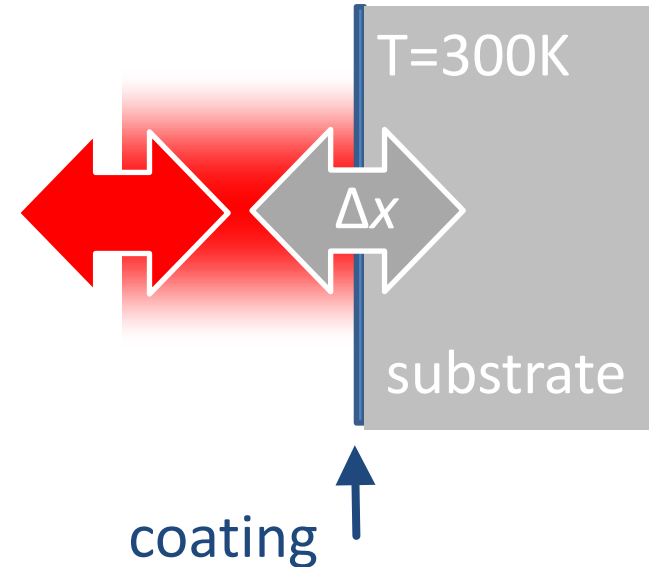
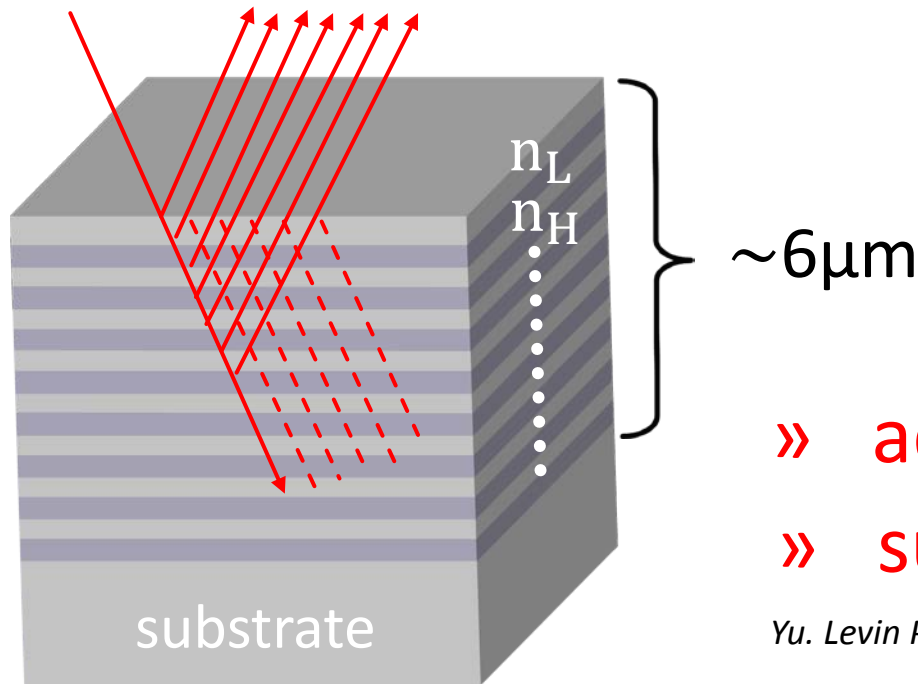
Detection band ↓

↓ Eigenfrequency



Multilayer Coatings

✓ High reflectivity



- » add mechanical loss
- » surface loss is crucial

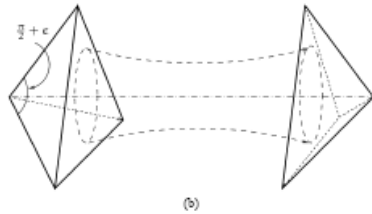
Yu. Levin Phys. Rev. D **57**, 659 (1998)

How to Cope with TN

$$S_x^2 = \frac{2k_b T}{\pi^{3/2} f Y} \frac{1}{r_0} \left[\underbrace{\phi_{\text{sub}}}_{\text{substrate}} + \frac{h}{\sqrt{\pi} r_0} \underbrace{\left(\frac{Y'}{Y} \phi_{\parallel} + \frac{Y}{Y'} \phi_{\perp} \right)}_{\text{coating}} \right]$$

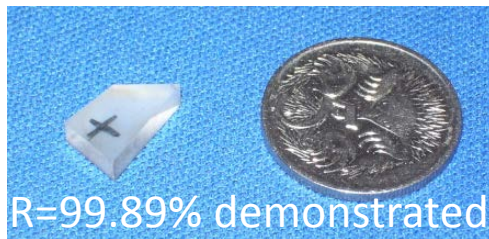
- Material research
- Cryogenic Temperature T
- Larger beam radii r_0
- Reduction of coating thickness h

Coating Reduced/Free Concepts



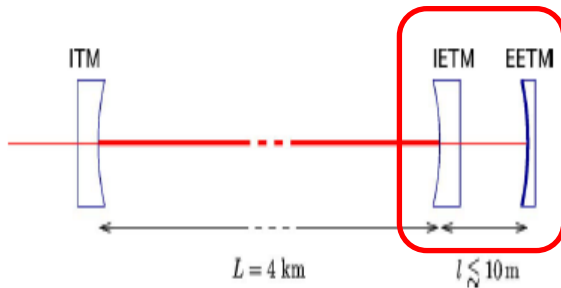
- Corner reflectors

V.B. Braginsky et al. Phys. Lett. A **324**, 345 (2004)



- Coating free retroreflector

S. Goßler et al. Phys Rev A **76**, 053810 (2007)



- Anti-resonant cavities

F. Ya. Khalili Phys. Lett. A **334**, 67 (2005)

Outline of this talk

- AEI Hannover
- Introduction
- Resonant waveguide gratings (WGG)
- WGG @ 1064nm
- WGG @ 1550nm
- Summary

Waveguide Gratings (WGGs)

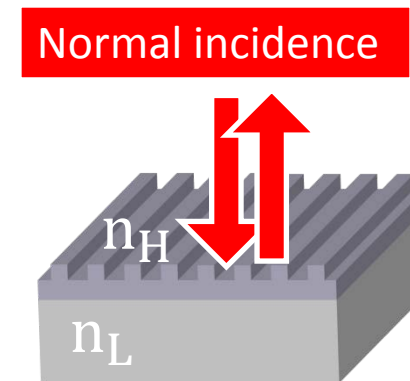
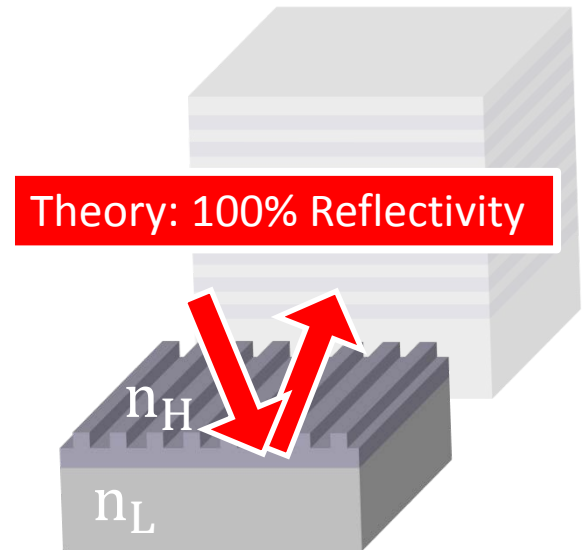
- Structured surfaces
- Indices of refraction ($n_H > n_L$)

- Resonant excitation of light

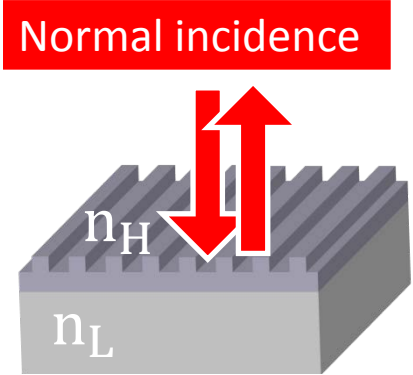
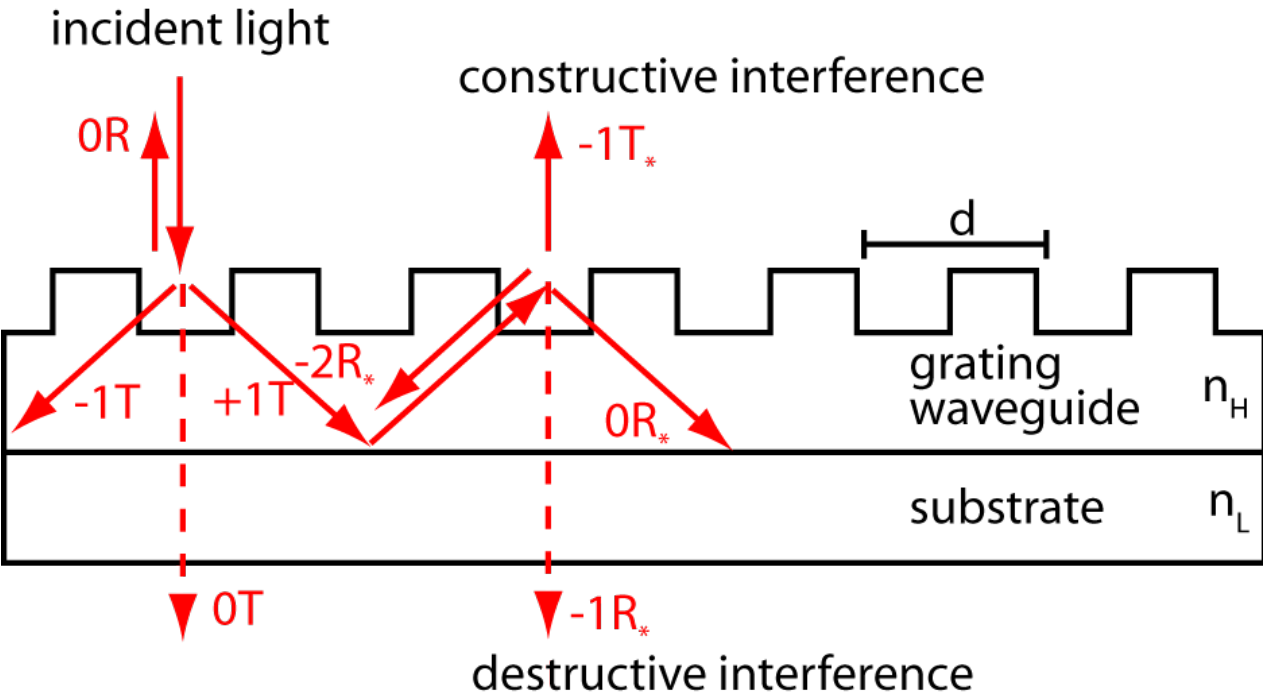
A. Hessel et al. Appl. Opt. 4, 1275 (1965)

- 98.5% demonstrated @ 1550nm

C.F.R. Mateus et al. IEEE Phot. Tech. Lett. 16, 1676 (2004)



