

# ***Thermal noise History from Brownian motion until interferometer***

**Kazuhiro Yamamoto**

**Institute for Cosmics Ray Reseach, the University of Tokyo**

**Advanced Interferometer Configuration lecture**

**6 September 2011 @Institute for Cosmic Ray Research, Kashiwa, Japan**

# ***References***

**Peter R. Saulson,**

***Thermal noise in mechanical experiments,***

**Physical Review D 42 (1990) 2437.**

**Good review before revolution**

**(on the end of 20<sup>th</sup> century)**

**S. Rowan, J. Hough, and D.R.M. Crooks,**

***Thermal noise and material issues for***

***gravitational wave detectors***

**Physics Letters A 347 (2005) 25.**

**One of the special articles for 100<sup>th</sup> anniversary of  
Annus Mirabilis (year of miracle) of A. Einstein**

# ***References***

**Erick D. Black**

***Notes on thermal noise, with a bibliography***

**LIGO-T030142- 01- R (2004)**

**<http://www.ligo.caltech.edu/~blacke/T030142-01.pdf>**

**From Brown and Einstein to Yamamoto**

**G.M. Harry, T. Bodiya, and R. DeSalvo (Editors)**

***Optical Coatings and Thermal Noise in Precision  
Measurements***

**Cambridge University Press, Cambridge (in press)**

**It will appear on January of 2012.**

# ***0. Abstract***

I would like to explain ...

(1) History until **Fluctuation-Dissipation Theorem**

What is the Fluctuation-Dissipation Theorem ?

(2) Thermal noise of **resonant** gravitational wave **detector**

(3) Thermal noise of **interferometric** gravitational wave **detector before revolution** (drastic progress in research of thermal noise) on the end of 20<sup>th</sup> century

(4) Thermal noise of **interferometric** gravitational wave **detector after revolution** on the end of 20<sup>th</sup> century

# ***Contents***

- 1. Until Fluctuation-Dissipation Theorem***
- 2. Resonant detector***
- 3. Interferometer before revolution***
- 4. Interferometer after revolution***
- 5. Summary***

# ***1. Until Fluctuation-Dissipation Theorem***

Robert **Brown** investigated **random motion** of **small particles** ( $\sim 1 \mu\text{m}$ ) **in water**.

R. Brown, Philosophical Magazine 4 (**1828**) 161.

At first, he thought that this motion of particles from pollens stems from **activity of life**. However, he discovered that particles from **inorganic** materials also move at random.

Trivia : R. Brown observed motion of small particles from pollens, **not pollens themselves** ! Since pollens are too large ( $25 \mu\text{m} \sim 100 \mu\text{m}$ ), it is difficult to observe Brownian motion.

# *1. Until Fluctuation-Dissipation Theorem*

Robert **Brown** investigated **random motion** of **small particles** ( $\sim 1 \mu\text{m}$ ) **in water**.

R. Brown, Philosophical Magazine 4 (**1828**) 161.

Mechanism was **unknown**.

Many **ideas** were proposed and **rejected**.

Random **collisions with atoms** of water ?

For example,

G. Cantoni, Nuovo Ciment 27 (**1867**) 156.

J. Delsaulx

They are **not proof** but guesses.

# ***1. Until Fluctuation-Dissipation Theorem***

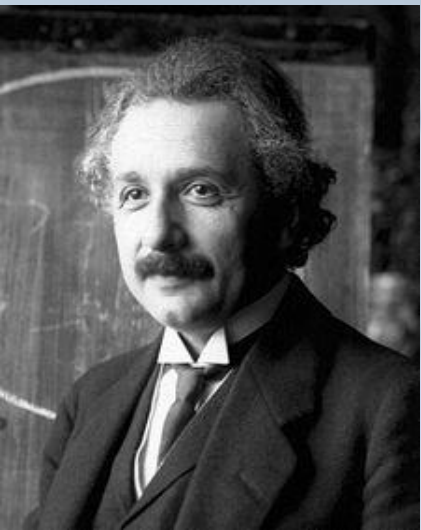
Albert **Einstein** showed **theory** of Brownian motion.

A. Einstein, Annalen der Physik 17 (**1905**) 549.

Why is so important this result ?

(1) Evidence of existence of atom

Avogadro constant derived from observation and his theory is consistent with those from other methods.





# 1. Until Fluctuation-Dissipation Theorem

(2) **Relation** between **diffusion** (thermal motion) of particles and **viscosity** (dissipation) of water

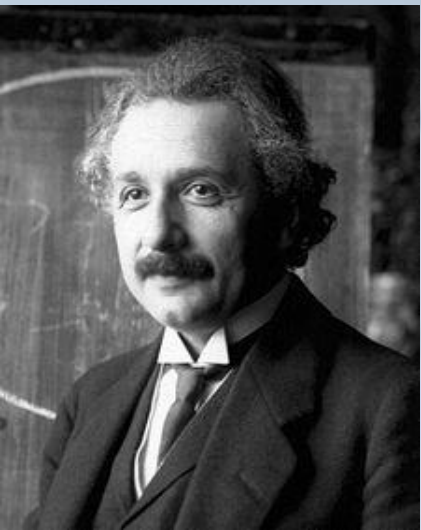
He assumed that the law of physics of **macroscopic** body is the **same** as that of **microscopic** one.

Einstein's relation **diffusion**

$$D = \frac{RT}{N} \frac{1}{6\pi\eta a}$$

Avogadro constant

**viscosity**



# ***1. Until Fluctuation-Dissipation Theorem***

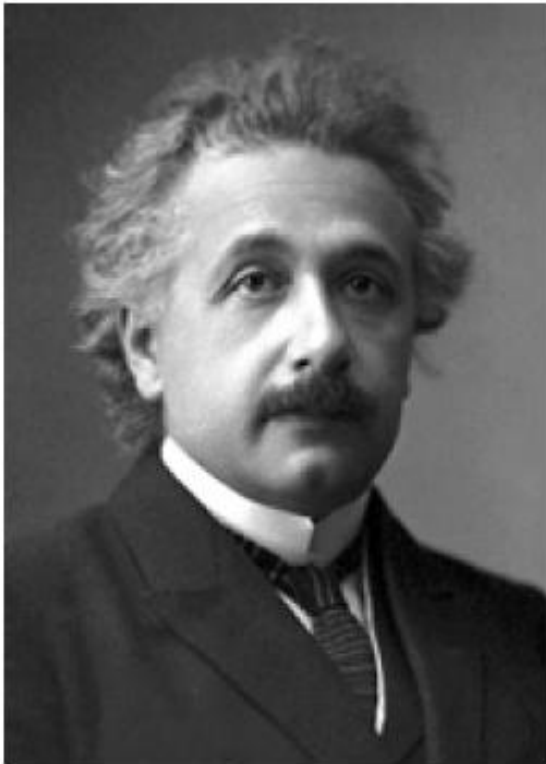
Jean Baptiste **Perrin's experiment** proved that **Einstein's** theory is **correct**.

J. Perrin, Ann. Chim. Phys. 18 (**1909**) 1.

Perrin checked and **confirmed Einstein's assumption** (the law of physics of **macroscopic** body is the **same** as that of **microscopic** one) experimentally.

Perrin observed Brownian motion and derived Avogadro constant using Einstein's theory. The result is consistent with those of other methods.

# ***1. Until Fluctuation-Dissipation Theorem***



Albert Einstein

The Nobel Prize in Physics 1921 was awarded to Albert Einstein *"for his services to Theoretical Physics, and especially for his discovery of the law of the photoelectric effect"*.

# ***1. Until Fluctuation-Dissipation Theorem***

Presentation speech of **Nobel** prize in Physics **1921**  
(Laureate is A. Einstein)

Throughout the first decade of this century the so-called **Brownian movement** stimulated the keenest interest. In 1905 **Einstein founded a kinetic theory to account for this movement** by means of which he derived the chief properties of suspensions, i.e. liquids with solid particles suspended in them. This theory, based on classical mechanics, helps to explain the behaviour of what are known as colloidal solutions, a behaviour which has been studied by Svedberg, **Perrin**, Zsigmondy and countless other scientists within the context of what has grown into a large branch of science, colloid chemistry.

# ***1. Until Fluctuation-Dissipation Theorem***



## **The Nobel Prize in Physics 1926**

"for his work on the discontinuous structure of matter, and especially for his discovery of sedimentation equilibrium"



**Jean Baptiste Perrin**

**Web site of Nobel foundation**

# 1. *Until Fluctuation-Dissipation Theorem*

Thermal fluctuation of **electrical voltage** (or **current**)

J.B. Johnson, Physical Review 32 (**1928**) 97.

Measurement

H. Nyquist, Physical Review 32 (**1928**) 110.

Theory

Nyquist's theorem

$$G_V = 4k_B T R$$

**Relation** between **electrical voltage fluctuation** and **resistance**

# 1. Until Fluctuation-Dissipation Theorem

Thermal fluctuation of **electrical voltage** (or **current**)

J.B. Johnson, Physical Review 32 (**1928**) 97.

He measured thermal current of resistance  
using (resonant type or band pass type) amplifier.

The significance of the mathematical expression for the effect will be developed with the aid of the generalized circuit diagram of Fig. 1.  $Z$  is the conductor under investigation,  $A$  the amplifier to which it is connected,  $J$  the thermocouple ammeter. The amplifier  $A$  is characterized by a complex

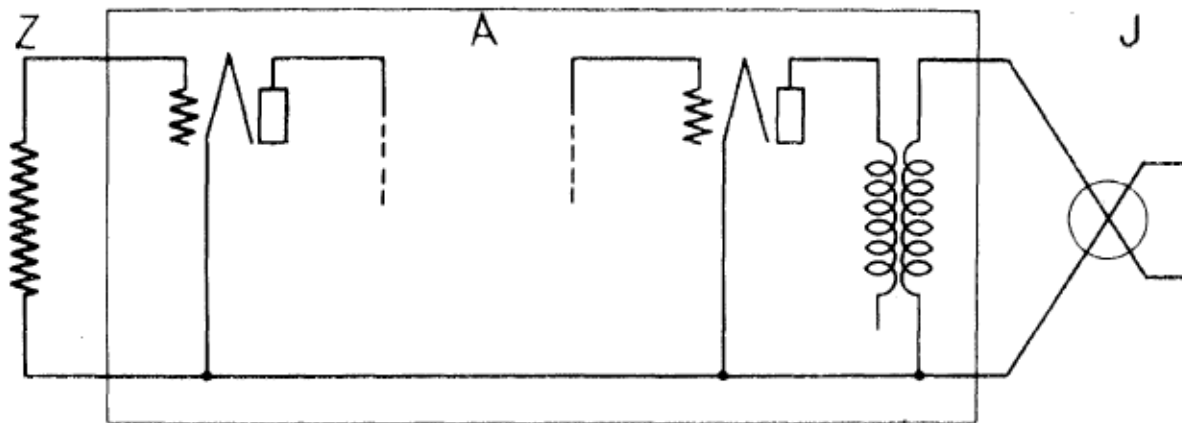


Fig. 1. Simplified diagram of the circuit.



# 1. Until Fluctuation-Dissipation Theorem

Thermal fluctuation of **electrical voltage** (or **current**)

J.B. Johnson, Physical Review 32 (1928) 97.

He confirmed formula for thermal fluctuation.

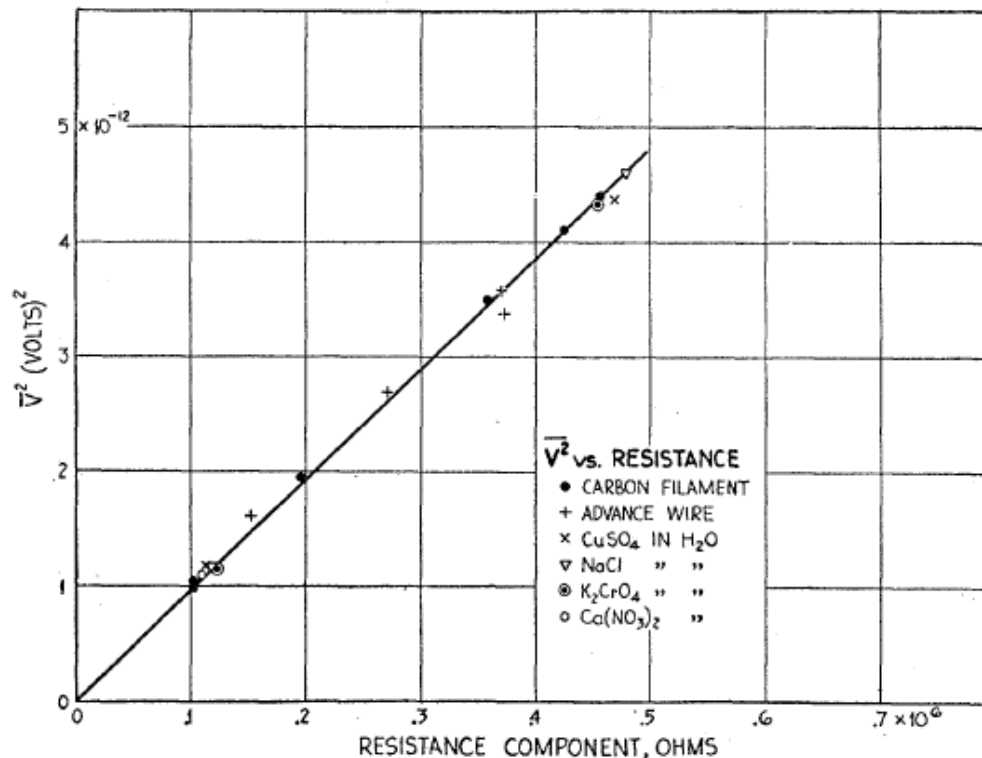


Fig. 4. Voltage-squared vs. resistance component for various kinds of conductors.