Ray Picture



- Existence and direction of diffraction orders m

$$n_b \sin(\beta_m) = n_a \sin(\alpha) + \frac{m\lambda}{d}$$

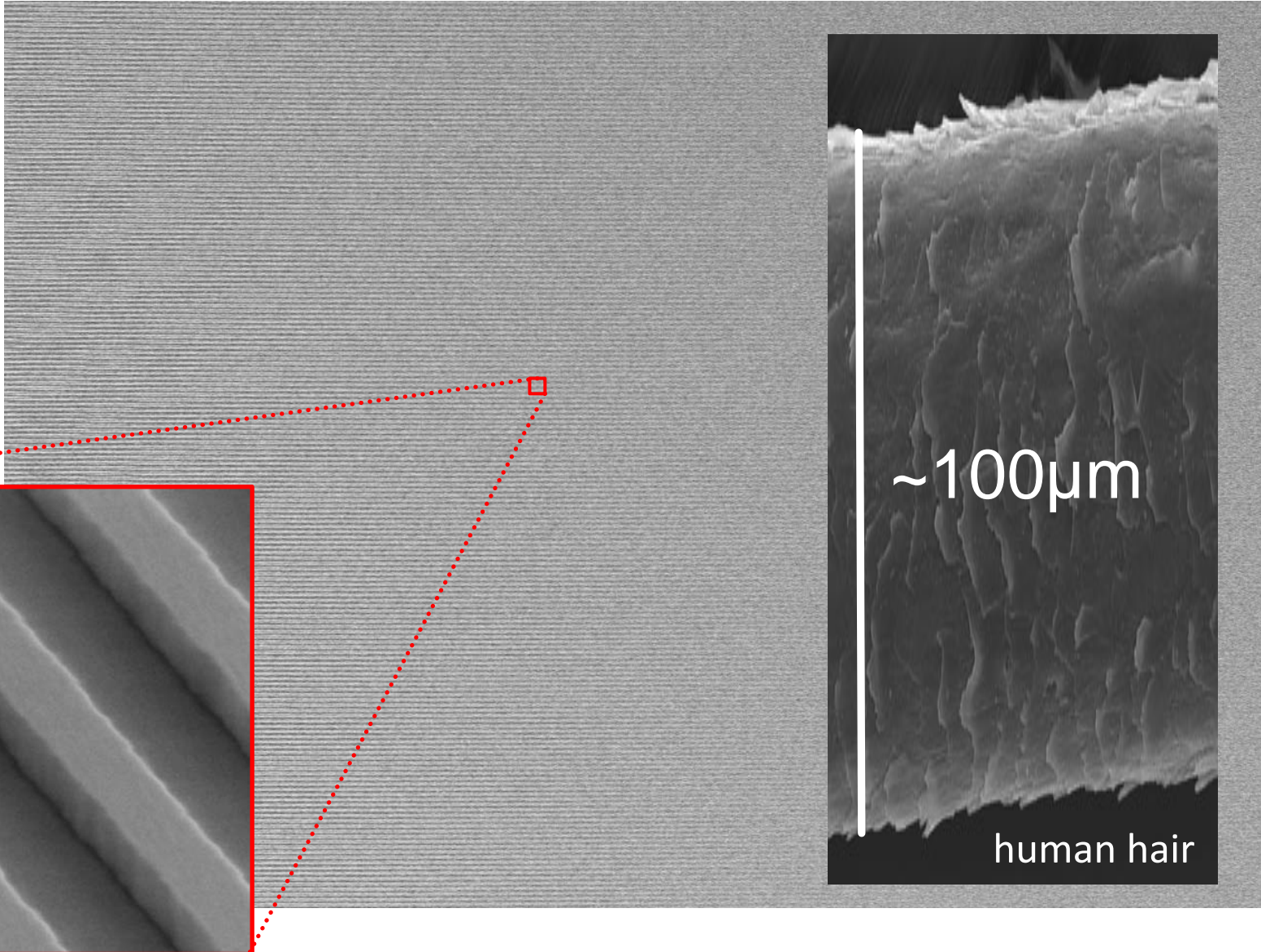
- Restrict diffraction orders

Only 0th order in air $d \leq \lambda$

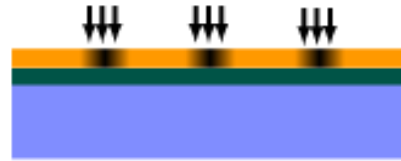
± 1 st orders in waveguide $\lambda/n_H \leq d \leq 2\lambda/n_H$

Only 0th order in substrate $d \leq \lambda/n_L$

Subwavelength Structures



Grating Fabrication



① Electron-beam-lithography



② Resist-removal



③ Etching of the mask



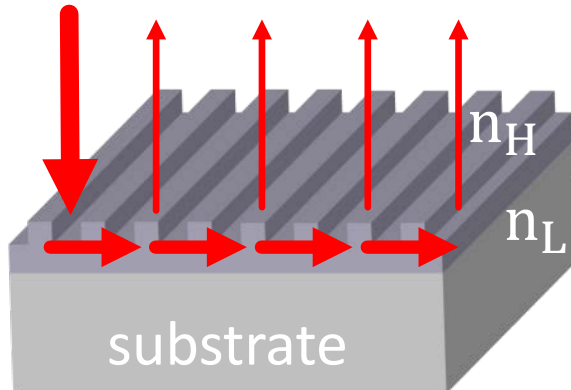
④ Anisotropic etching



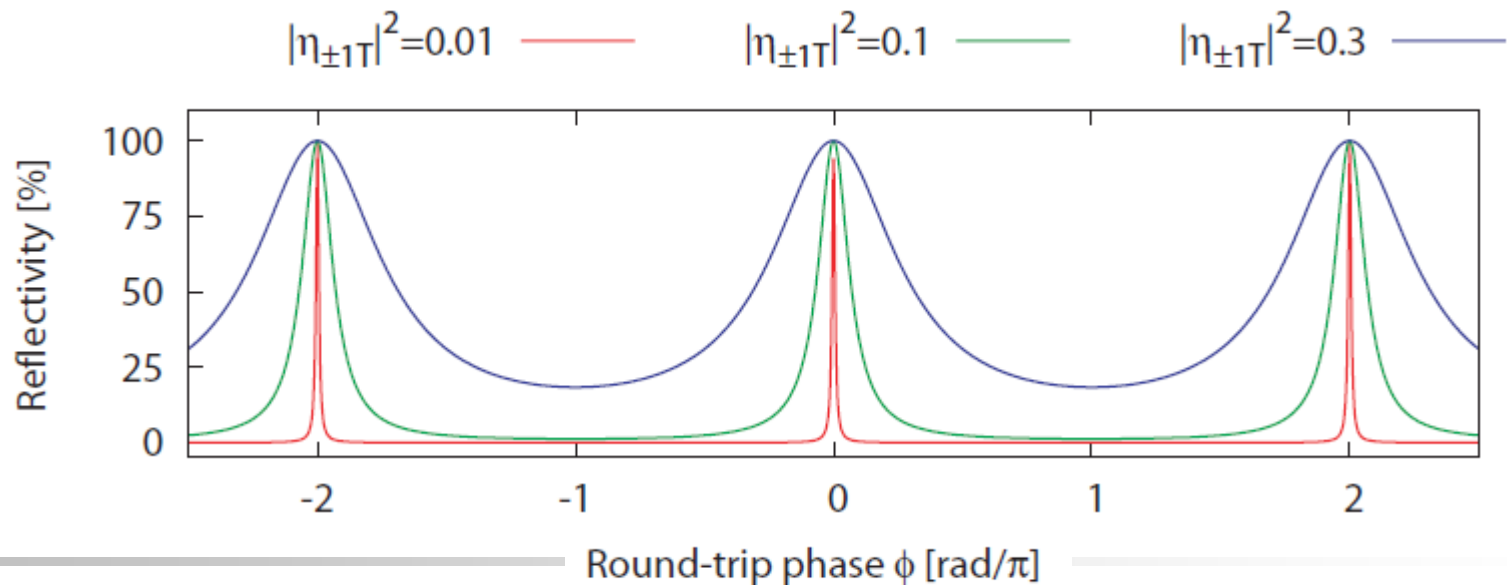
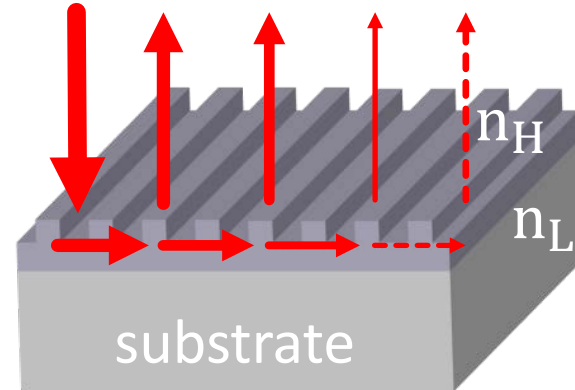
⑤ Cleaning

Resonant Excitation

- Small coupling
→ Long storage time



- Strong coupling
→ Short storage time

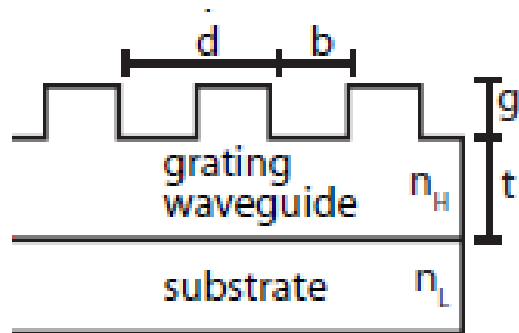


Grating Design

- Rigorous coupled wave analysis (RCWA)

M.G. Moharam et al. J. Opt. Soc. Am. 71 (1981)

- For given wavelength, materials and polarization



d grating period
 b ridge width
 g groove depth
 t waveguide thickness

} b/d fill factor

- Numerical implementation: e.g. UNIGIT



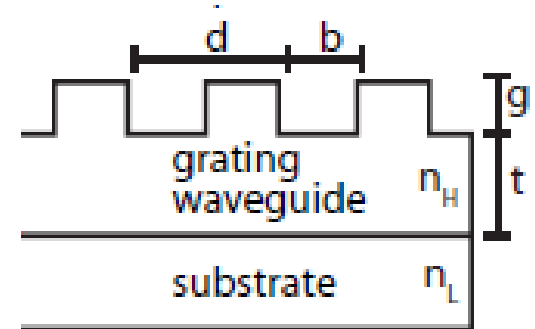
www.unigit.com

Outline of this talk

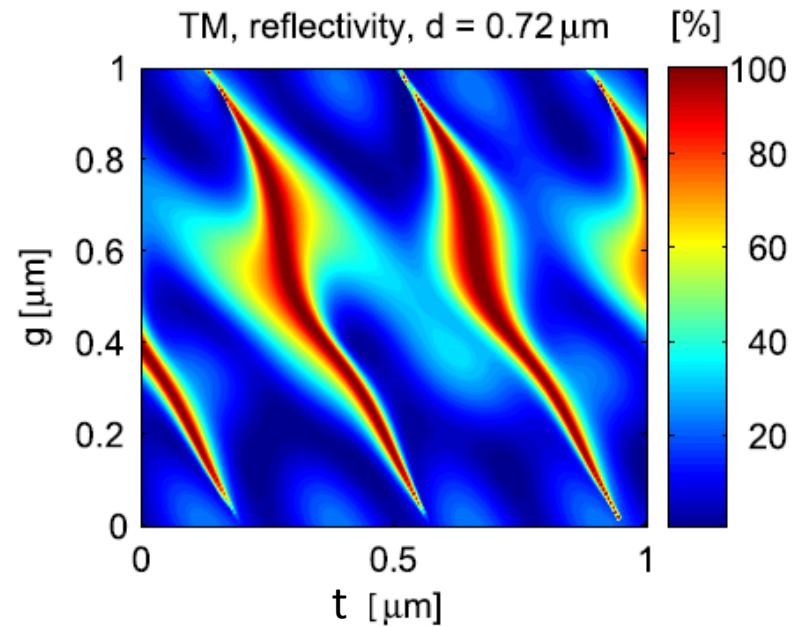
- AEI Hannover
- Introduction
- Resonant waveguide gratings (WGG)
- **WGG @ 1064nm**
- WGG @ 1550nm
- Summary

WGGs for GW Detectors

- Proposed as low thermal noise mirror
- Based on 1st generation materials

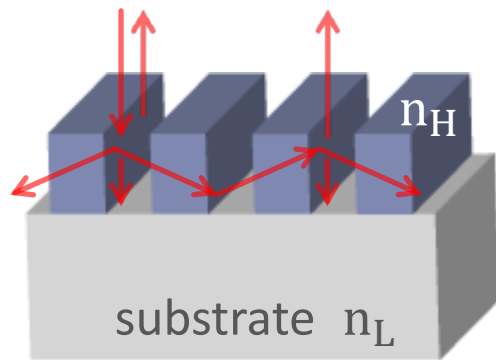


- ✓ 1064nm laser wavelength
- ✓ Ta₂O₅ grating ($n_H=2.04$)
- ✓ SiO₂ substrate ($n_L=1.45$)

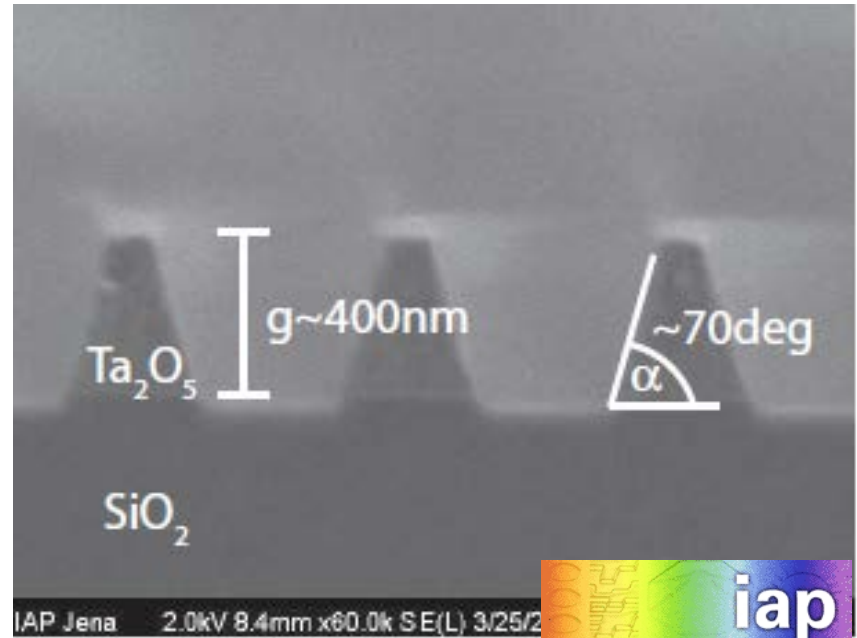


High reflectivity grating waveguide coatings for 1064nm
A. Bunkowski, ..., DF et al. CQG **23**, 7297 (2006)

WGG @ 1064nm

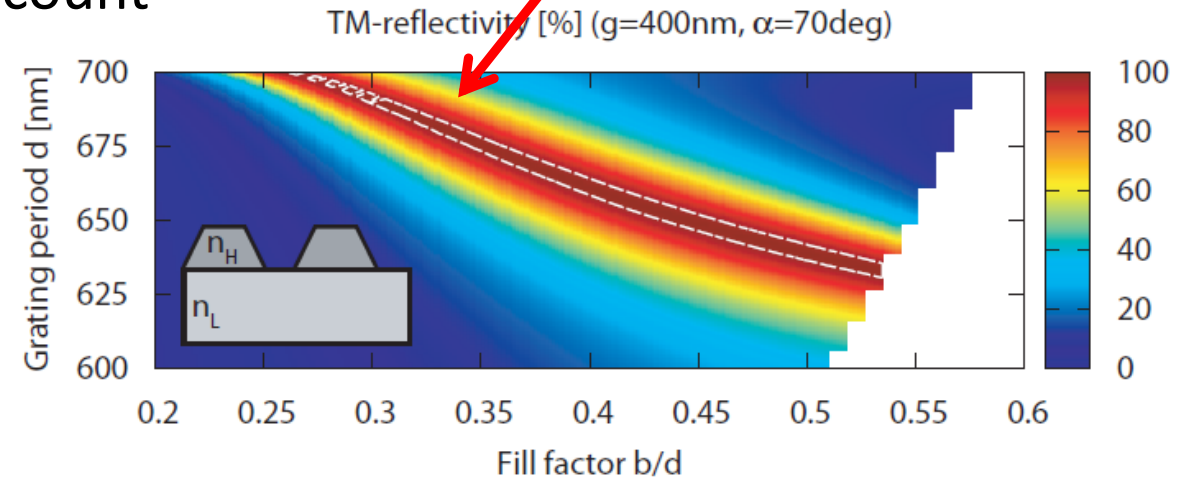
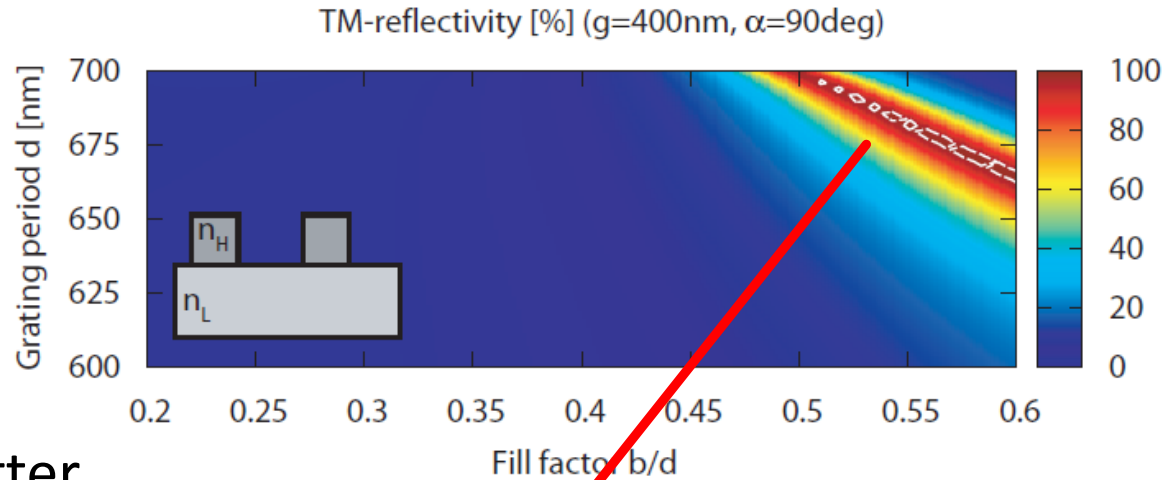
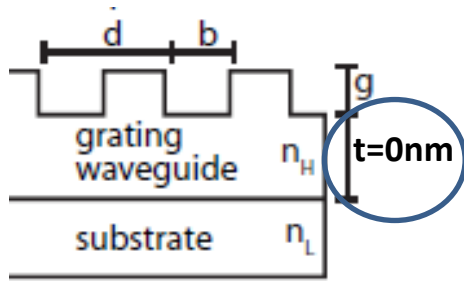


- Concept

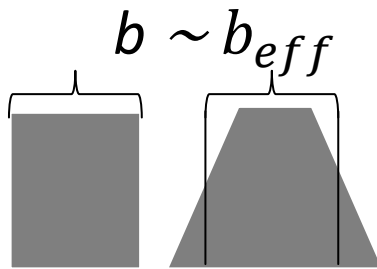


- Realization

Trapezoidal Structures - Design

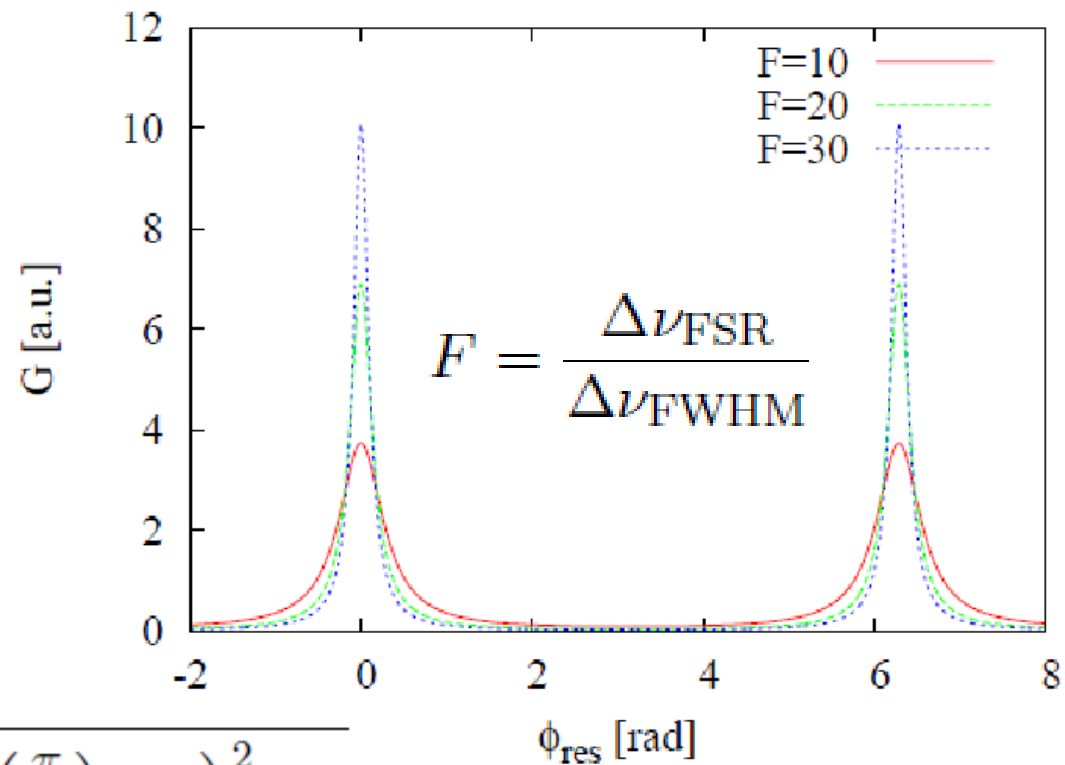
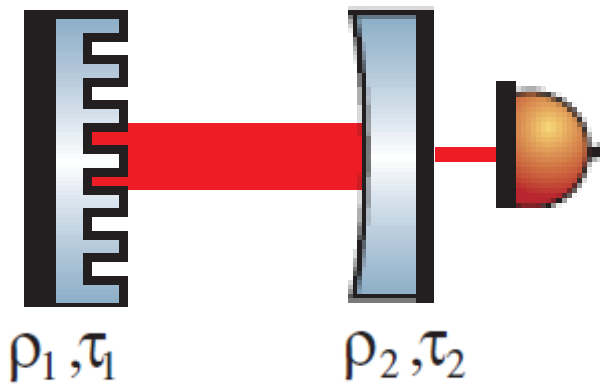


- Effective parameter matter
- Design takes this into account



WGG as Cavity Mirror

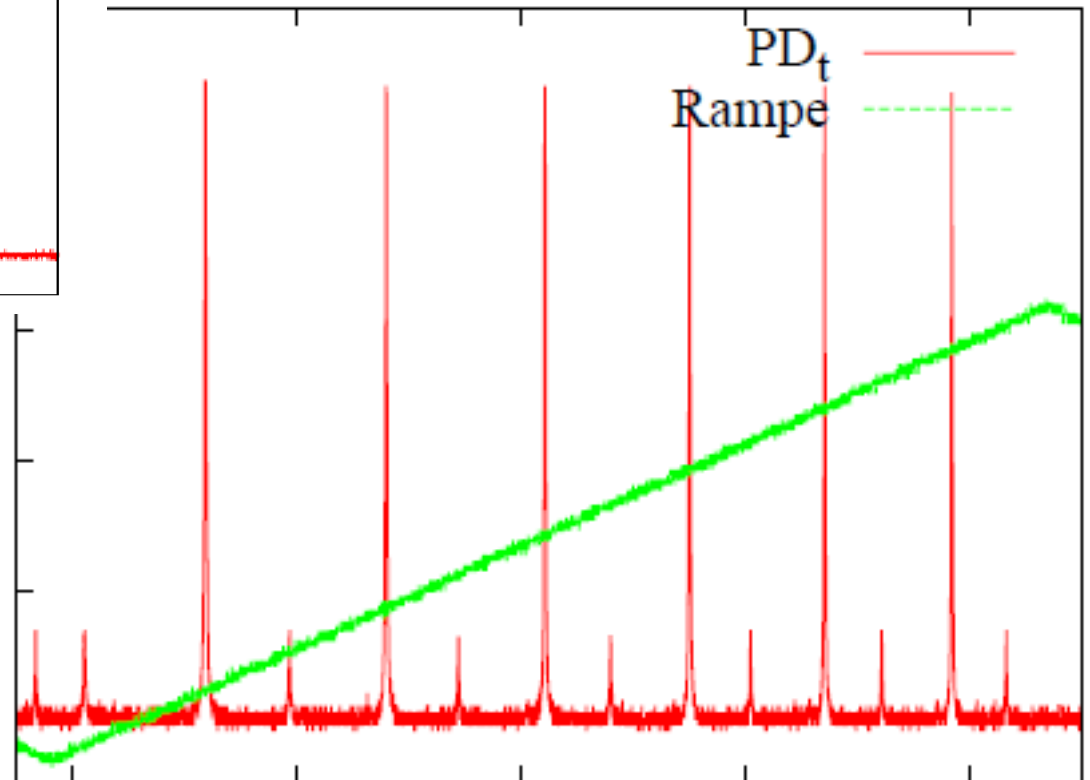
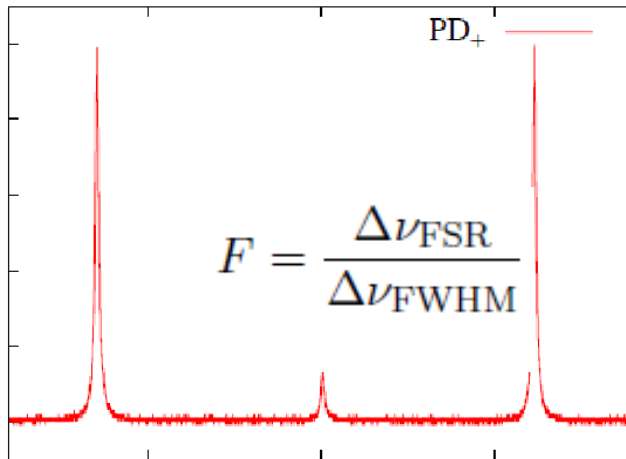
- Finesse F measurement
 - Determine reflectivity under well defined conditions
 - Normal incidence, cavity eigenmode, ...



$$F = \frac{\pi}{\arccos \left(1 - \frac{(1 - \rho_1 \rho_2)^2}{2\rho_1 \rho_2} \right)}$$

$$\rho_1 \rho_2 = 2 - \cos \left(\frac{\pi}{F} \right) - \sqrt{\left(\cos \left(\frac{\pi}{F} \right) - 2 \right)^2 - 1}$$