# ***1. Until Fluctuation-Dissipation Theorem***

**Typical thermal voltage fluctuation (100 ohm, 300 K)**

$$1.3 \text{ nV} / \sqrt{\text{Hz}}$$

**Typical thermal current fluctuation (100 ohm, 300 K)**

$$13 \text{ pA} / \sqrt{\text{Hz}}$$

# ***1. Until Fluctuation-Dissipation Theorem***

Thermal fluctuation of **electrical voltage** (or **current**)

H. Nyquist, Physical Review 32 (**1928**) 110.

His theory is based on

(1) Principle of energy equipartition

(2) Assumption that **ohm law is correct**  
even if we consider **voltage (current) fluctuation**.

(Law for **small fluctuation**

is the **same** as that of **macroscopic** voltage or current)

This assumption is **similar to Einstein's**.

# ***1. Until Fluctuation-Dissipation Theorem***

## **Trivia**

**We can found three technical terms named after Nyquist.**

**Nyquist's Theorem : Thermal noise**

**Nyquist criterion of stability : Stability of control**

**Nyquist sampling theorem : Sampling rate of measurement**



**Are all of them work by same person ?**

**The answer is yes !**

# ***1. Until Fluctuation-Dissipation Theorem***

Thermal fluctuation of **mechanical harmonic oscillator**

Many people measured and analyzed fluctuation of angle of **torsion pendulum** using optical lever **around 1925**.

W. Einthoven et al., Physica 5 (**1925**) 358.

J. Tinbergen, Physica 5 (**1925**) 361.

W.J.H. Moll et al., Philosophical Magazine 50 (**1925**) 626.

G. Ising, Philosophical Magazine 1 (**1926**) 827.

F. Zernike, Zeitschrift fuer Physik 40 (**1926**) 628.

A.V. Hill, Journal of Scientific Instruments 4 (**1926**) 72.

Probably, this is **not perfect** list.

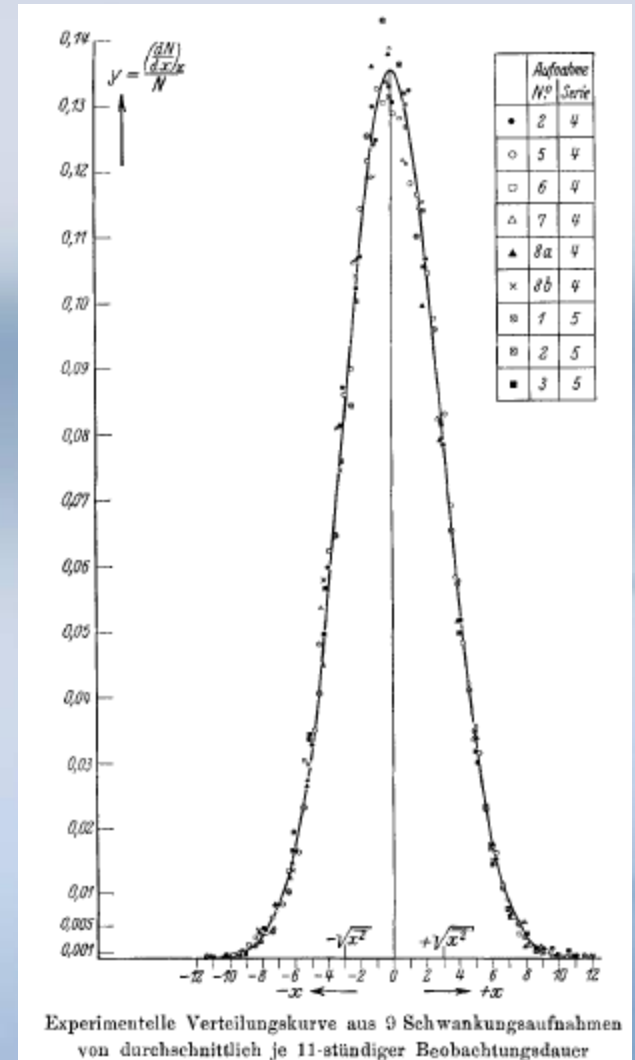
# 1. Until Fluctuation-Dissipation Theorem

Thermal fluctuation of **mechanical harmonic oscillator**

E. Kappler, Annalen der Physik  
11-3 (**1931**) 233.

Torsion pendulum

He evaluated Avogadro constant and  
it is consistent with those of other  
experiment.



# 1. Until Fluctuation-Dissipation Theorem

Onsager reciprocity theorem

L. Onsager, Physical Review 37 (1931) 405.

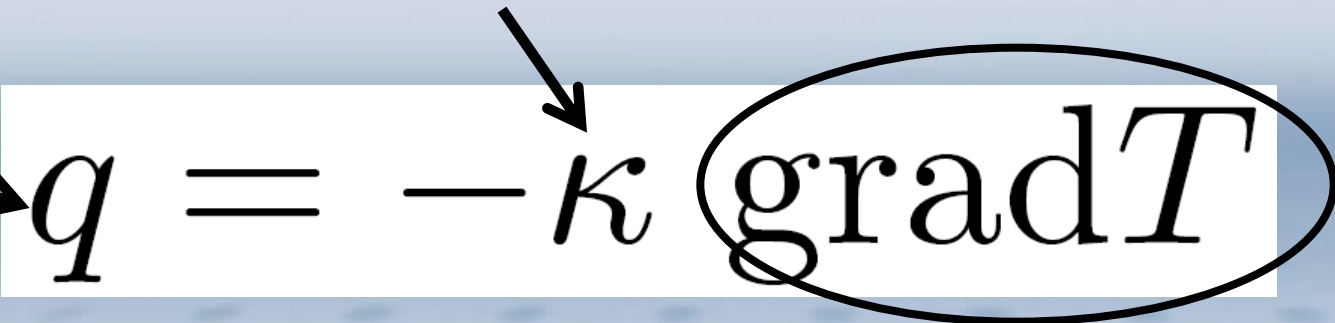
Fourier's law

Thermal conductivity

Heat flow  $\rightarrow$

$$q = -\kappa \text{ grad } T$$

Temperature gradient



In general case,  $\kappa$  is tensor.

According to Onsager reciprocity theorem, this tensor **should be symmetric** even if the **material is not isotropic** (like sapphire !).

# ***1. Until Fluctuation-Dissipation Theorem***

**Onsager reciprocity theorem**

**L. Onsager, Physical Review 37 (1931) 405.**

**Onsager's assumption**

**(1) Microscopic reversibility**

**Symmetry of cross correlation function**

**in time reflection**

$$\langle \alpha_1(t) \alpha_2(t + \tau) \rangle = \langle \alpha_1(t) \alpha_2(t - \tau) \rangle$$

$$\langle \alpha_1(t) \alpha_2(t + \tau) \rangle = \langle \alpha_2(t) \alpha_1(t + \tau) \rangle$$

# ***1. Until Fluctuation-Dissipation Theorem***

**Onsager reciprocity theorem**

**L. Onsager, Physical Review 37 (1931) 405.**

**Onsager's assumption**

**(2)The average decay of fluctuations will obey the ordinary laws.**

**Law for average decay of small fluctuation is the same as that of macroscopic motion (ordinary law).**

**This assumption is similar to Einstein's and Nyquist's.**



# 1. *Until Fluctuation-Dissipation Theorem*



Lars Onsager

The Nobel Prize in Chemistry 1968 was awarded to Lars Onsager *"for the discovery of the reciprocal relations bearing his name, which are fundamental for the thermodynamics of irreversible processes"*.

**Web site of Nobel foundation**

# ***1. Until Fluctuation-Dissipation Theorem***

Finally, **general theorem** appeared.

**Fluctuation-Dissipation Theorem (FDT)**

H.B. Callen and R.F. Greene, Physical Review 86 (**1952**) 702.

R.F. Greene and H.B. Callen, Physical Review 88 (**1952**) 1387.

**Relation** between **thermal fluctuation** and  
**dissipation**

**Fluctuation** : Energy **from** heat bath

**Dissipation** : Energy **to** heat bath

Interaction between system and heat bath

# 1. Until Fluctuation-Dissipation Theorem

Fluctuation-Dissipation Theorem is **valid if**

(1) system is **linear**.

(2) system is in **thermal equilibrium**.

$$G(f) = -\frac{4k_{\text{B}}T}{\omega} \text{Im}[H(\omega)]$$

Power spectrum  
of thermal **fluctuation**

Imaginary part of susceptibility  
(**dissipation**)

# 1. Until Fluctuation-Dissipation Theorem

Fluctuation-Dissipation Theorem is **valid if**

(1) system is **linear**.

(2) system is in **thermal equilibrium**.

$$G_{ij}(f) = -\frac{4k_{\text{B}}T}{\omega} \text{Im}[H_{ij}(\omega)]$$

↑  
Cross correlation spectrum  
of thermal **fluctuation**

# ***1. Until Fluctuation-Dissipation Theorem***

**(a) Einstein's relation**

Relation between **Brownian motion (fluctuation)**  
and **viscosity (dissipation)** of water

FDT in the case with free mass  
with viscous damping at low frequency

**(b) Nyquist's theorem**

Relation between **thermal voltage fluctuation**  
and **resistance (dissipation)**

FDT in electric circuit

**(c) Onsager reciprocity theorem**

**Cross correlation spectrum** at low frequency in FDT

**All these formulae are examples of FDT !**

# ***1. Until Fluctuation-Dissipation Theorem***

Assumption of fluctuation dissipation theorem

Onsager's assumption

The **average decay of fluctuations** will obey the **ordinary laws**.

Law for **average of small fluctuation** is the **same** as that of **macroscopic** motion with **dissipation**.

**Relation** between fluctuation and dissipation is **assumed**, not proved !

# 1. *Until Fluctuation-Dissipation Theorem*

**Fluctuation** : Energy **from** heat bath

**Dissipation** : Energy **to** heat bath

Interaction between system and heat bath

In the case of Brownian motion ....

Fluctuation : **Random collision** of atoms

Dissipation : Collision of atoms

Even **in dissipation**, collision is at **random**.

In some cases of dissipation process, atoms give particle energy. However, in **average**, particle gives atoms energy.

Therefore, the **dissipation** process is the **average of fluctuation**.



Onsager's assumption

# ***1. Until Fluctuation-Dissipation Theorem***

How to derive fluctuation dissipation theorem ?

Onsager's assumption implies that  
**time development of auto (or cross) correlation**  
function of **thermal fluctuation** is the **same** as that  
of **step function response**  
which is the **decay motion to new equilibrium position**  
after applied constant force vanished.

The **amplitude** of auto correlation function is derived from  
principle of **energy equipartition**.

**Power (or cross correlation) spectrum** is  
**Fourier transform** of auto (or cross) correlation function.  
Wiener-Khinchin relation



# 1. Until Fluctuation-Dissipation Theorem

FDT in quantum mechanics

H.B. Callen and T.A. Welton, Physical Review 83 (1951) 34.

When we should take quantum mechanics into account ?

$$\hbar\omega \gg k_{\text{B}}T$$

**Smallest** energy  
in **quantum** mechanics

**Average** energy  
in **classical** statistical mechanics

At room temperature, if the frequency is more than  **$6 \cdot 10^{12}$  Hz**, we should consider quantum mechanics.

# ***1. Until Fluctuation-Dissipation Theorem***

## **Fluctuation Dissipation Theorem in Quantum mechanics**

**Kubo formula**

**R. Kubo,**

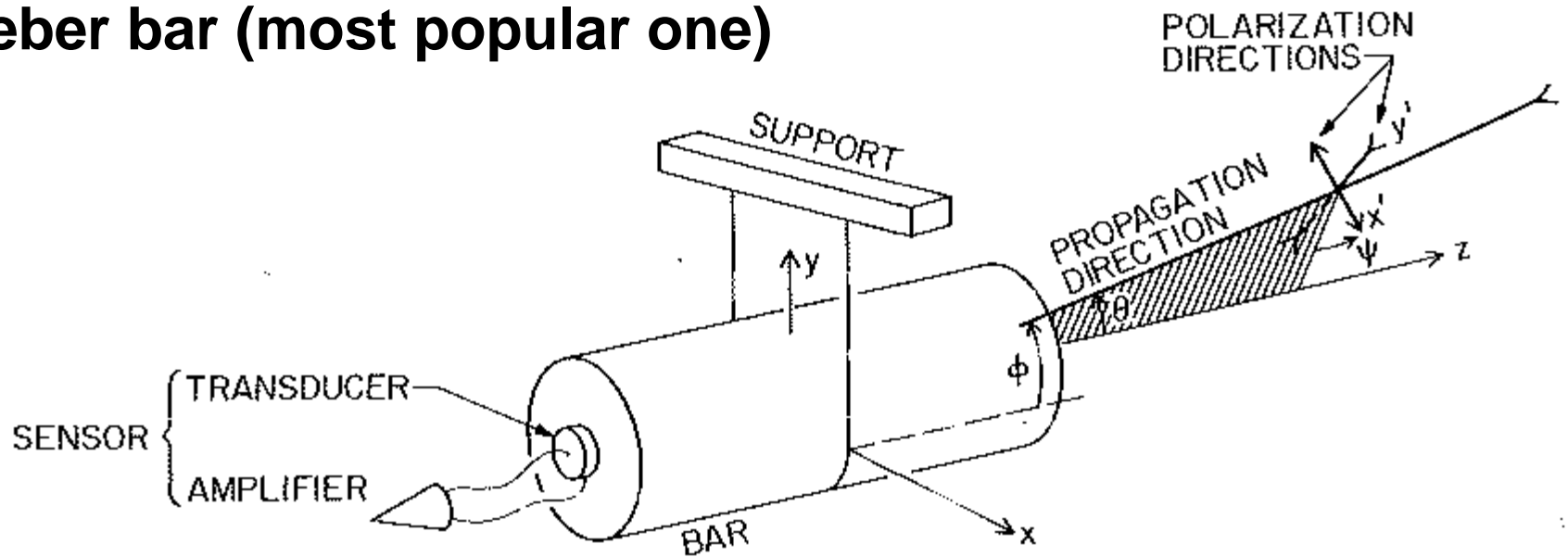
**Journal of the Physical Society of Japan 12 (**1957**) 570.**

## 2. Resonant detector

### Resonant detector

Gravitational wave **excites resonant motion** of elastic body.

### Weber bar (most popular one)



Diameter : several tens cm

Length : a few meters

Resonant frequency : about 1 kHz

*"300 years of gravitation"*

(1987) Cambridge University Press

Fig. 9.8

## 2. Resonant detector

Resonator : **tidal force** of gravitational wave  
Thermal **fluctuation force** must be considered.

Observation of thermal fluctuation of torsion pendulum  
**Displacement**, not force, was monitored.

Formula of thermal fluctuation **force** (on resonance)

$$G_F(f_0) = \frac{4k_B T m \omega_0}{Q}$$

**$T/Q$  should be small.**

Low temperature (low  $T$ ), Small mechanical loss (large  $Q$ )

## ***2. Resonant detector***

**First generation (room temperature)**

**Weber bar (University of Maryland, U.S.A.) ...**

**Second generation (4 K) Liquid helium**

**Explorer (Italy, CERN), Allegro (U.S.A.),**

**Niobe (Australia), Crab (Japan) ...**

**Third generation (< 100 mK) Dilution refrigerator**

**Nautilus (Italy), Auriga (Italy),**

**Mini-Grail (Netherlands),**

**Mario Schenberg (Brazil) ...**

**This is not a perfect list !**





NAUTILUS  
INFN - LNF

**G. Pizzella, ET first general meeting (2008)**



## ***2. Resonant detector***

**High Q-value (low mechanical loss) material**

**Small dissipation at low temperature**

**Sapphire and Silicon (Moscow)**

**Niobium (Australia)**

**CuAl6% (Mini-Grail (Netherlands), Mario Schenberg (Brazil))**

**K.S. Thorne, Chapter 9 of “*300 years of gravitation*”(1987)**

**Cambridge University Press p409.**

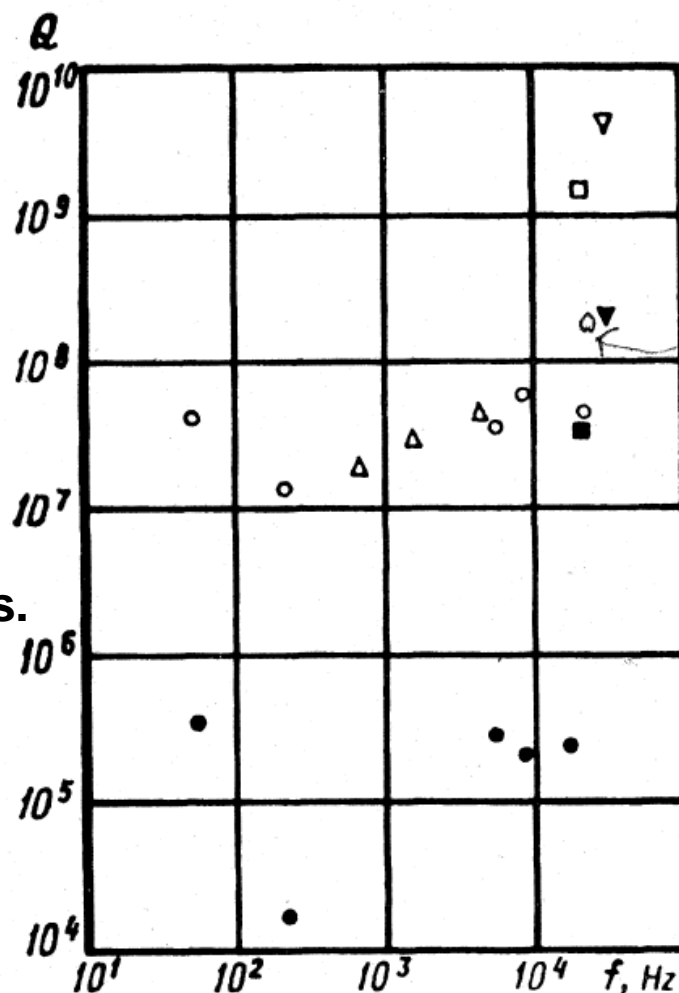
**A de Waard *et al.*, Classical and Quantum Gravity 21 (2004) S465.**

**O.D. Aguiar *et al.*, Classical and Quantum Gravity 25 (2008) 114042.**

**Almost all resonators (Weber bar also) are made from aluminum.**

**Large bulk, low cost ...**

V.B. Braginsky,  
V.P. Mitrofanov,  
K.S. Thorne  
"Systems  
with Small Dissipation"  
(1986)  
University of Chicago Press.



Coccia et al  
PLAZ 219 (19  
35 K 112  
40 mK

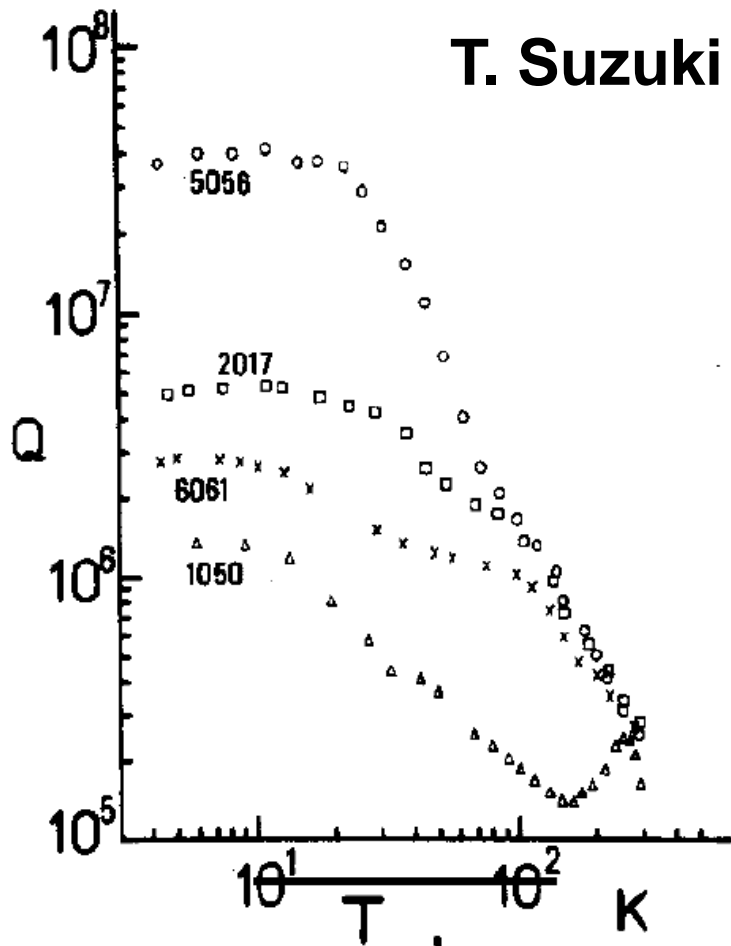
**Fig. 6** Maximum quality factors for mechanical resonators made of different materials.  $\circ$  = Al-5056 (Oide, Tsubono, and Hirakawa 1978),  $\Delta$  = Nb (Blair *et al.* 1980b),  $\square$  = Si (McGuigan *et al.* 1978),  $\nabla$  = A (Bagdasarov *et al.* 1977). Solid symbols correspond to  $T = 300$  K, open symbols to  $T = 4.2$  K. Note added in press: Recently Veitch *et al.* (1985) have reported a  $Q$  of  $2 \times 10^8$  at 4 K in a niobium bar.



## 2. Resonant detector

What is kinds of **aluminum alloy best** ?

T. Suzuki *et al.* discovered that **Al5056** has high Q-value. Almost all resonators are made from Al5056.

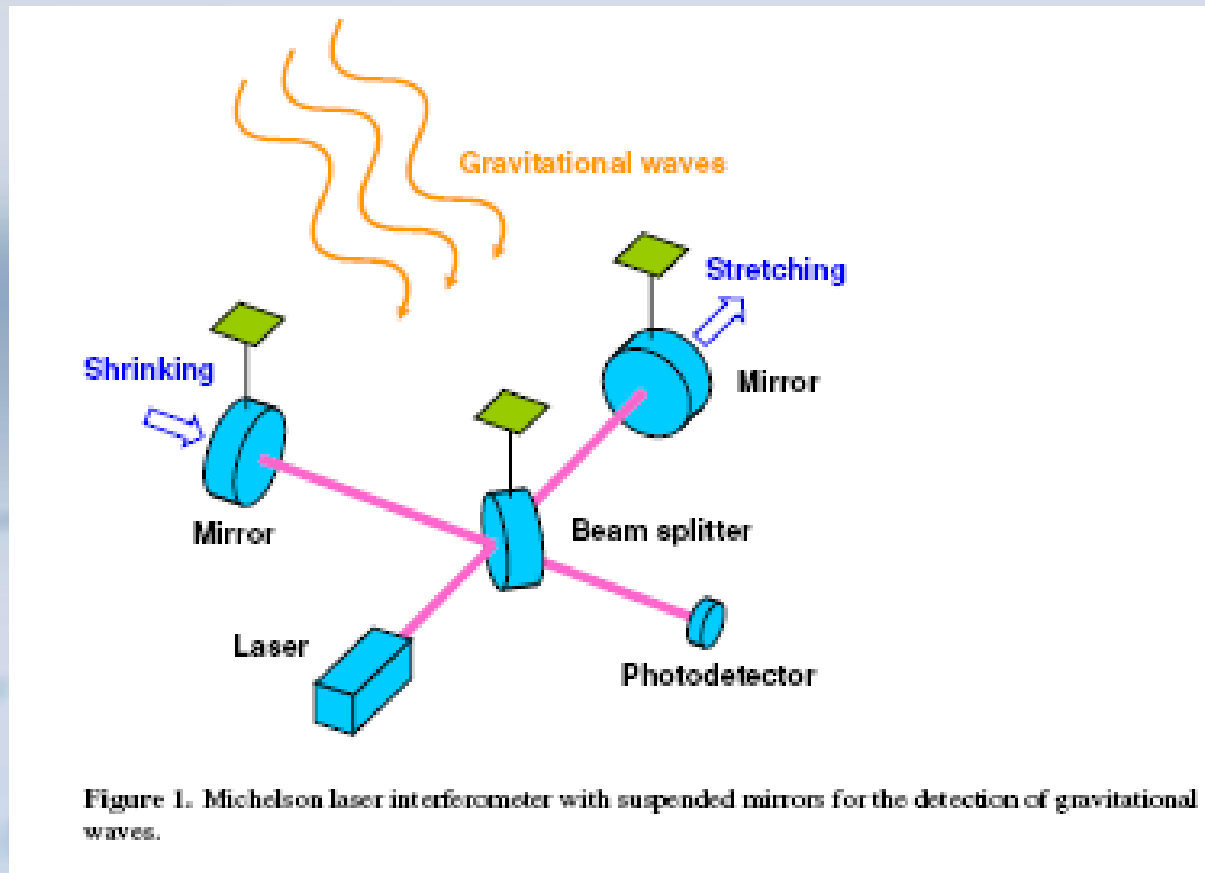


Alloy designation (AA)	Nominal composition (%) (balance Al)	Q
1050	< 0.5	$1.3 \times 10^6$
2017	4.0 Cu, 0.7 Mn, 0.5 Mg	$5.3 \times 10^6$
5056	5.1 Mg, 0.12 Mn, 0.12 Cr	$4.0 \times 10^7$
6061	1.0 Mg, 0.6 Si, 0.27 Cu, 0.20 Cr	$2.9 \times 10^6$