Experiment

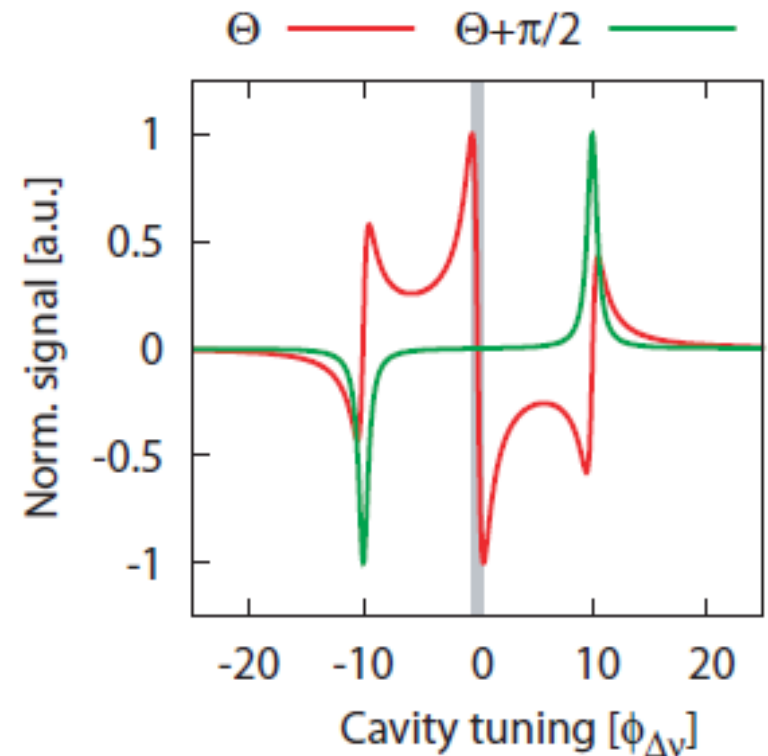
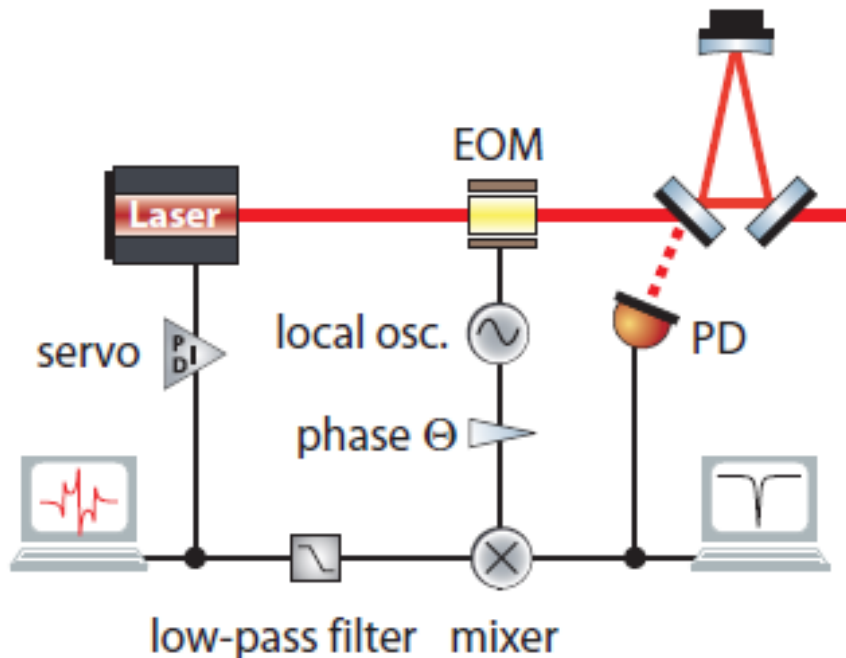


Potential problems

- Actuator range
- Non-linear actuation
- Resolution of data
- Air fluctuations
- ...

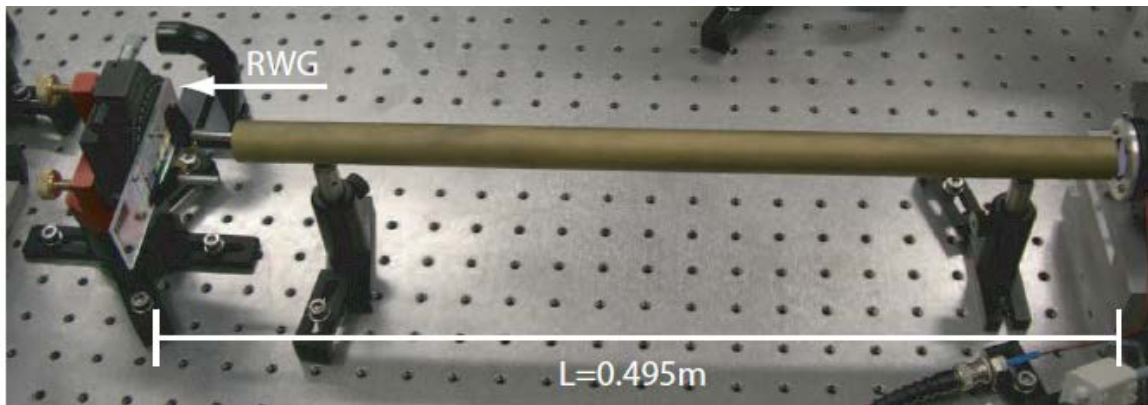
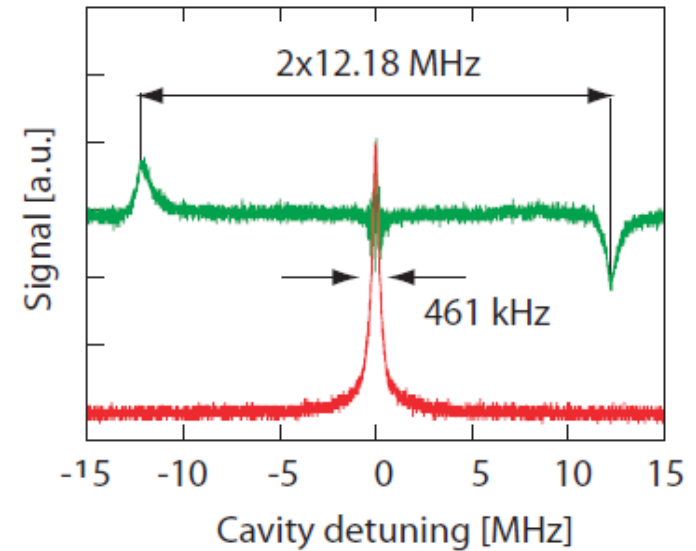
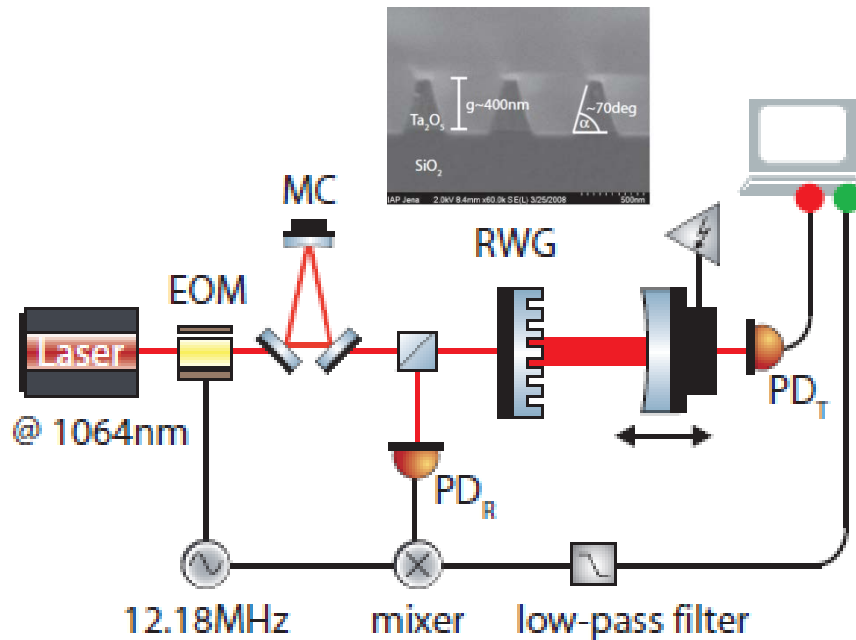
Pound-Drever-Hall Technique

- Calibrate tuning via frequency marker \rightarrow bandwidth
- Cavity length measurement $\Delta\nu_{\text{FSR}} = \frac{c}{2l}$ \rightarrow free spectral range



Error signal / Frequency marker

WGG as Cavity Mirror @1064nm



Finesse = 660 (± 30)

$R = 99.08 (\pm 0.04)\%$

$T = 0.94 (\pm 0.09)\%$

optical loss ≤ 1300 ppm

Demonstration of a cavity coupler based on a resonant waveguide grating

F. Brückner, DF et al. Opt. Express 17 (2009)

Glasgow Prototype Facility



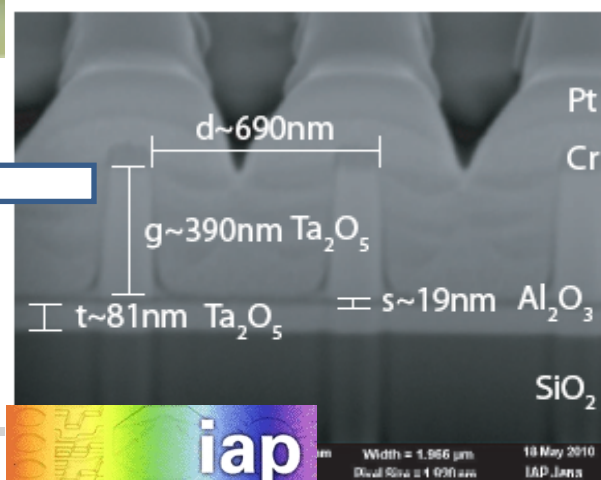
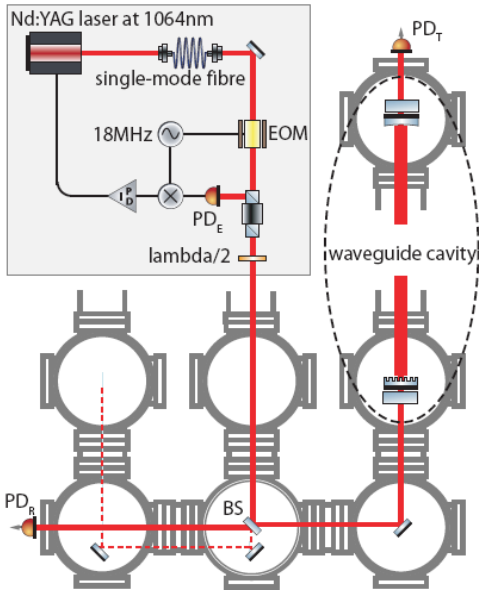
UNIVERSITY
of
GLASGOW

- Test compatibility with large scale experiments

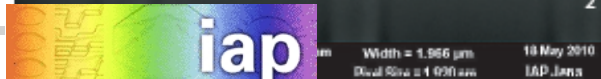


10 meter

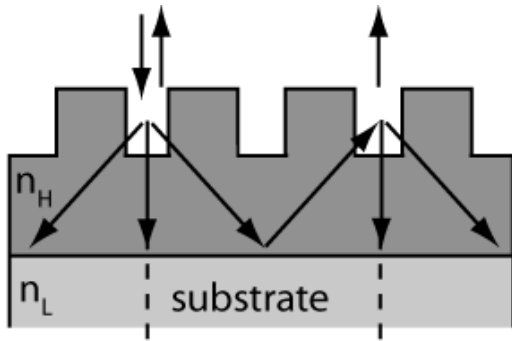
Tantala based WGG
for 1064nm



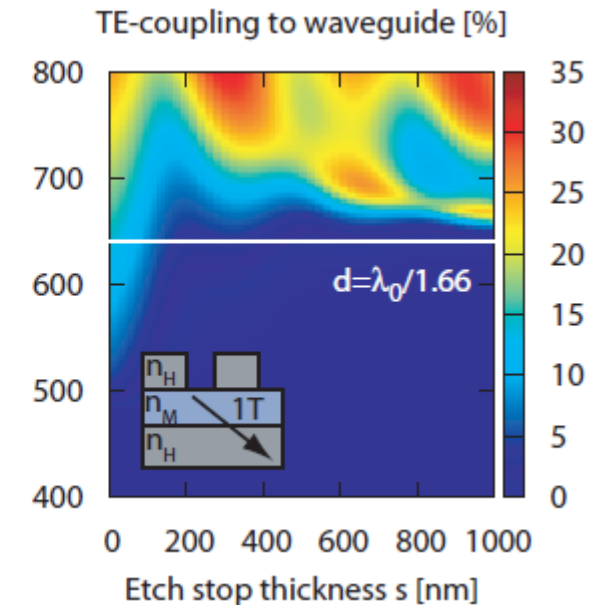
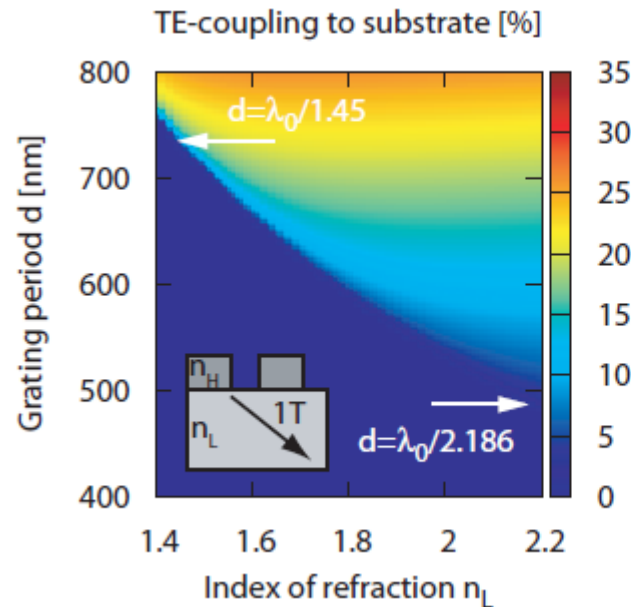
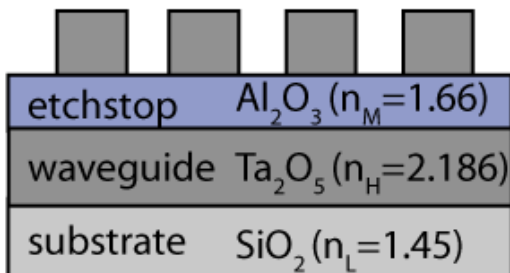
Glasgow group:
B.W. Barr, S. Hild,
J. Nelson, J. Mcarthur,
M.V. Plissi, S. Huttner,
M.P. Edgar and K. Strain