### 3. *Interferometer before revolution*

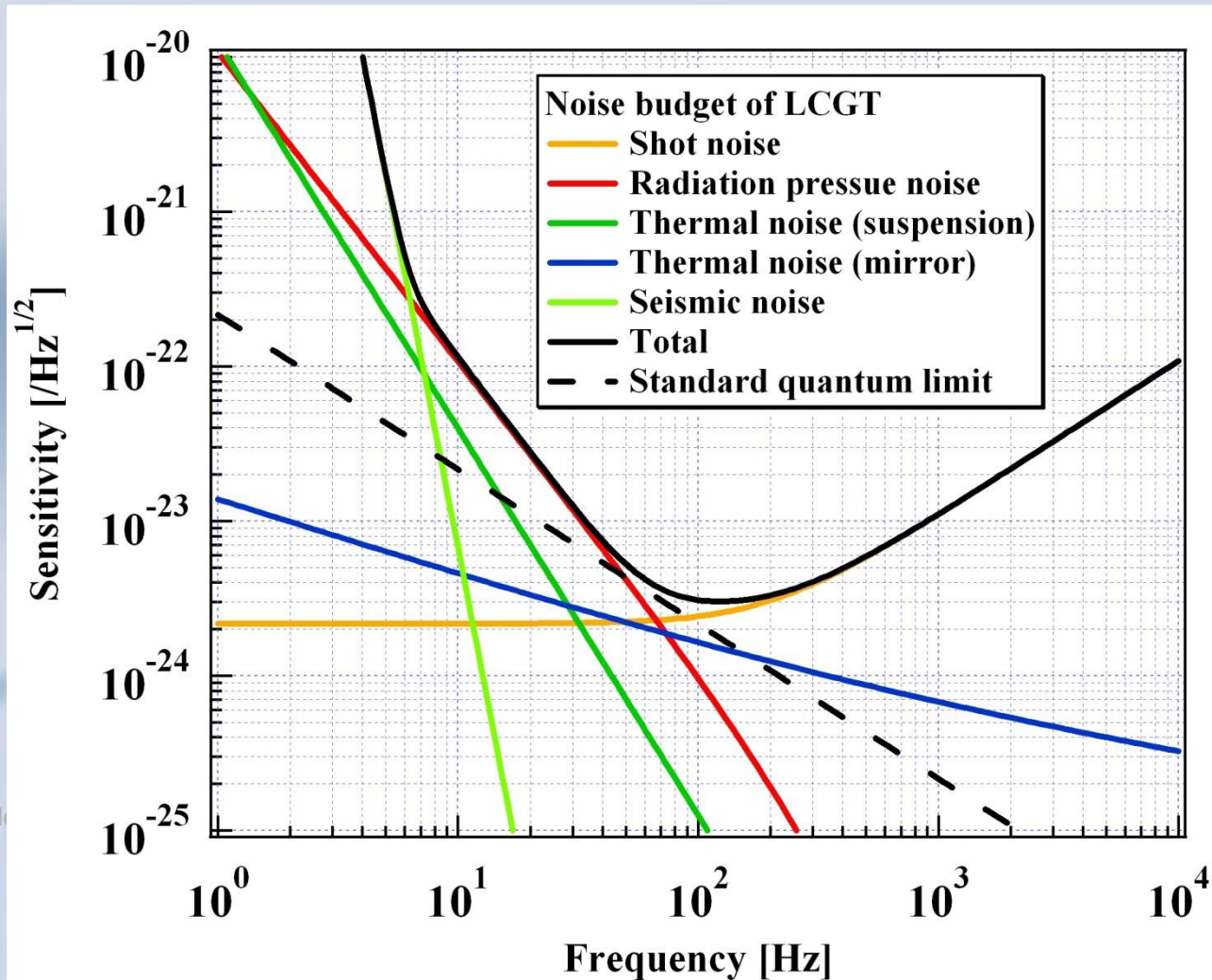
#### Interferometric gravitational wave detector

**Mirrors** must be **free** and are **suspended**.



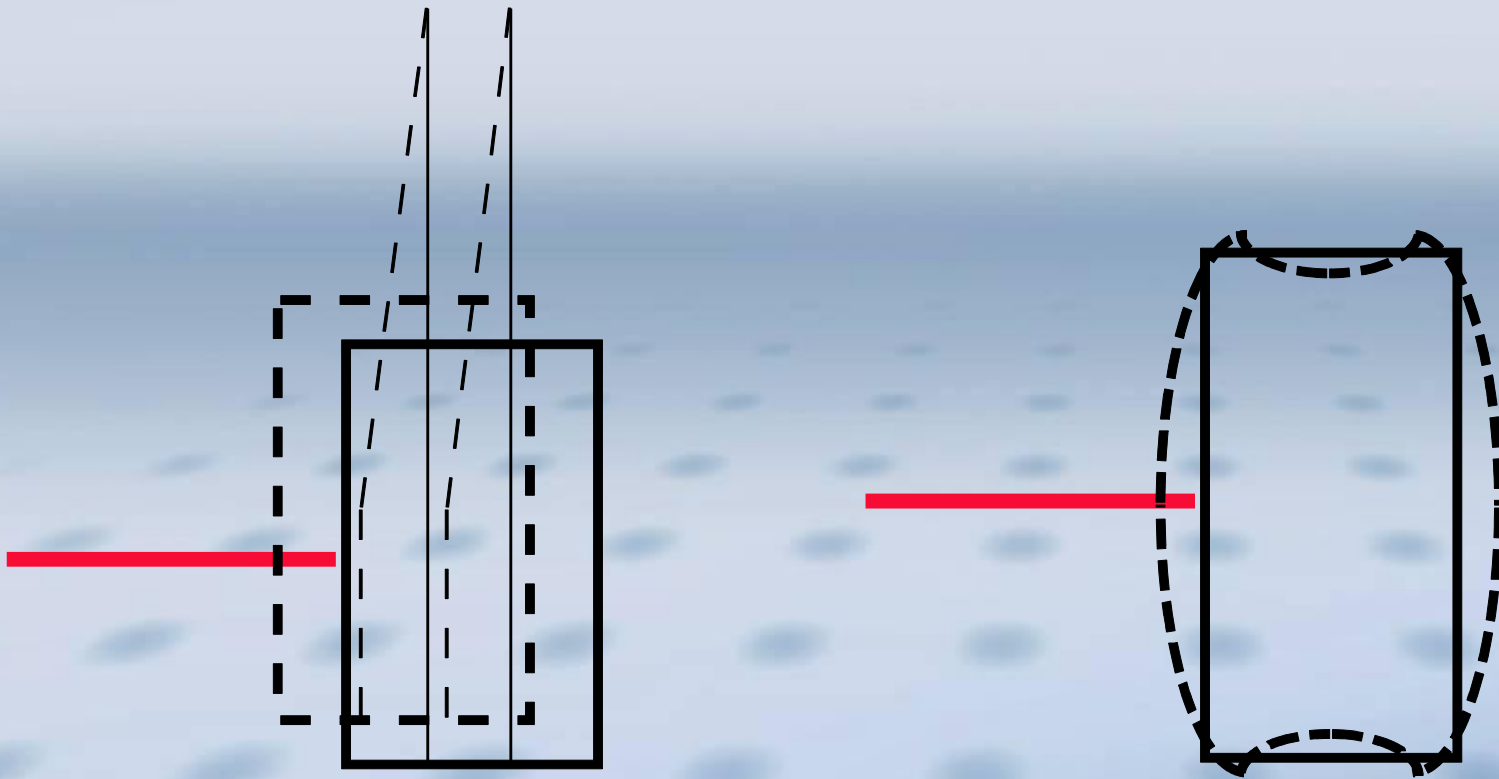
### 3. Interferometer before revolution

Typical example of sensitivity of interferometer  
(Old version of LCGT)



### ***3. Interferometer before revolution***

Thermal noise of **suspension** and **mirror**



### ***3. Interferometer before revolution***

**Suspension and mirror : Mechanical harmonic oscillator**

**Resonant frequency : suspension :  $\sim 1$  Hz**

**mirror :  $> 10$  kHz**

**Target frequency of gravitational wave :  $\sim 100$  Hz**

**Off resonance thermal fluctuation of displacement**

**Resonant detector : Force on resonance**

**Torsion pendulum : Displacement on resonance**

**Residual gas damping is not a problem because interferometer in vacuum ( $< 10^{-7}$  mbar).**

**Mechanical loss in suspension and mirror is crucial.**

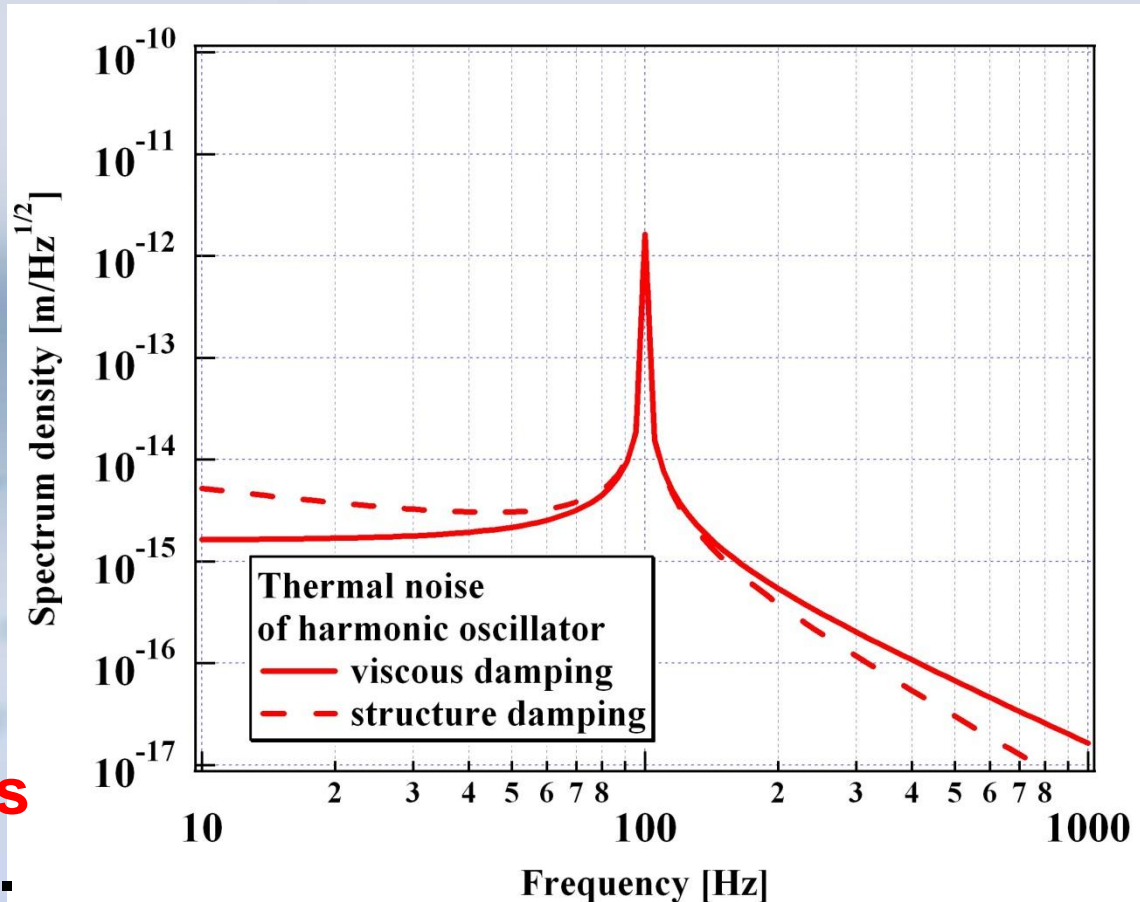
### 3. Interferometer before revolution

Spectrum density of **thermal noise** of **harmonic oscillator**

**Viscous** damping :  
Friction force is  
proportional  
to **velocity**.

**Structure** damping :  
Loss is  
independent  
of **frequency**.

Loss in many materials  
are **structure** damping.





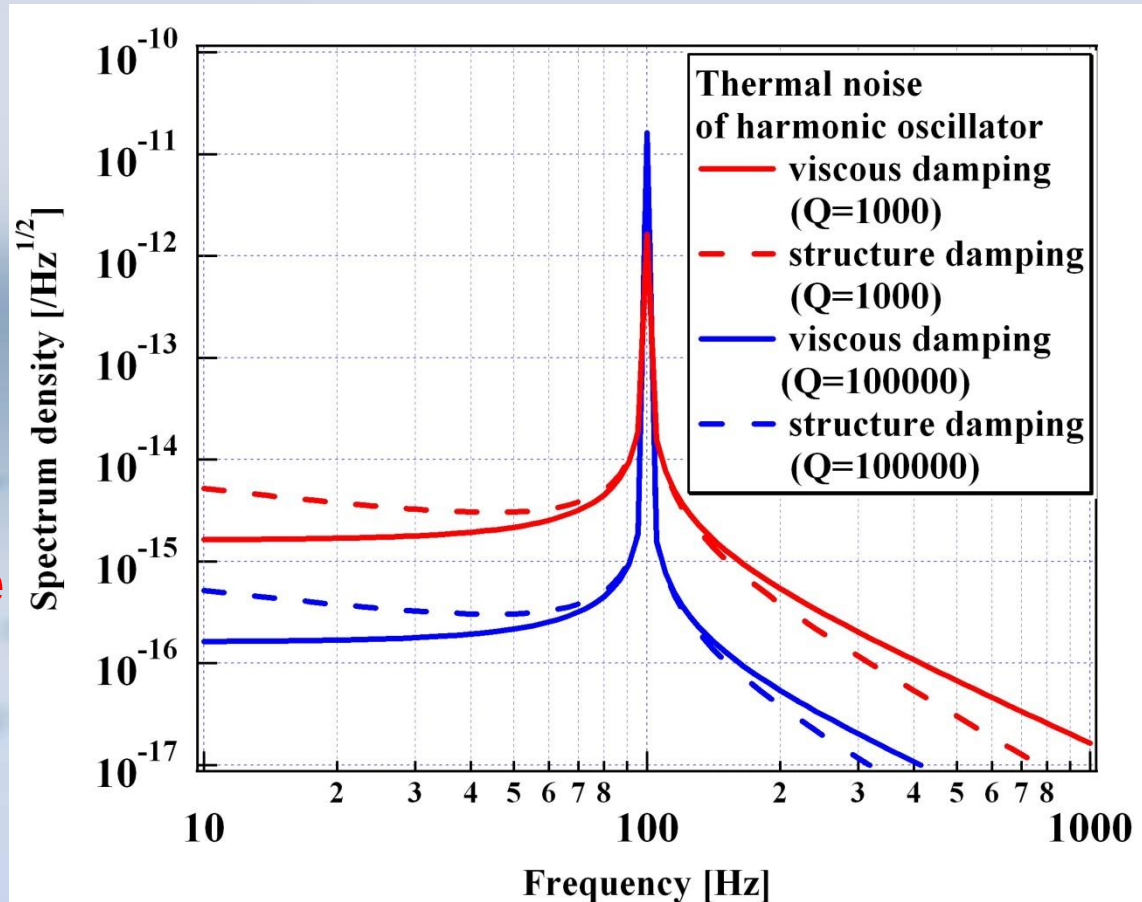
### 3. Interferometer before revolution

Spectrum density of **thermal noise** of **harmonic oscillator**

**Q-value :**  
**Magnitude of loss**

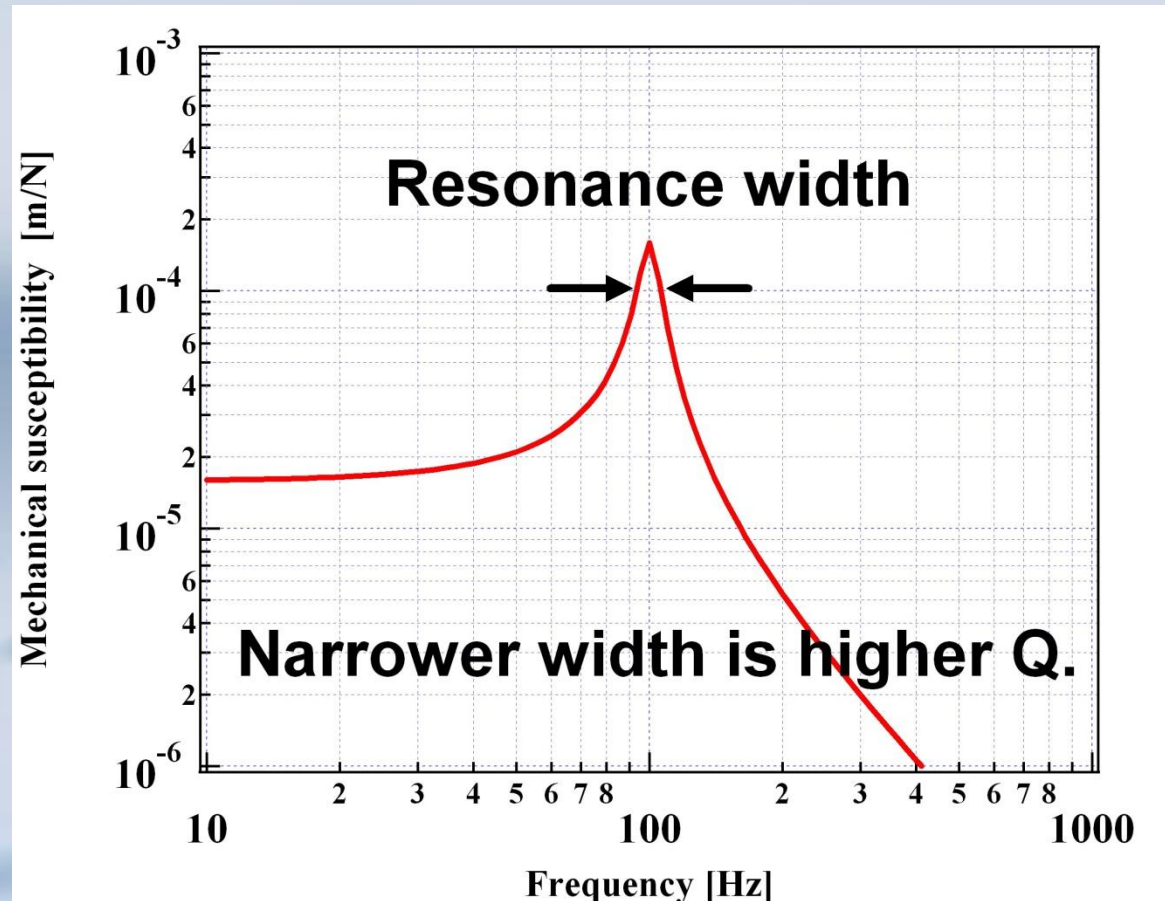
**Higher Q is**  
**smaller loss.**

**Higher Q is**  
**smaller off resonance**  
**thermal noise**  
**and better.**



### 3. Interferometer before revolution

Measurement of Q-value (**Width** of resonance peak)

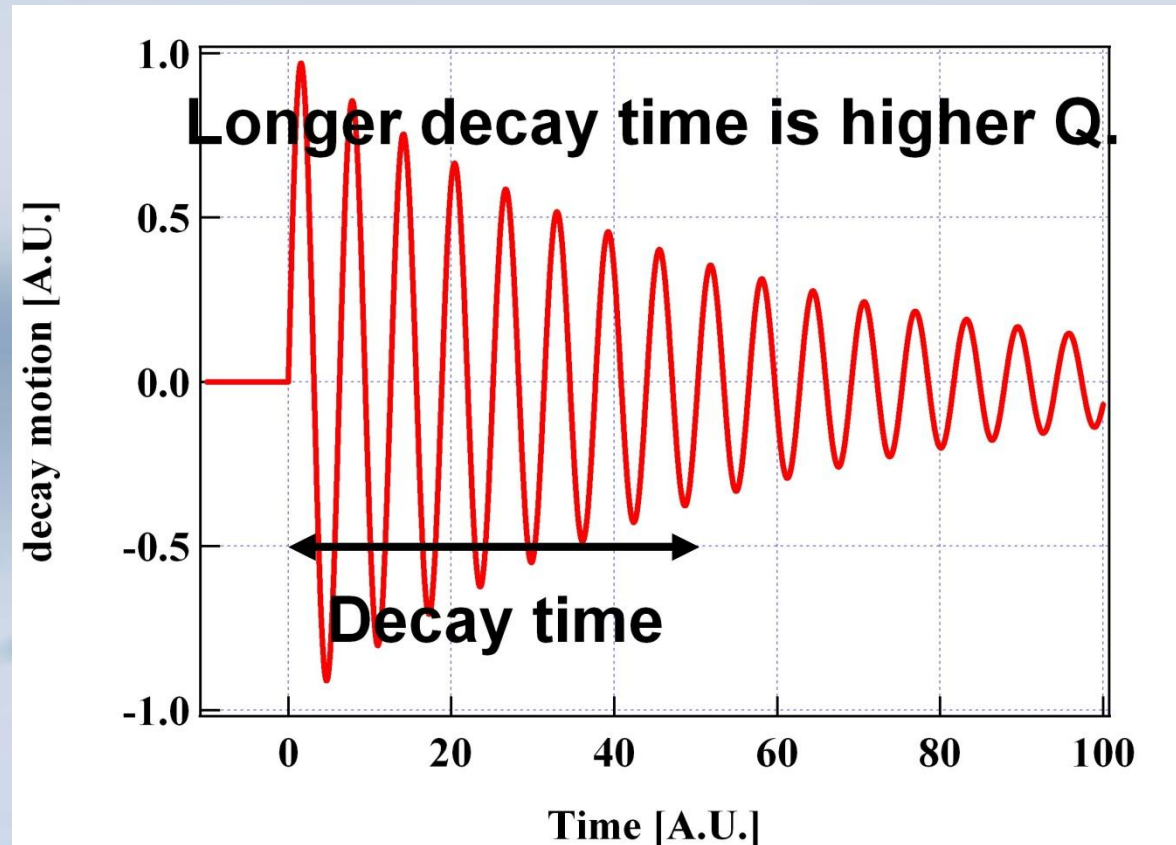


If Q-value is too **high**, measurement is **difficult**.



### 3. Interferometer before revolution

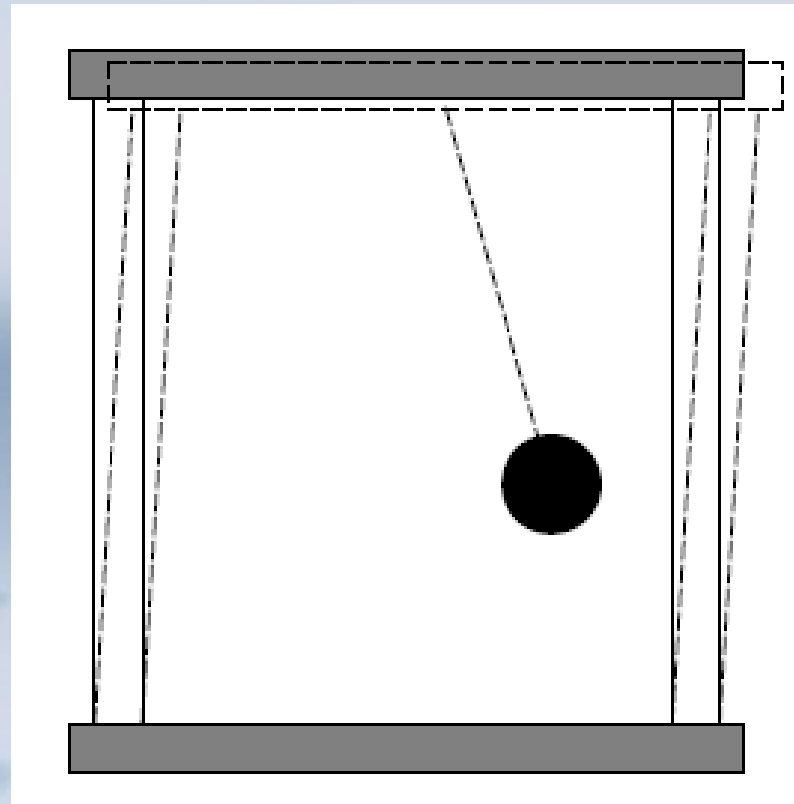
Measurement of Q-value (**Decay time** of resonance motion)



In (our) usual cases, we **adopt** this method.

### ***3. Interferometer before revolution***

Recoil loss (problem in measurement of **decay time**)



**Contamination of loss in support system**

**Suspension : Rigid and heavy support system**

### 3. Interferometer before revolution

Recoil loss (problem in measurement of **decay time**)

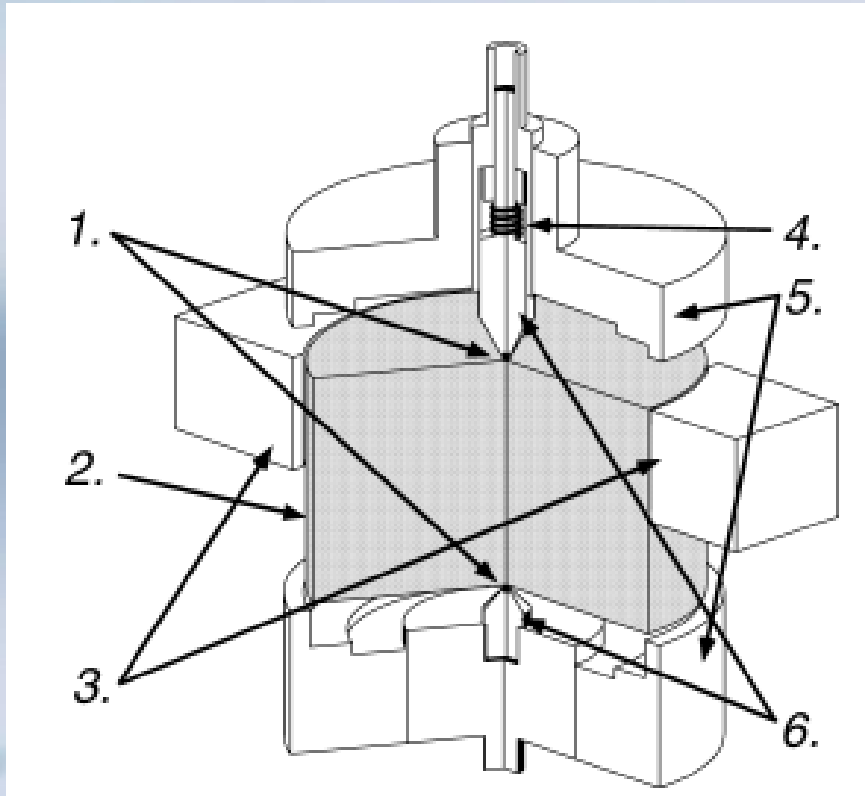


Fig. 1. Cross-section of our support system: samples were supported by two ruby balls at the center of the top and bottom surfaces. 1. *Ruby balls* (diameter 2 mm). 2. *Sample* (diameter 10 cm, height 6 cm). 3. *Electrodes*, sometimes replaced by piezoelectric actuators. 4. *Spring* to provide weak force (about 1N). 5. *Adjusters*. 6. *Supporting rods* (stainless steel).