WGG Including Etch Stop Layer



- Etch stop
→ defines grating depth / waveguide thickness
- Thin etch stop layer
→ Optical properties can be preserved



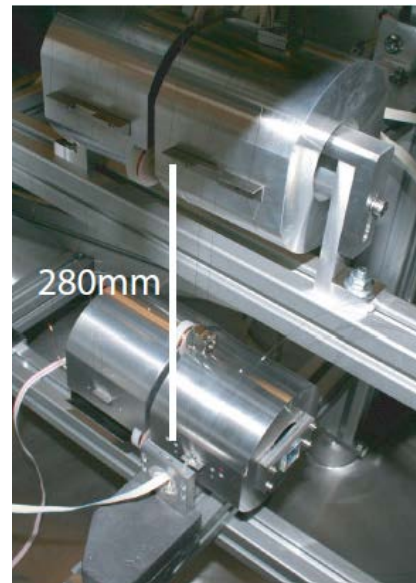
Low Noise Environment



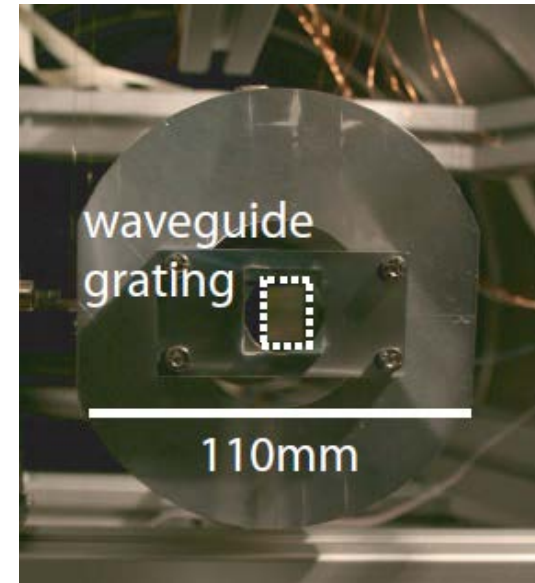
UNIVERSITY
of
GLASGOW



✓ Vacuum condition



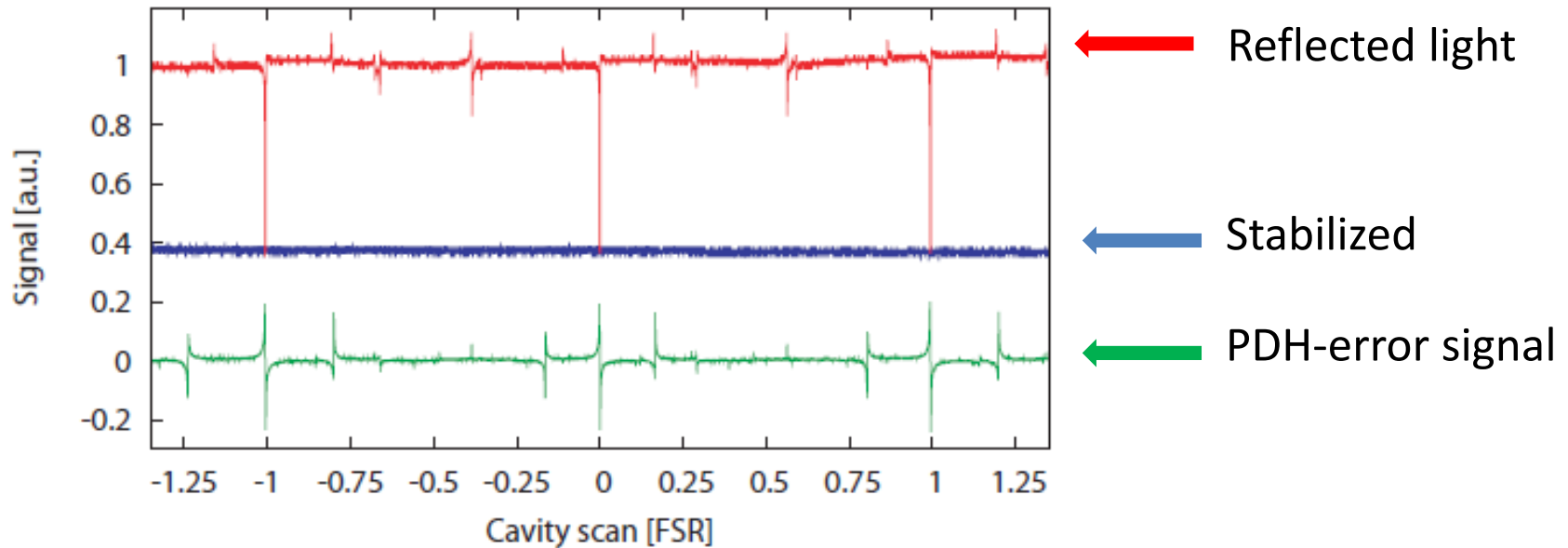
✓ Suspended optics



Experimental Results

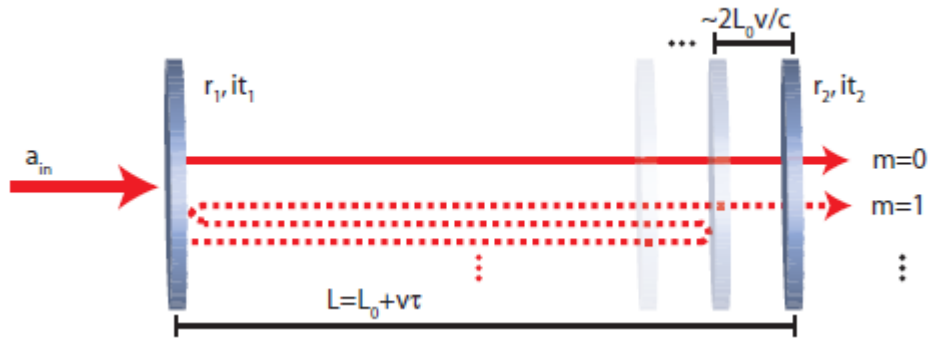


UNIVERSITY
of
GLASGOW



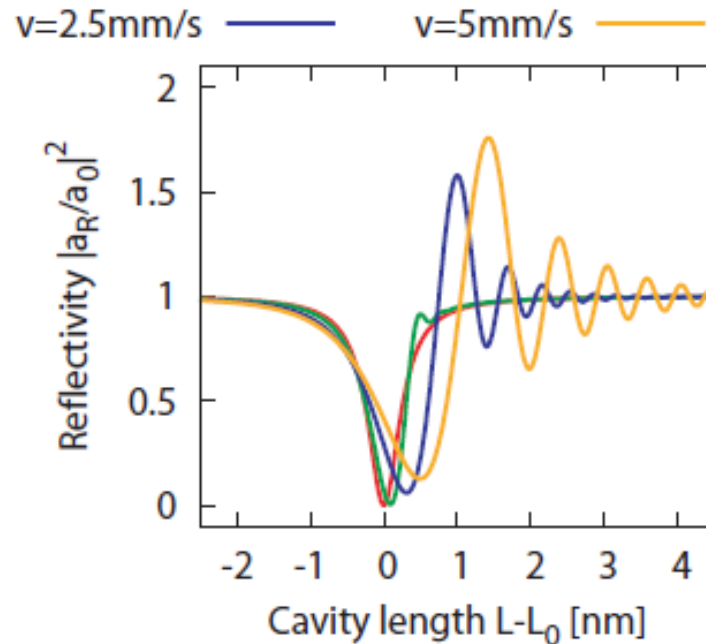
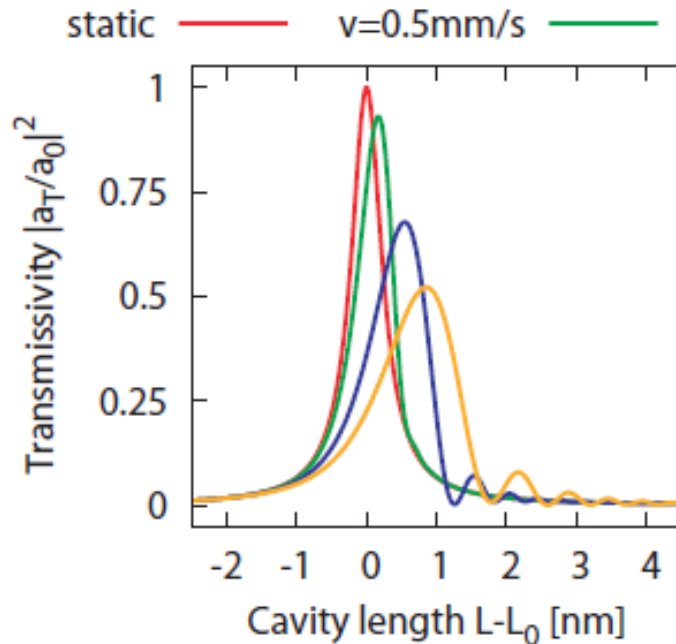
- Cavity stabilization with standard PDH-technique
- Technical feasibility was shown

Dynamical Effects (Ringing)



$$\frac{a_T}{a_{in}} = -t_1 t_2 \sum_{m=0}^{\infty} (r_1 r_2)^m \exp(i\phi_m)$$

$$\phi_m \approx (2m+1)kL - m(m+1)kv \frac{2L_0}{c}$$



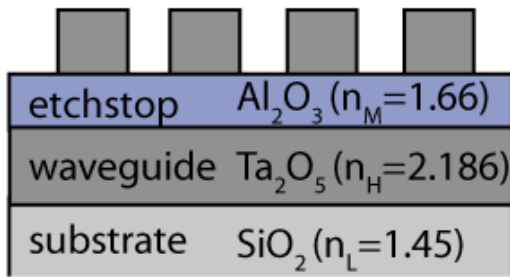
Cavity parameter: Finesse=1000, $L_0=0.1\text{m}$

Fitting Dynamical Model

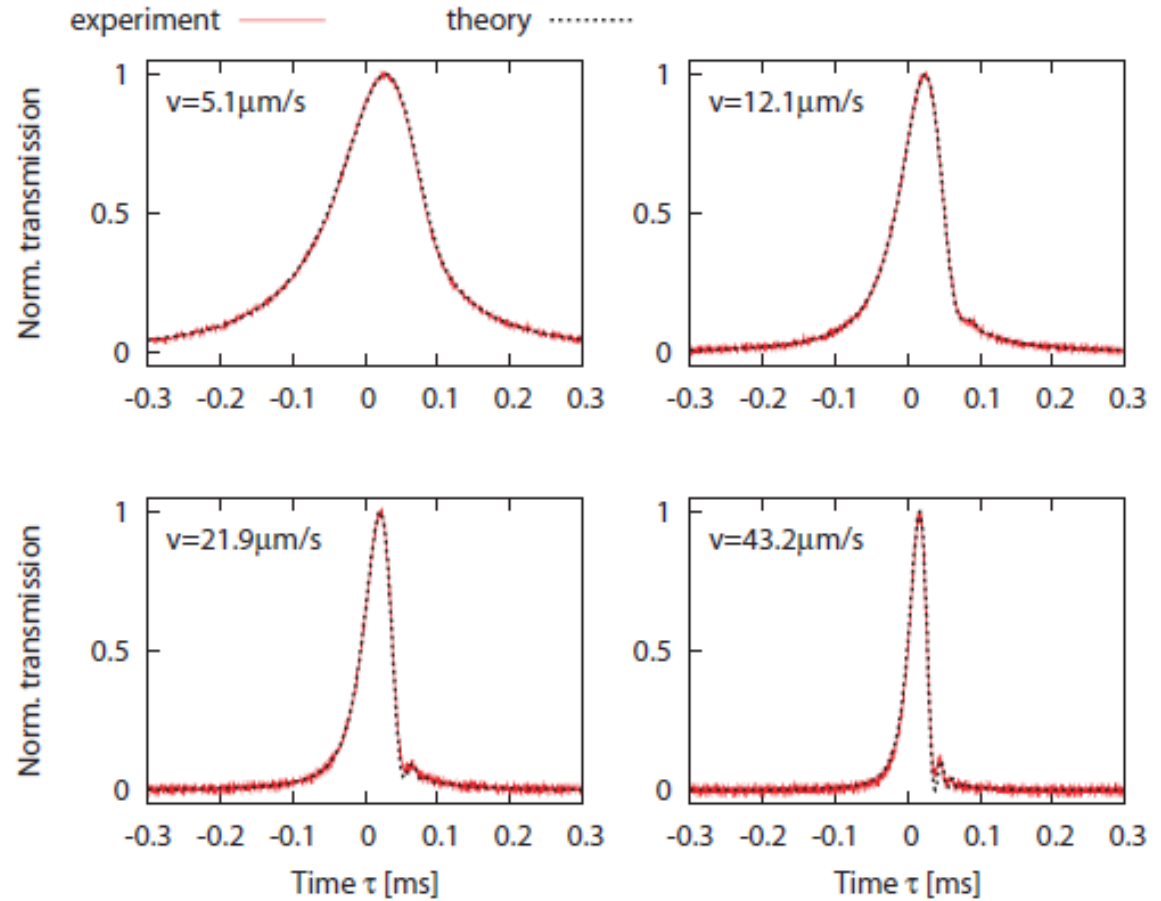


UNIVERSITY
of
GLASGOW

$R \geq 99.2 (\pm 0.1)\%$



Finesse = 790 (± 100)