Mirror : **Nodal support** system

(**Center of flat surface** is **node** for many modes)

K. Numata *et al.*, Physics Letters A 276 (**2000**) 37.

### 3. Interferometer before revolution

Measurement of Q-value of pendulum  
(monolithic fused silica)

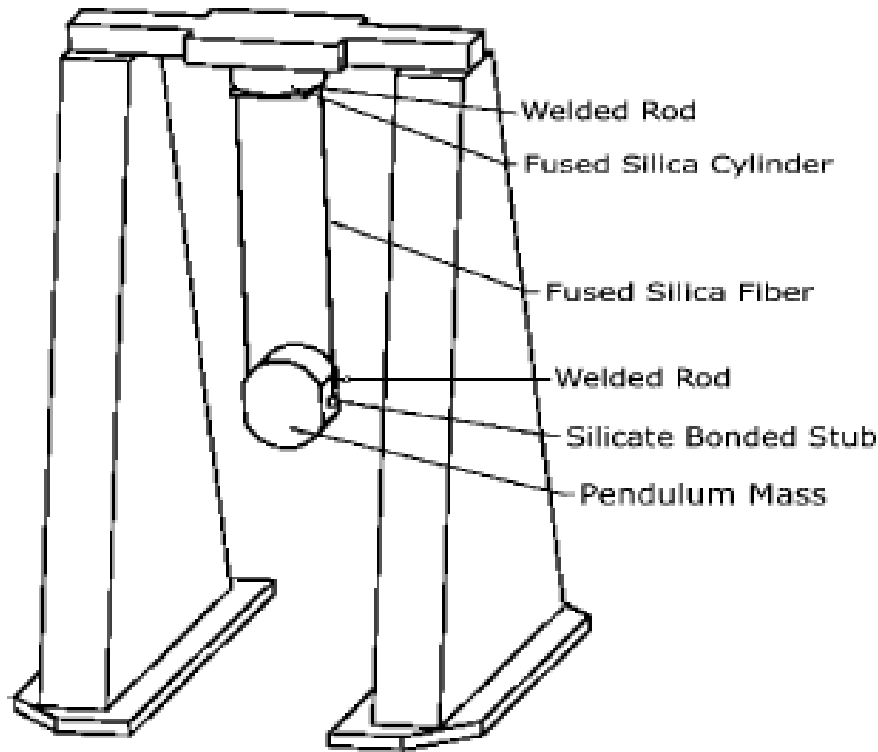


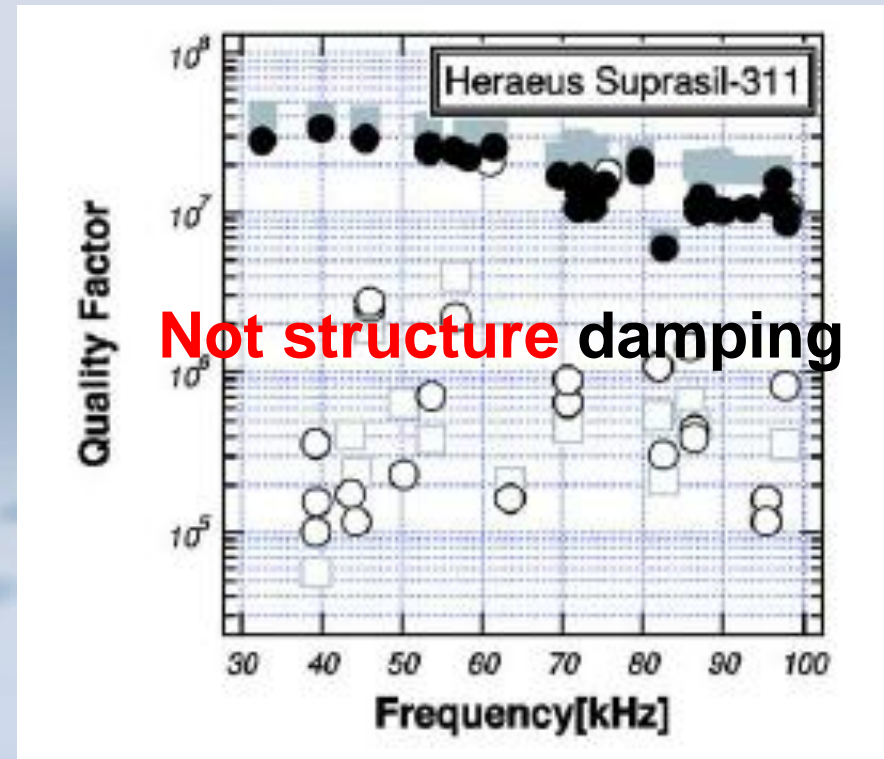
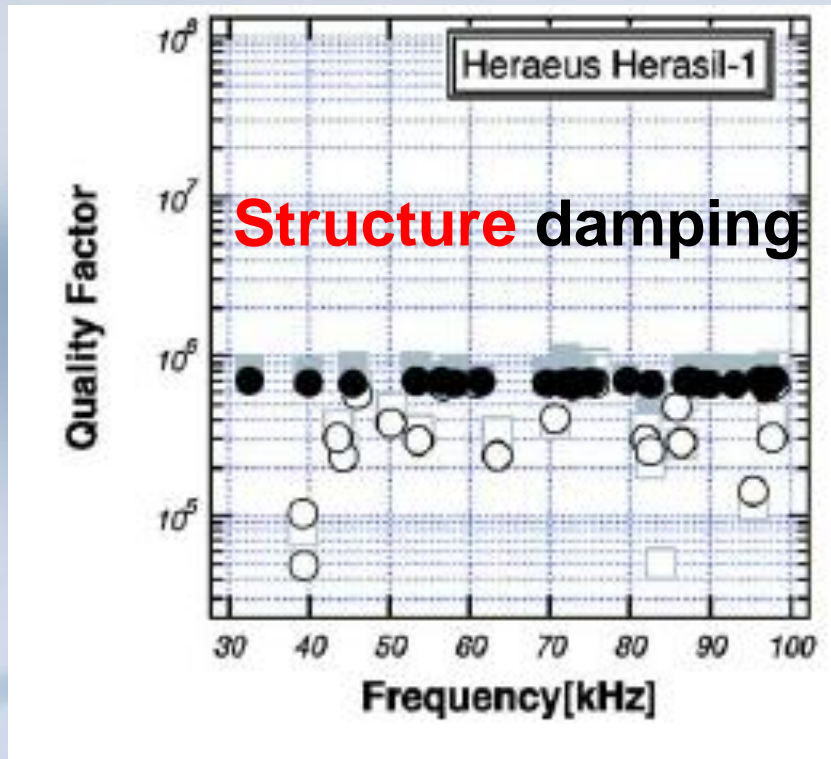
FIG. 1. Sketch of experimental setup.

$$Q = 2.3 * 10^7$$

### 3. Interferometer before revolution

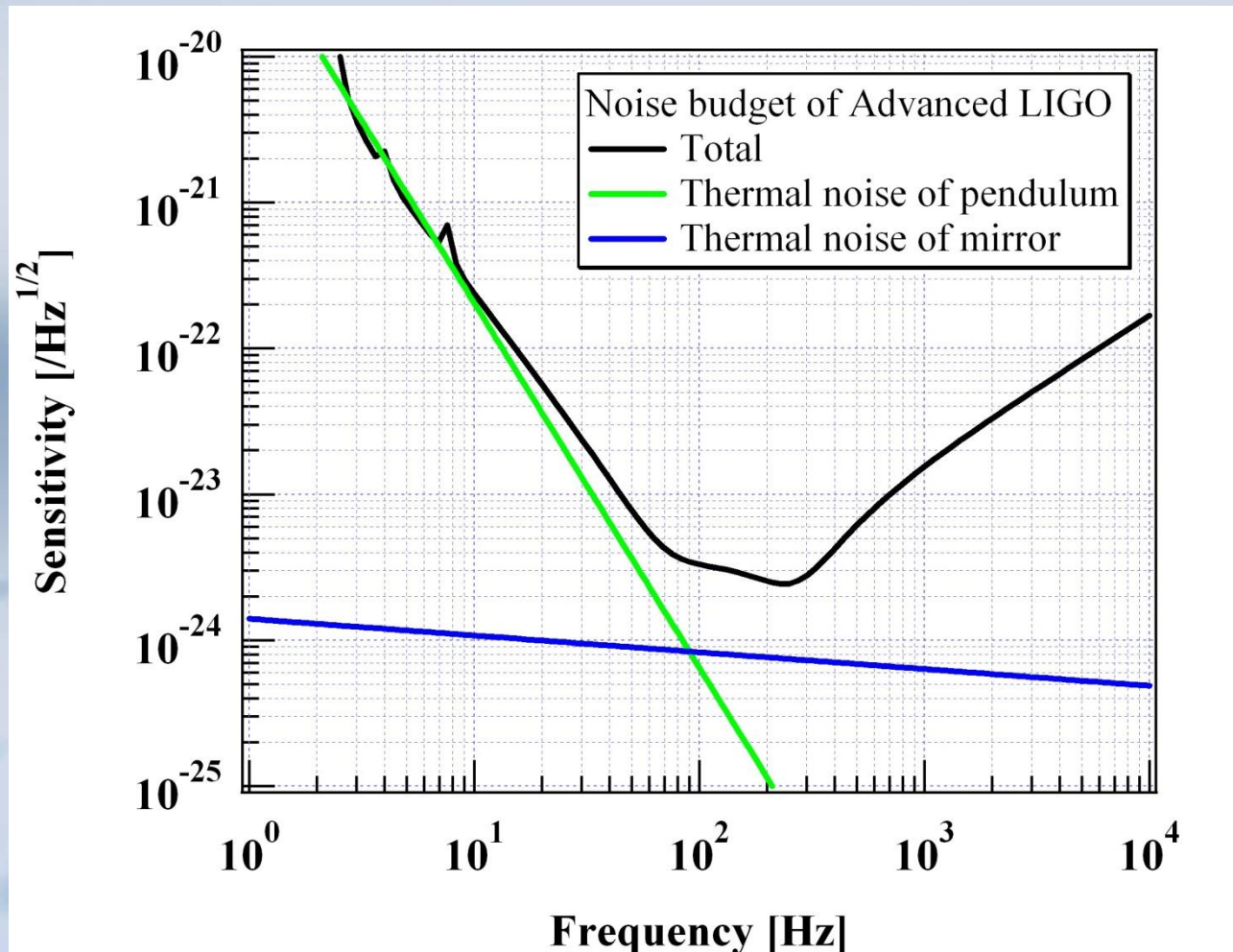
Measurement of Q-value of fused silica mirror

$$Q = 4 * 10^7$$



### 3. Interferometer before revolution

Evaluated thermal noise based on **Q-value measurement**



This sensitivity is **not latest** one.



## ***4. Interferometer after revolution***

- (1) Thermoelastic noise**
- (2) Thermal noise caused by inhomogeneous loss**
- (3) Direct measurement of thermal noise**
- (4) Direct measurement of off resonance dissipation**
- (5) Reduction of thermal noise**
- (6) Impact on other fields**

# 4. Interferometer after revolution

## (1) Thermoelastic noise

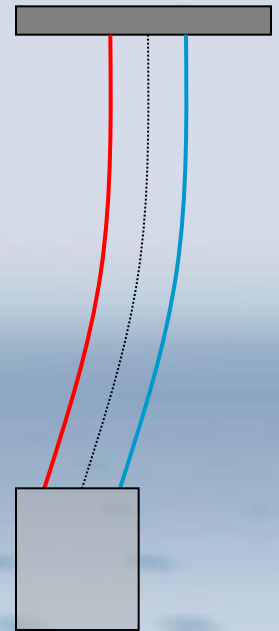
**Thermoelastic damping** : a kind of loss  
**Inhomogeneous** strain

**Thermal expansion** coefficient

Temperature **gradient**

Heat **flow**

We can **calculate** thermoelastic noise  
using **only material properties**.



Drawing by  
Tobias Westphal



# 4. Interferometer after revolution

## (1) Thermoelastic noise

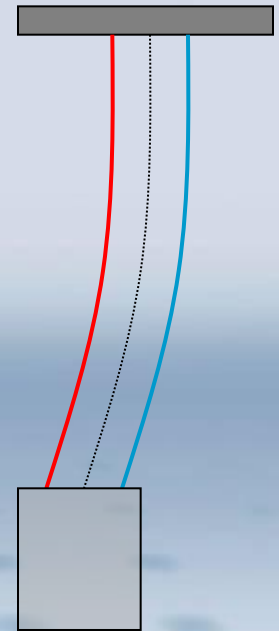
**Thermoelastic noise :**  
thermal noise by thermoelastic damping

Other interpretation

Temperature **fluctuation**

**Thermal expansion** coefficient

**Deformation** of elastic body



# ***4. Interferometer after revolution***

## **(1) Thermoelastic noise**

**Long, long history of research of thermoelastic damping**

**1 dimension (bar, ribbon)**

**C. Zener, Physical Review 52 (1937) 230; 53 (1938) 90.**

**2 dimension (disk)**

**L.D. Landau and E.M. Lifshitz**

***“The Theory of Elasticity” , 1953.***

**A.S. Nowick and B. Berry**

***“Anelastic Relaxation in Crystalline Solids” , 1972.***

**3 dimension (mirror)      1999**

## ***4. Interferometer after revolution***

### **(1) Thermoelastic noise**

**Thermoelastic noise of 3 dimension mirror without coating**

**V. B. Braginsky *et al.*, Physics Letters A 264 (1999) 1.**

**We can calculate thermoelastic noise  
using only substrate material properties.**

**This noise is larger than expectation !**

## ***4. Interferometer after revolution***

### **(1) Thermoelastic noise**

**Fused silica** vs. **Sapphire**

**Current** interferometric gravitational wave detector

Fused silica mirror

**Future** interferometer

**Sapphire** was a candidate.

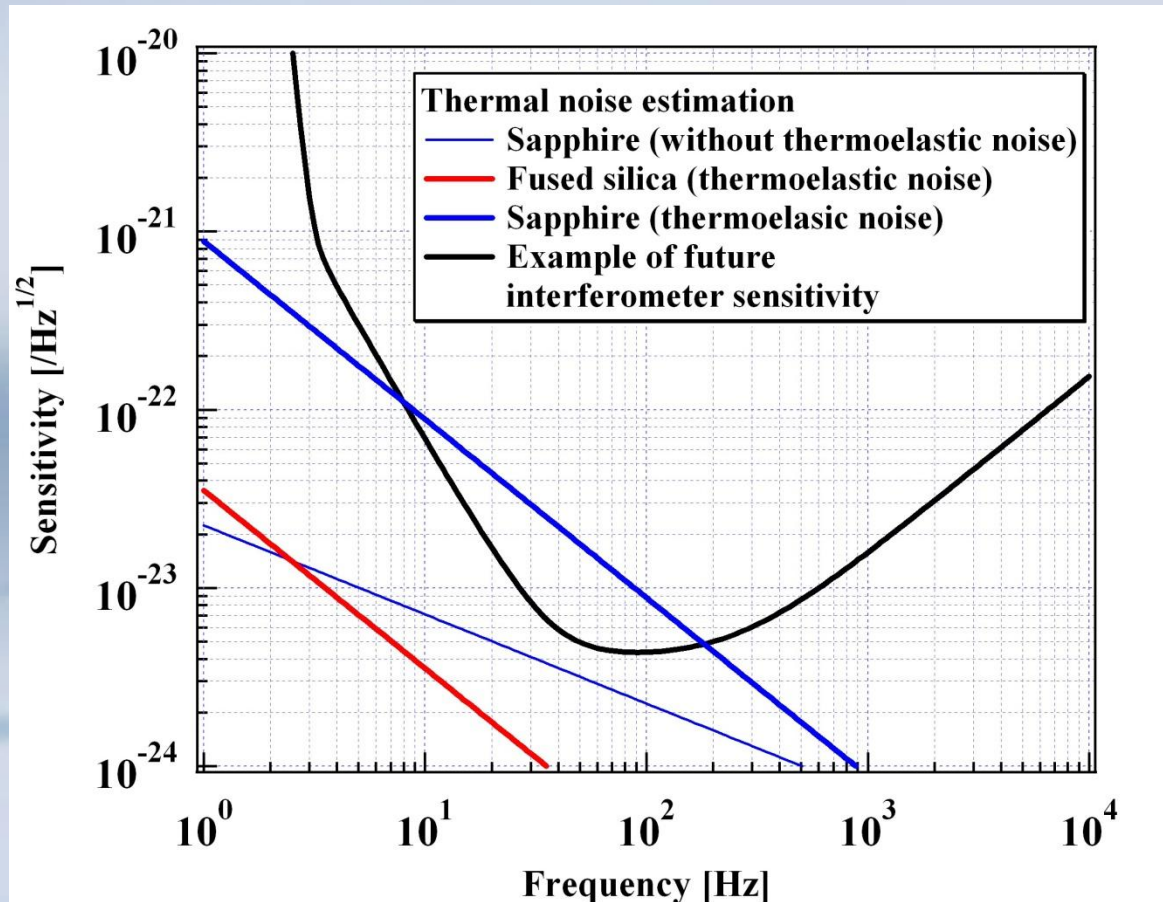
**Optical** properties of **fused silica** is **better**.

It was expected that thermal noise of **sapphire** is **smaller**.

However ...

# 4. Interferometer after revolution

## (1) Thermoelastic noise



One of **advantages** of **sapphire** was **lost**.  
**Future** (room temperature) interferometer  
will use **fused silica** mirror. 61

# 4. Interferometer after revolution

(1) Thermoelastic noise

Thermo-optic noise

Temperature fluctuation in reflective coating



Thermal expansion ( $\alpha$ )

Temperature coefficient of refractive index ( $\beta$ )



Fluctuation of phase of reflected light

Material properties of reflective coating are important issues.

V. B. Braginsky *et al.*, Physics Letters A 312 (2003) 244.

V. B. Braginsky *et al.*, Physics Letters A 271 (2000) 303.

M. Evans *et al.*, Physical Review D 78 (2008) 102003.

## ***4. Interferometer after revolution***

### **(2) Thermal noise caused by inhomogeneous loss**

Mirror is **not a** harmonic oscillator.

It has **a lot of resonant modes**.

Modal expansion

Thermal noise of mirror is the **summation** of those of **resonant modes**.

Thermal noise of resonant mode is the same as that of a harmonic oscillator .

Peter R. Saulson, Physical Review D 42 (**1990**) 2437.

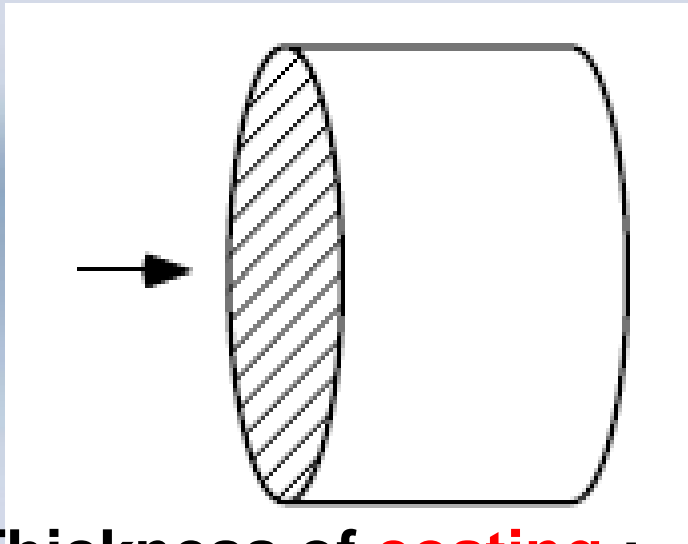
**Same Q-values implies same thermal noise.**

## 4. Interferometer after revolution

(2) Thermal noise caused by inhomogeneous loss

Mirror consists of **not only bulk** !

**Reflective coating**



Thickness of **coating** :  $\sim 5 \mu\text{m}$

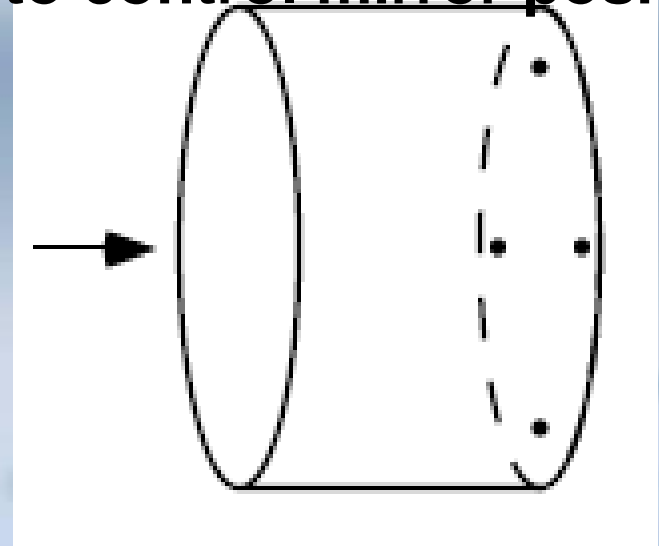
Thickness of **mirror** :  $\sim 10 \text{ cm}$

Coating do **not decrease**  
**Q-values** so much.

**Nobody cared.**

**Magnet**

(coil-magnet actuator  
to control mirror position)



Magnets **decrease Q-values**.  
**Serious problem**



## 4. Interferometer after revolution

(2) Thermal noise caused by inhomogeneous loss

Y. Levin, Physical Review D 57 (1998) 659.



**Q-value** of loss at **A** is the **same** as that at **B**.

Loss at A can shake **illuminated surface** more **largely** owing to **conservation of momentum**.

Thermal noise depends on **not only Q-values** but also **spatial distribution** of loss.

## ***4. Interferometer after revolution***

### **(2) Thermal noise caused by inhomogeneous loss**

**Modal expansion** predicts that **thermal noise** is the **same** if **Q-values** are **same**. But Levin's discussion proved that it is **invalid**.

**What is wrong ?**

**Although some people investigated, K. Yamamoto's four papers provide clear solution for this problem.**

## ***4. Interferometer after revolution***

### **(2) Thermal noise caused by inhomogeneous loss**

**What is wrong in modal expansion ?**

**Inhomogeneous** loss causes **couplings between modes**.  
Inhomogeneous loss destroys the shape of a mode.  
Other modes appear.

These couplings generate **correlations** between thermal fluctuations of resonant modes. This **correlation is not taken into account** in modal expansion.

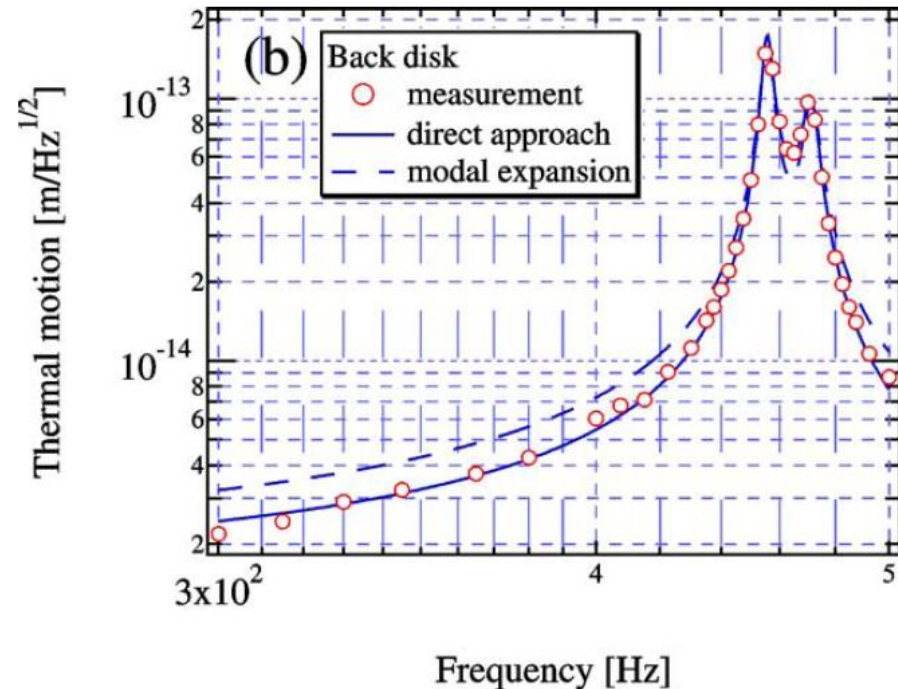
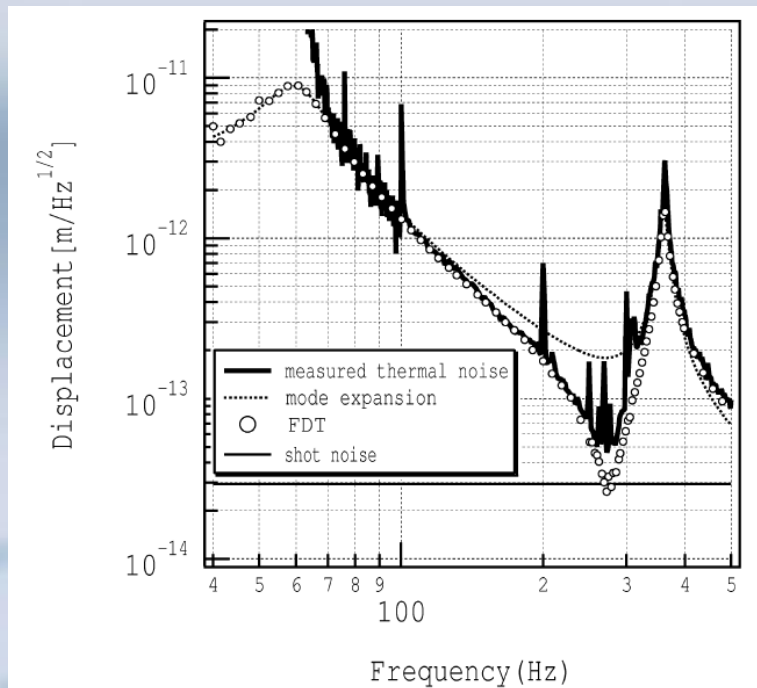
If we consider these correlations, modal expansion can provide correct evaluation (advanced modal expansion).

K. Yamamoto *et al.*, Physical Review D 75 (**2007**) 082002.

# 4. Interferometer after revolution

## (2) Thermal noise caused by inhomogeneous loss

Experimental checks : (**Traditional**) modal expansion **breaks down** and **advanced** modal expansion and **Levin's method** are **correct** if loss is inhomogeneous.