Waveguide grating mirror in a fully suspended 10 meter Fabry-Perot cavity
DF et al. Opt. Express 19, 14955 (2011)

Outline of this talk

- AEI Hannover
- Introduction
- Resonant waveguide gratings (WGG)
- WGG @ 1064nm
- **WGG @ 1550nm**
- Summary

Silicon WGGs @ 1550nm

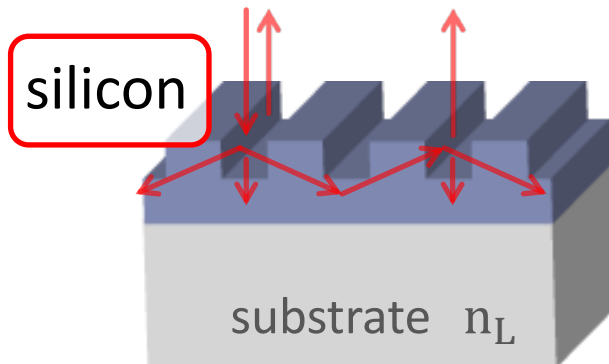
- Promising material for **3rd generation detectors**

Design study: ET-0106C-10

- Very well suited for **cryogenic temperatures**

- Thermoelastic noise
~0 @ T=18 and 125K

- Low mechanical loss
 $\phi_{Si} \sim 10^{-9}$ @ T=10K



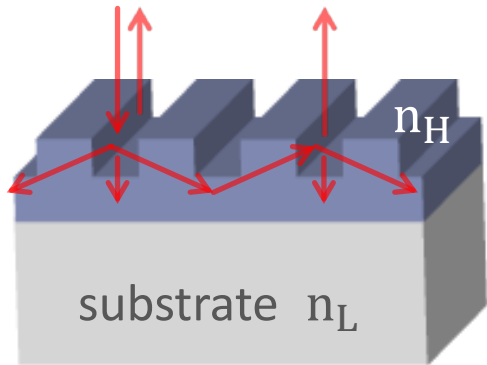
- Very well suited for **WGG**

$n_H = 3.5$ @ 1550nm

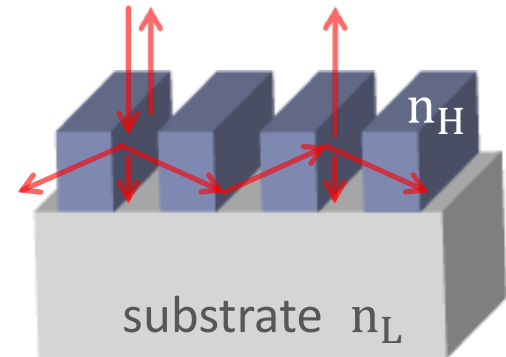
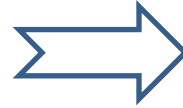
→ high coupling efficiencies

→ parameter tolerant WGG

Reduction of Low Index Material



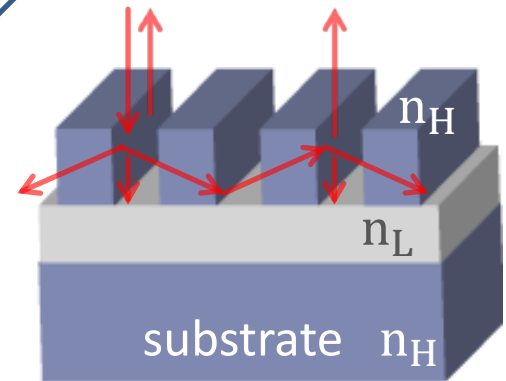
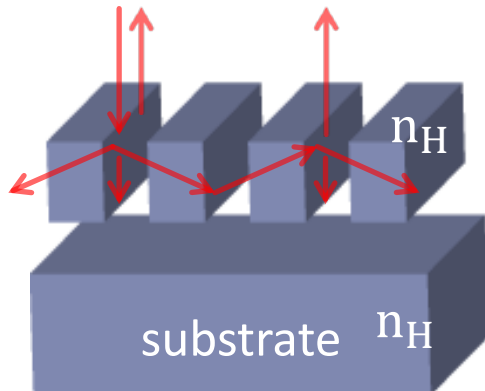
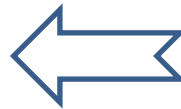
Only ridges



Reduce low index material

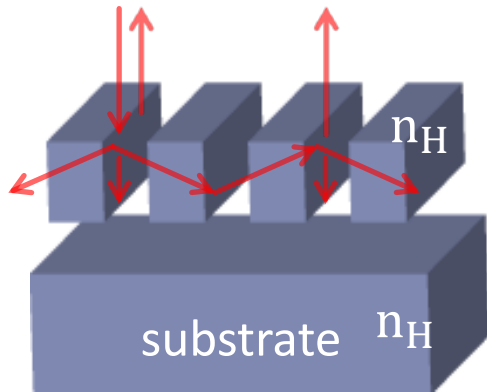
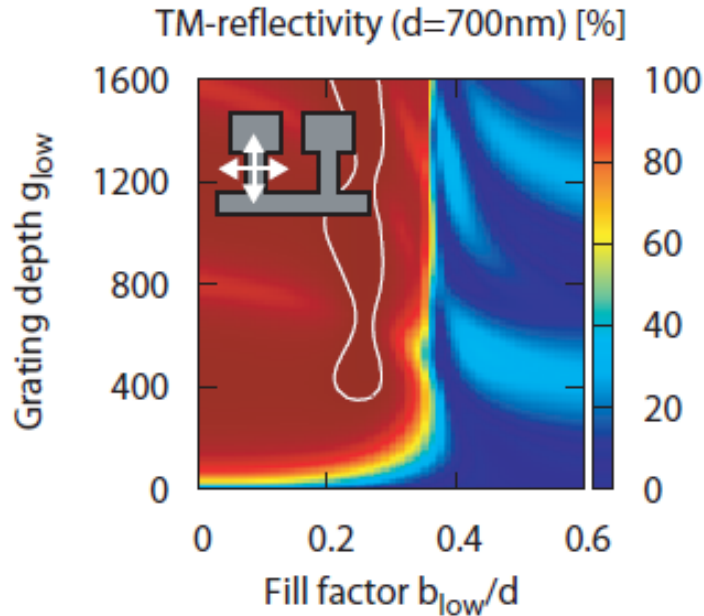


Replace by air

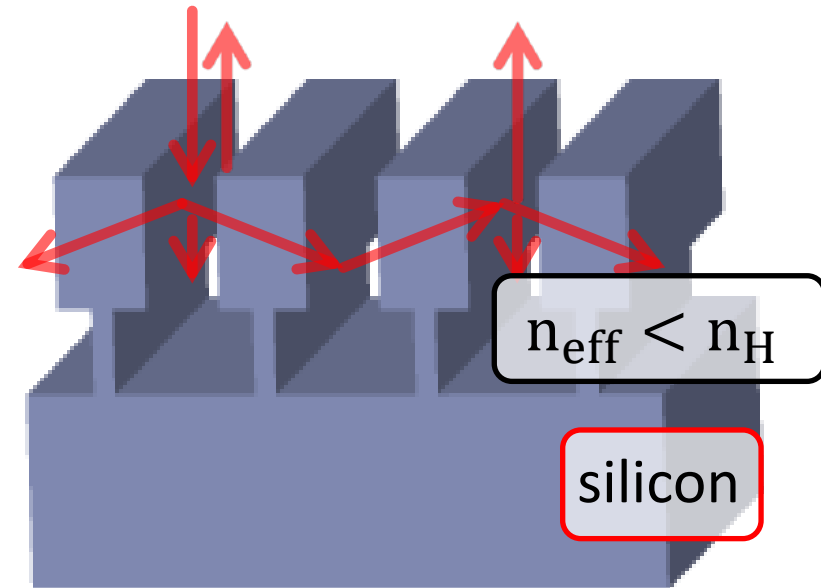


J.-S. Ye et al., J. Mod. Opt **53**, 1995 (2006)

Monolithic Design



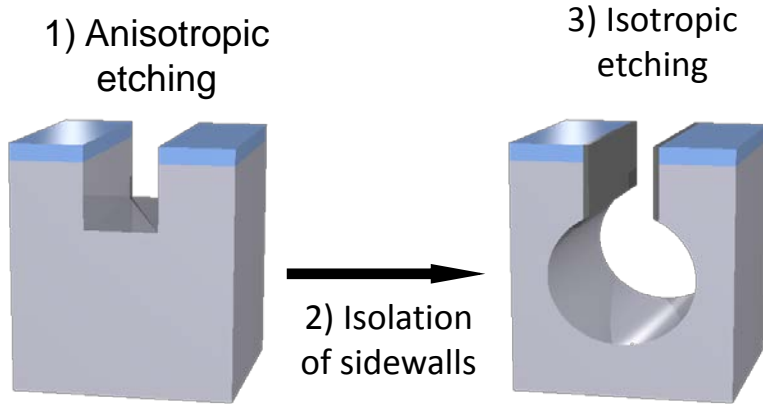
Thin grating structure



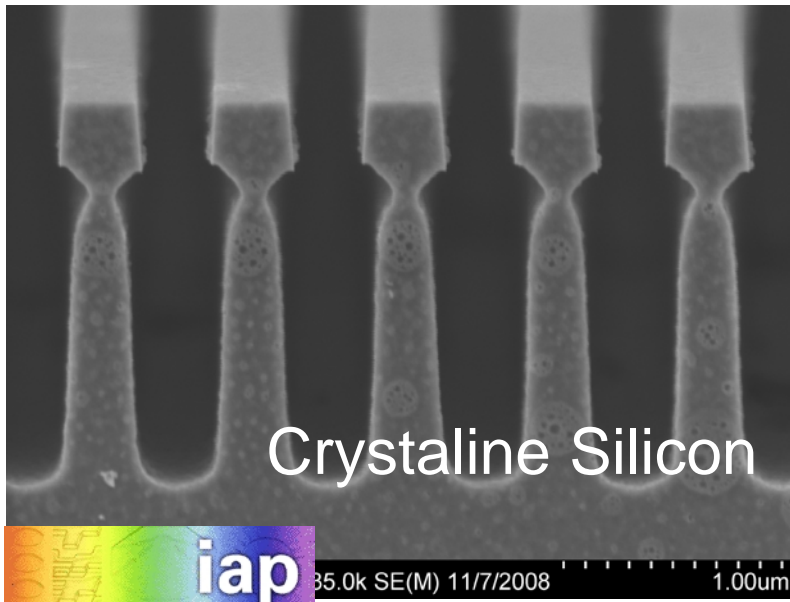
J.-S. Ye et al., J. Mod. Opt **53**, 1995 (2006)

Monolithic dielectric surfaces as new low-loss light-matter interface
F. Brückner, ..., DF et al. Opt. Lett. **33**, 264 (2008)

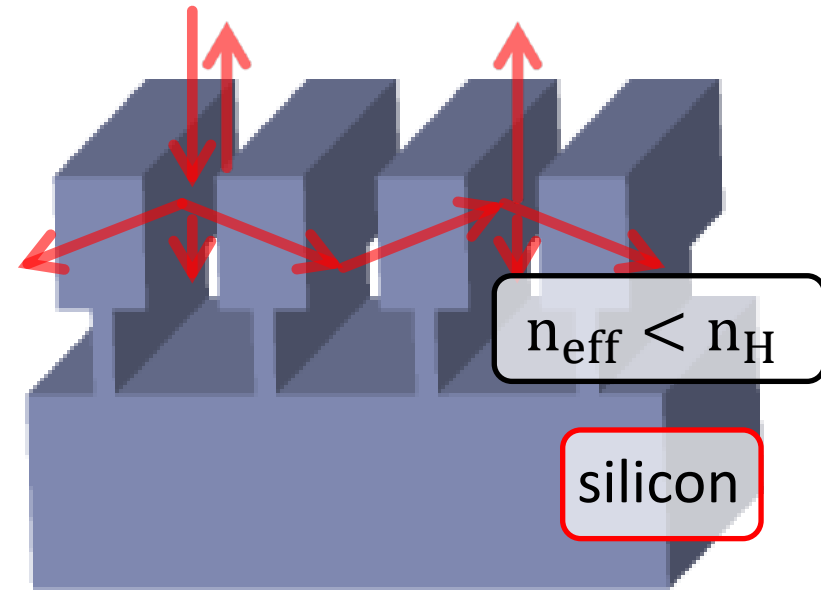
Monolithic Realization



no material is added

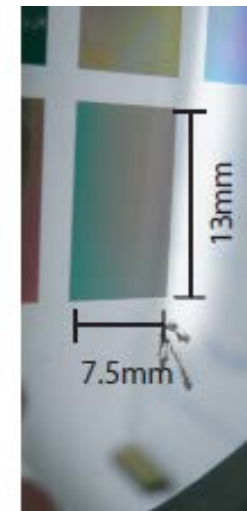
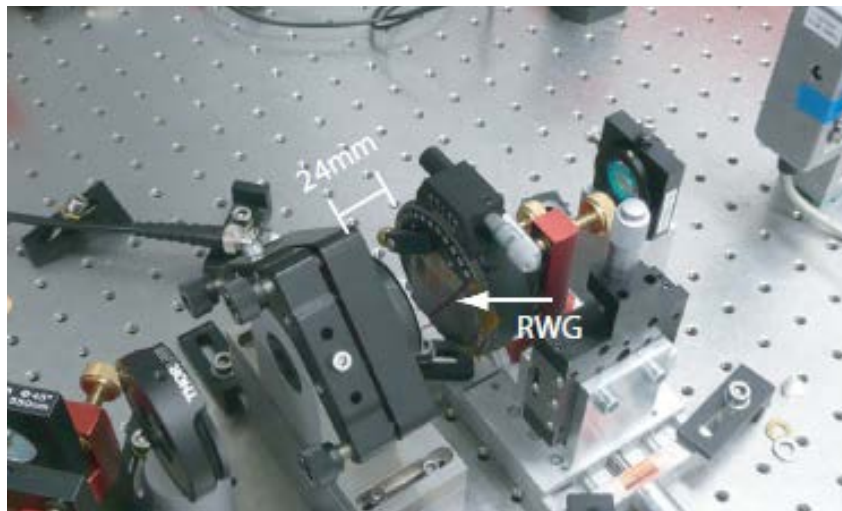
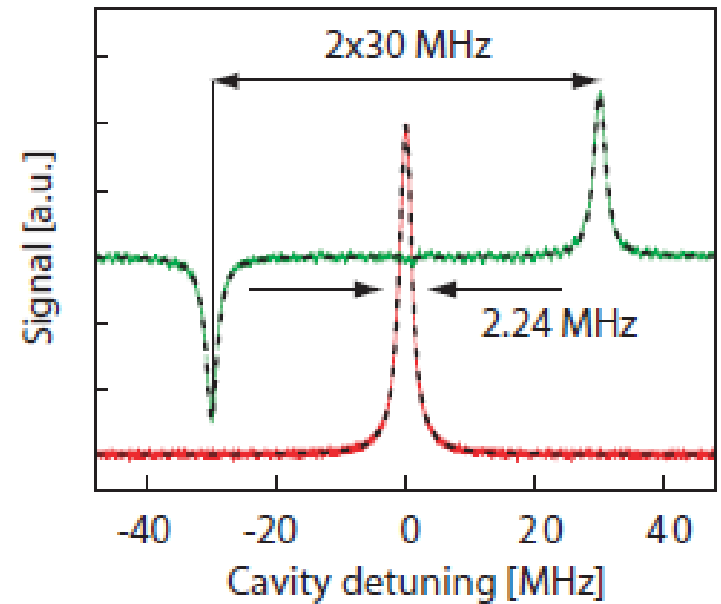
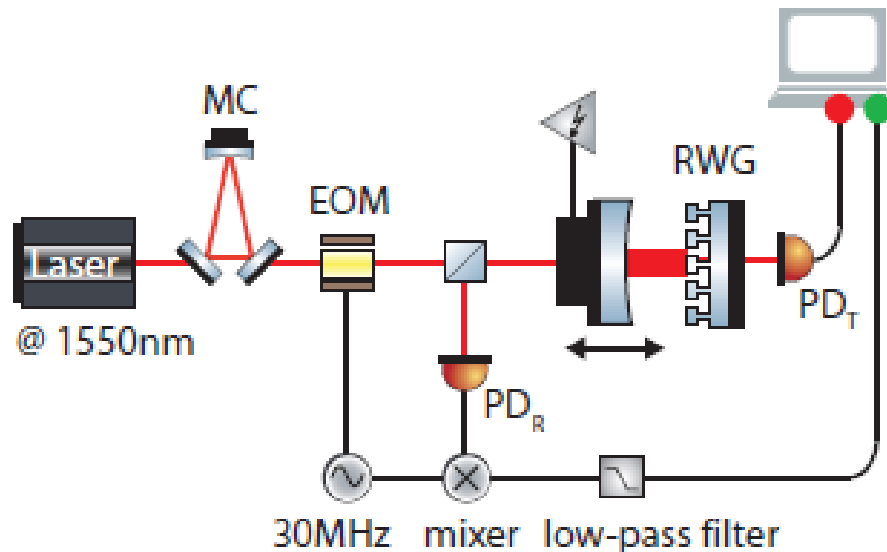


- Realization

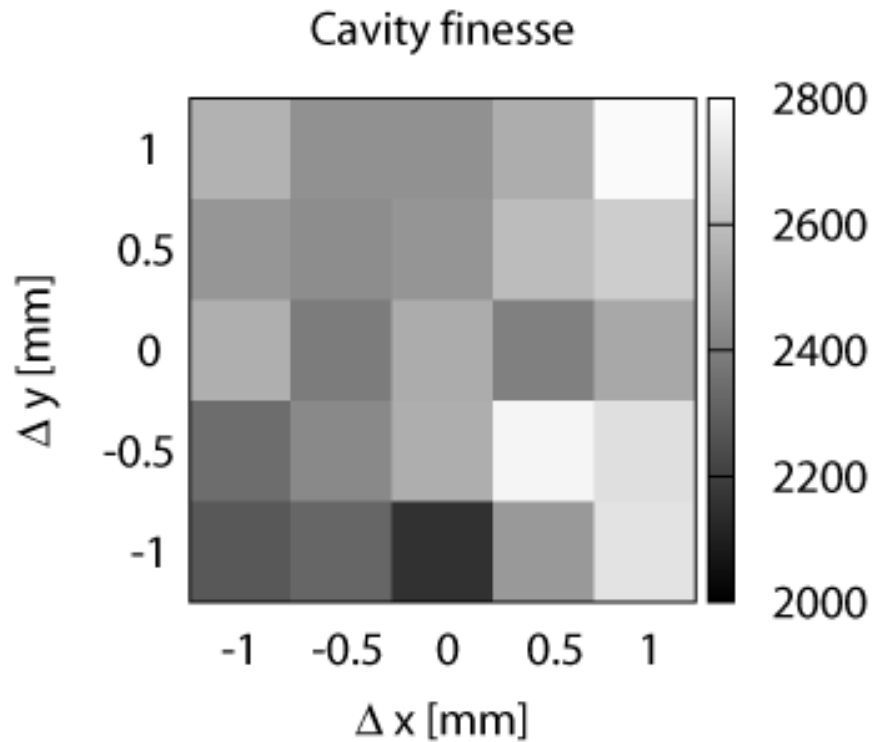


- Concept

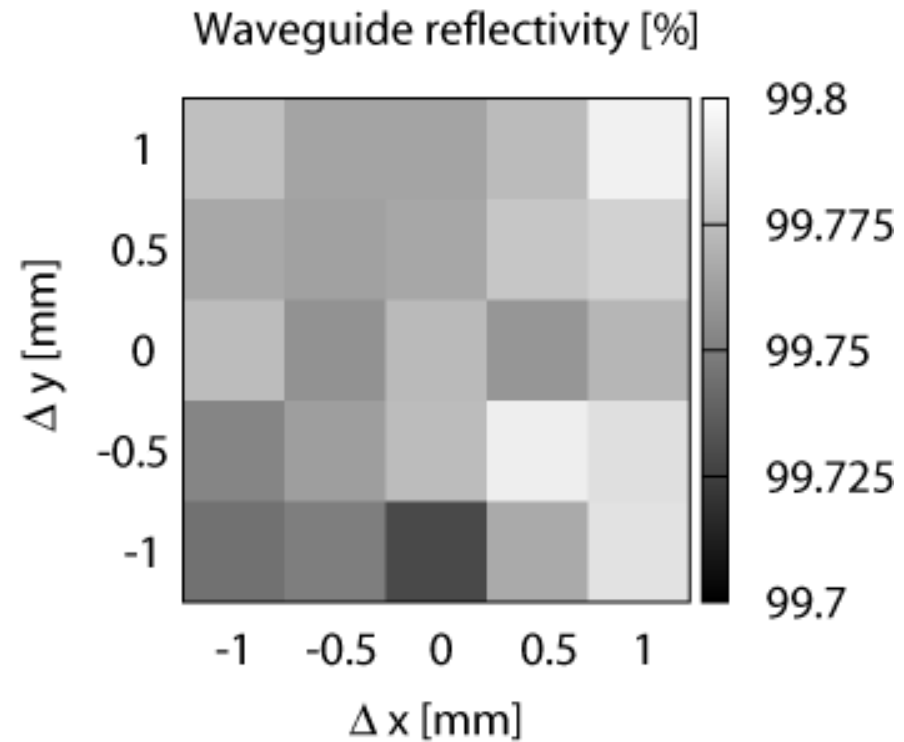
WGG as Cavity Mirror @ 1550nm



Experimental Results



Finesse 2780 (± 100)



$R = 99.795 (\pm 0.009)\%$

$T \geq^* 0.023 (\pm 0.002)\%$

optical loss ≤ 1800 ppm

*unpolished back side

Realization of a monolithic high-reflectivity cavity mirror ...
F. Brückner, DF et al. PRL **104**, 163903 (2010)

Outline of this talk

- AEI Hannover
- Introduction
- Resonant waveguide gratings (WGG)
- WGG @ 1064nm
- WGG @ 1550nm
- Summary

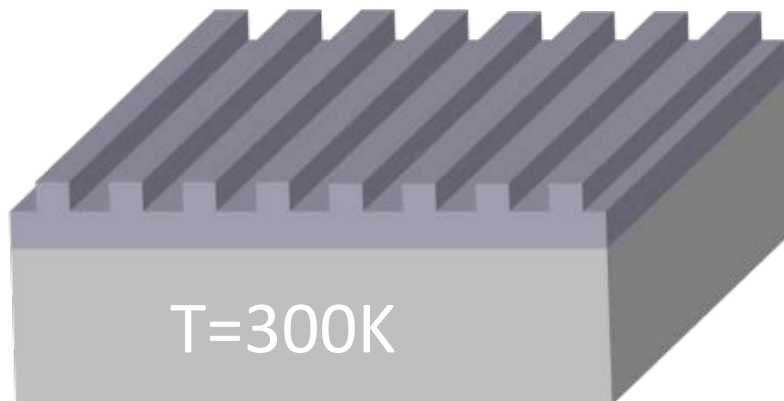
Waveguide Grating Mirrors

1064nm

- ✓ Ta₂O₅ grating ($n_H=2.04$)
- ✓ SiO₂ substrate ($n_L=1.45$)

R = 99.08 (±0.04)%

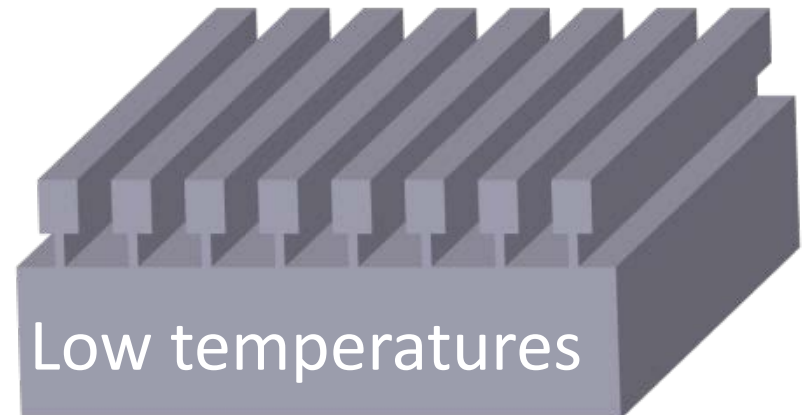
R = 99.2 (±0.1)%



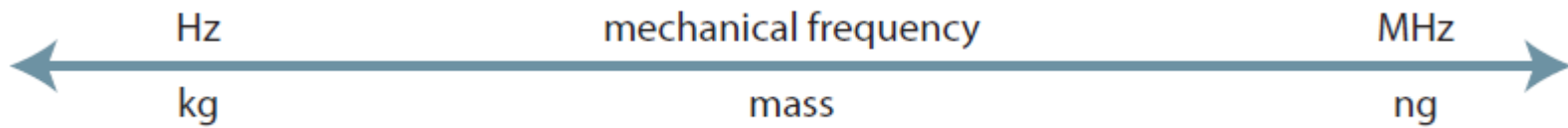
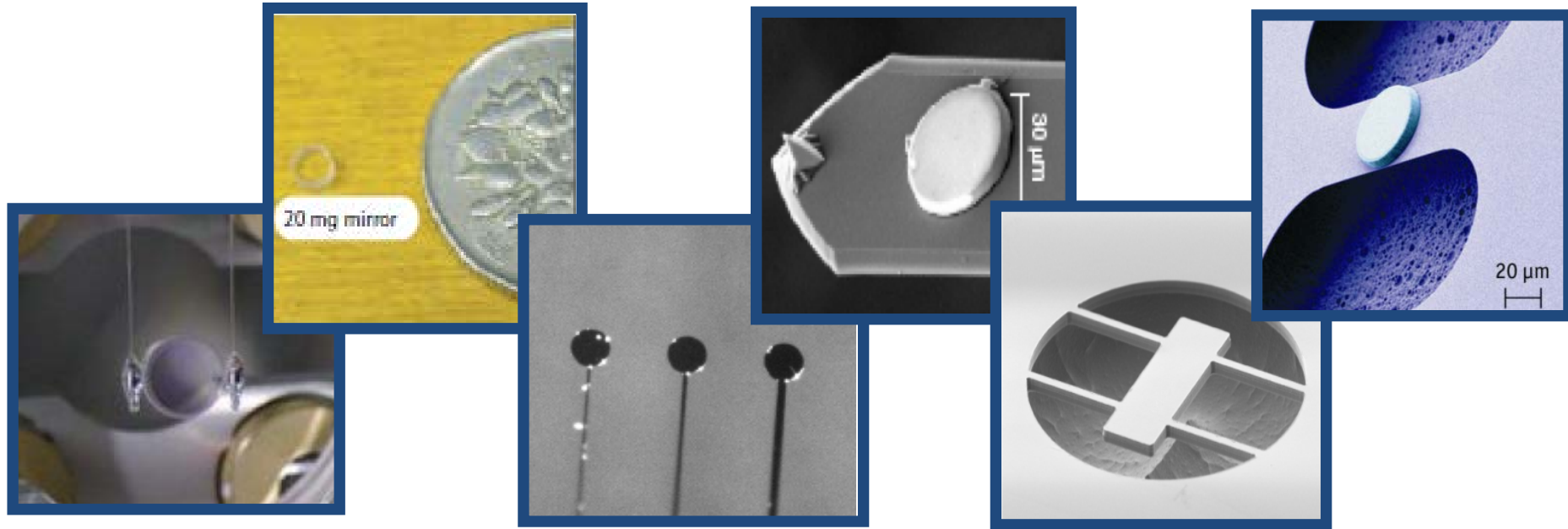
1550nm

- ✓ Crystalline silicon ($n_H=3.5$)

R = 99.795 (±0.009)%

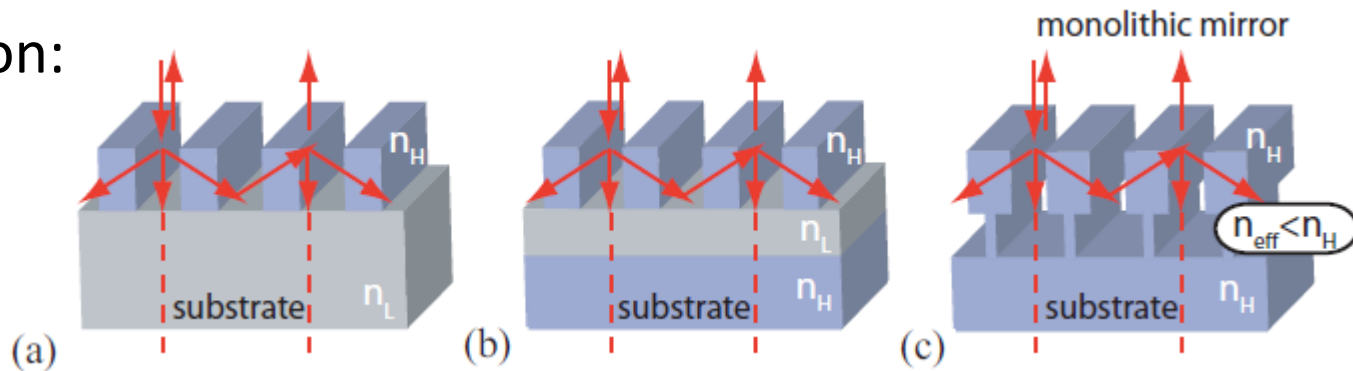


Opto-Mechanics

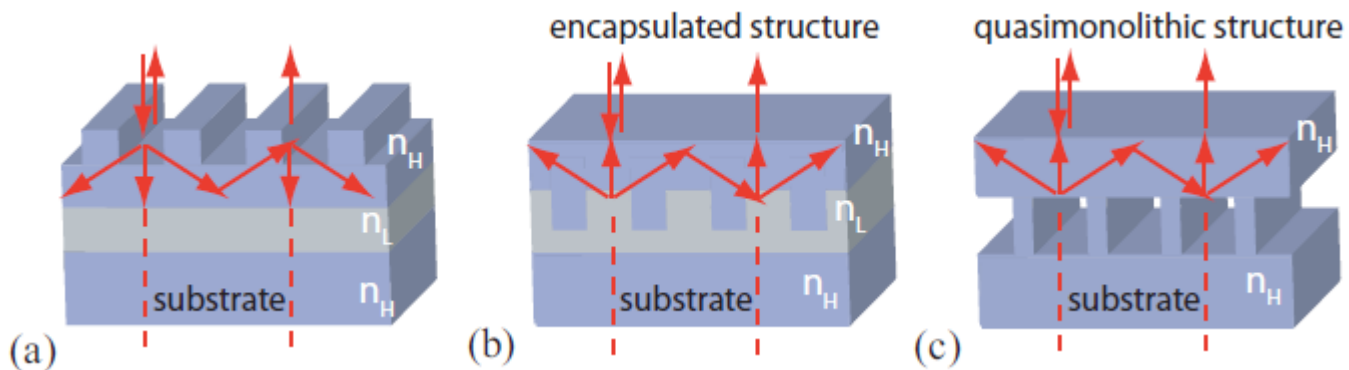


A Variety of WGGs

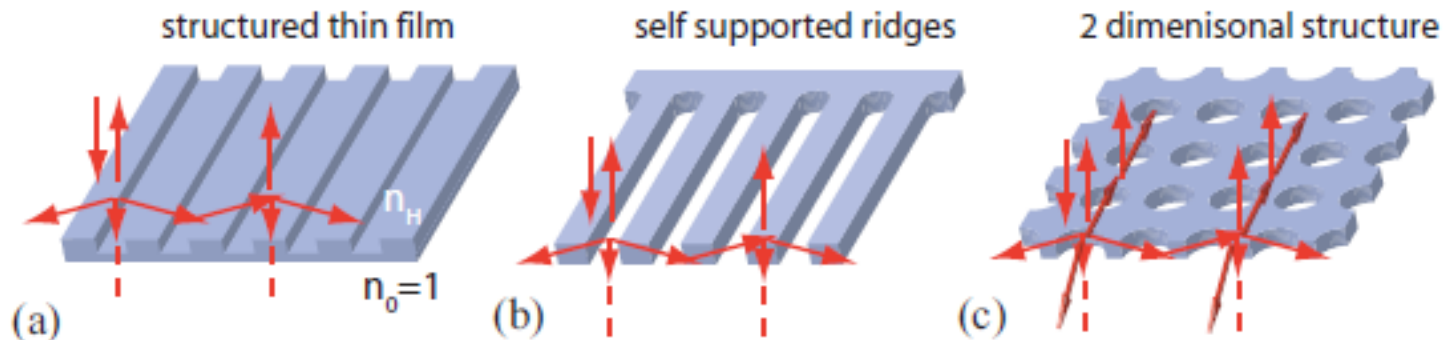
Today's presentation:



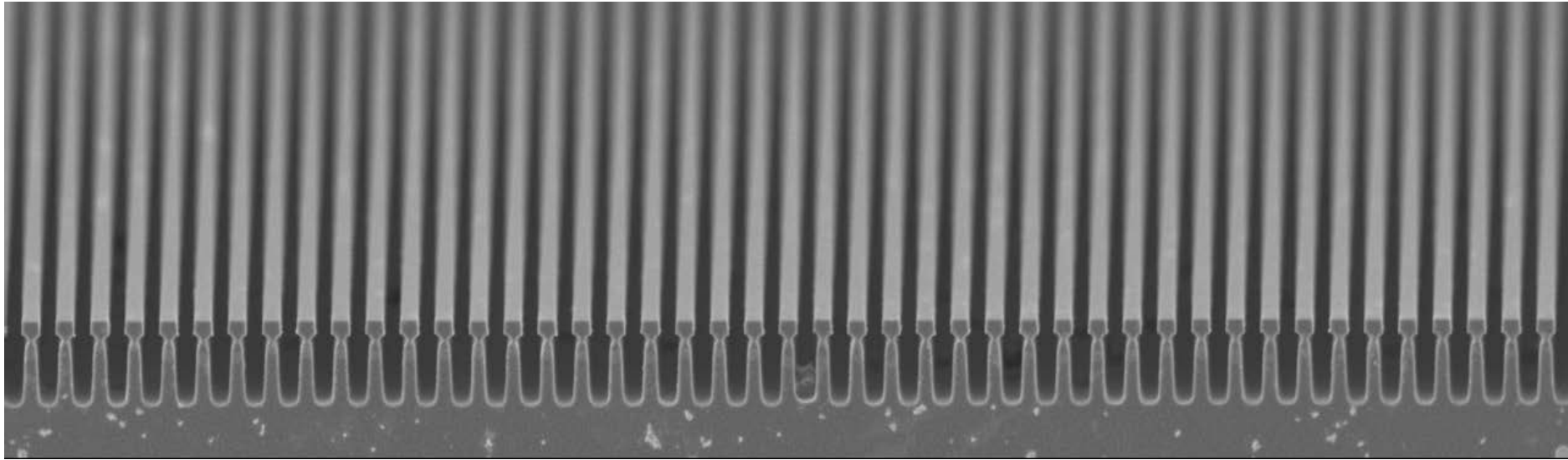
Flat surfaces:



Thin oscillators:



おわり



F. Brückner
S. Kroker
E.-B. Kley
A. Tünnermann



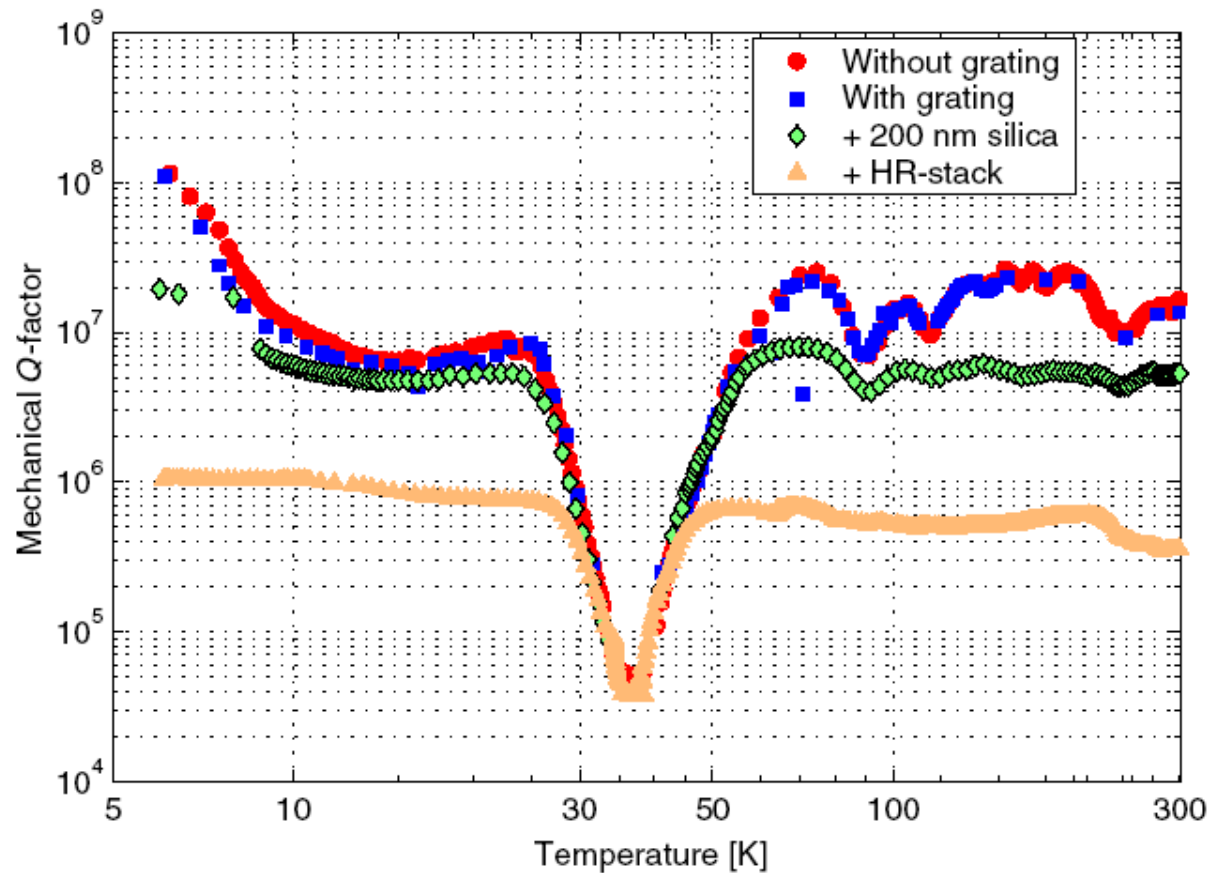
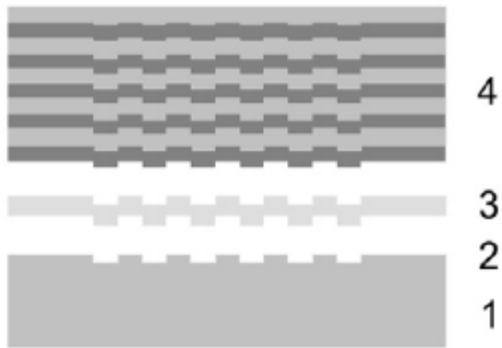
Albert-Einstein-Institut
Hannover

D. Friedrich
M. Britzger
R. Schnabel
K. Danzmann



UNIVERSITY
of
GLASGOW

B.W. Barr, S. Hild,
J. Nelson, J. McArthur,
M.V. Plissi, S. Huttner,
M.P. Edgar and K. Strain



- Grating structure does not „destroy“ high Q-factor of substrate!
- but surface loss is crucial, need to be measured seperately!