K. Yamamoto *et al.*, Physics Letters A 280 (**2001**) 289.

K. Yamamoto *et al.*, Physics Letters A 321 (**2004**) 79.

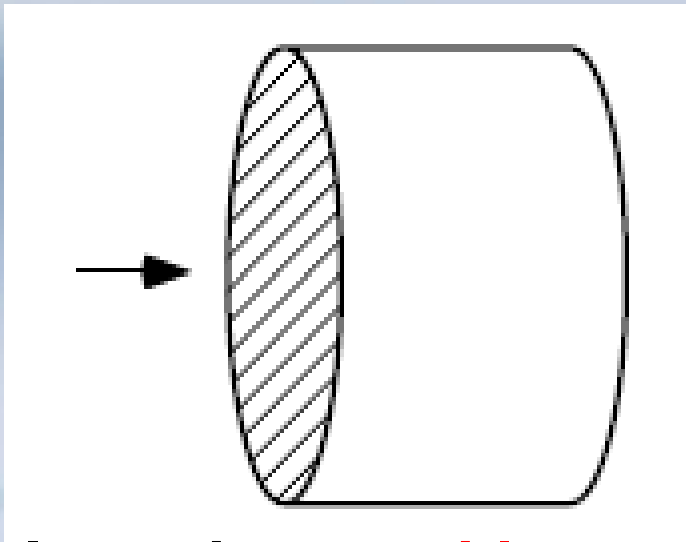
## 4. Interferometer after revolution

(2) Thermal noise caused by inhomogeneous loss

**Quantitative** discussion

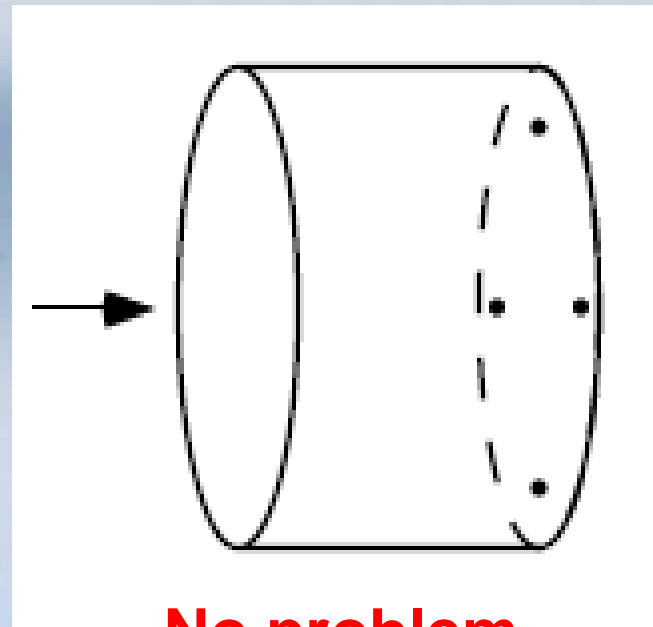
K. Yamamoto *et al.*, Physics Letters A 305 (**2002**) 18.

**Reflective coating**



It can be a **problem**.

**Magnet**



**No problem**

The **previous** expectation is perfectly **wrong**.

The strategy of research of thermal noise **must be changed**.

## ***4. Interferometer after revolution***

**(2) Thermal noise caused by inhomogeneous loss**

**Formula about coating thermal noise**

**G. Harry *et al.*, Classical and Quantum Gravity 19 (2002) 897.  
N. Nakagawa *et al.*, Physical Review D 65 (2002) 102001.**

**The details are in**

**G.M. Harry, T. Bodiya, and R. DeSalvo (Editors)**

***Optical Coatings and Thermal Noise***

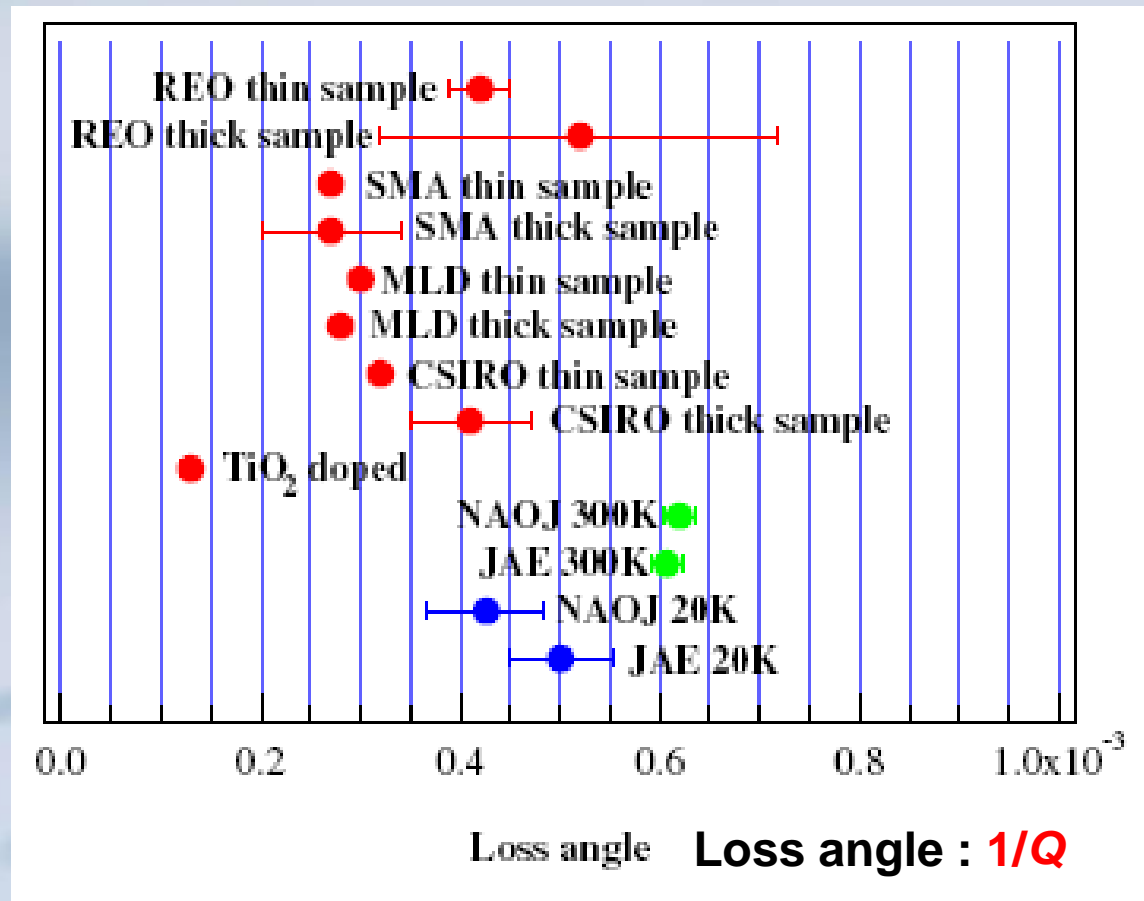
***in Precision Measurements***

**Cambridge University Press, Cambridge (in press)**

# 4. Interferometer after revolution

## (2) Thermal noise caused by inhomogeneous loss

**Old** summary of coating mechanical loss



**Similar** results  
(same order  
of magnitude)

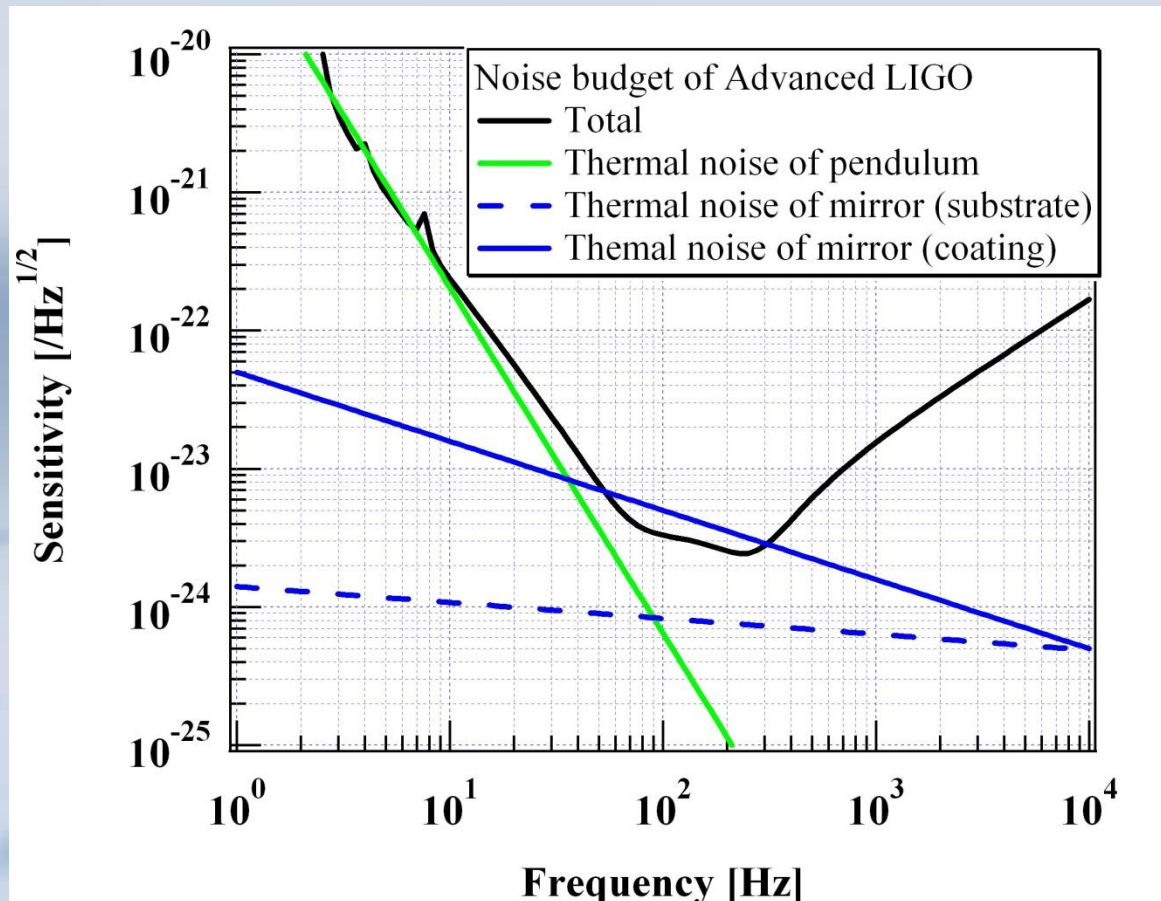
Structure damping



# 4. Interferometer after revolution

## (2) Thermal noise caused by inhomogeneous loss

Evaluated thermal noise based on **measurement**



**Coating** thermal noise is the most **serious** problem in future! 72

## ***4. Interferometer after revolution***

### **(3) Direct measurement of thermal noise**

**Are formulae of thermal noise **correct** ?**

**Direct measurement of thermal noise of **mirror****

**University of Tokyo, California Institute of Technology**

**Small beam radius (about **0.1 mm**)**

**to enhance thermal noise**

**Fabry Perot cavity length ~ 1 cm**

**Direct measurement of thermal noise of **suspension****

**University of Tokyo**

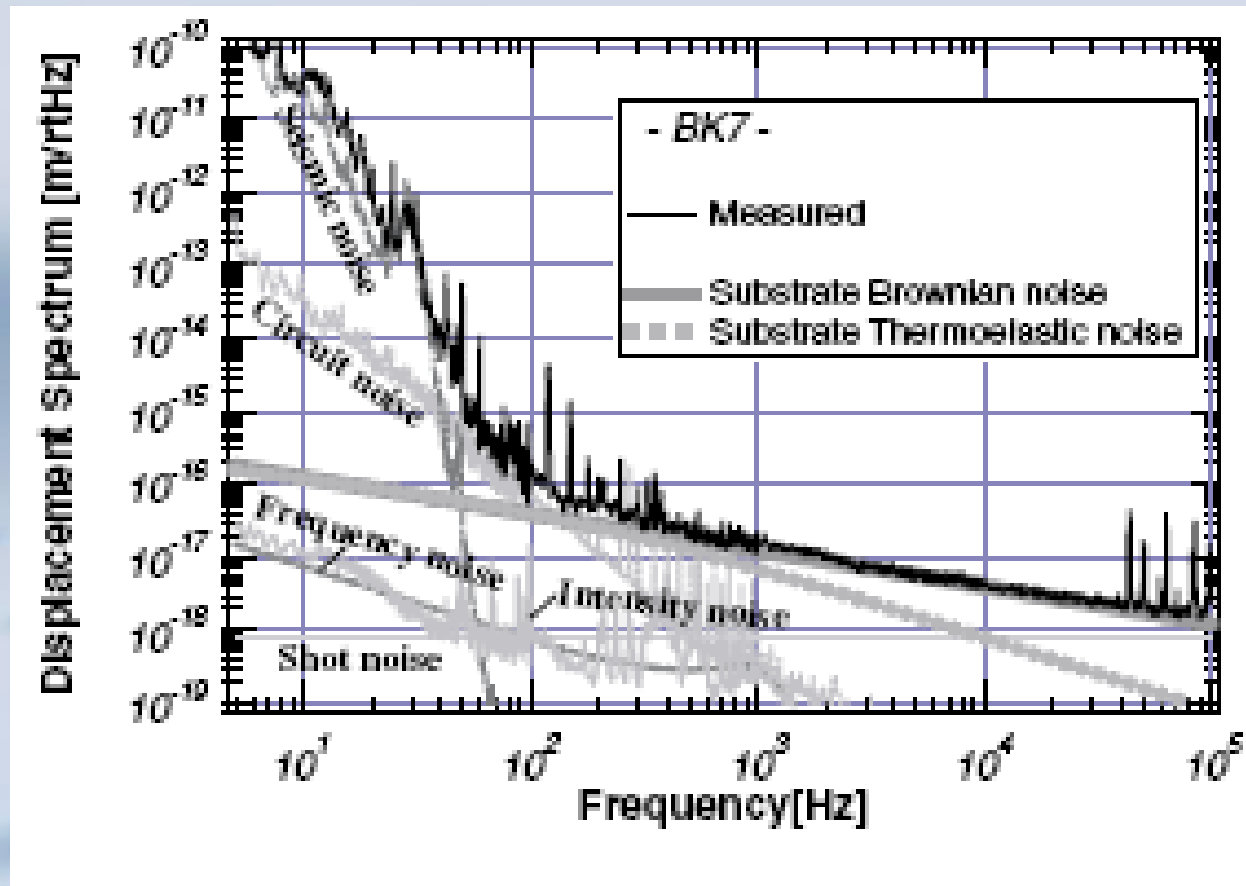
**Underground site with **small seismic motion****

**Cavity length ~ 100 m**

## 4. Interferometer after revolution

### (3) Direct measurement of thermal noise

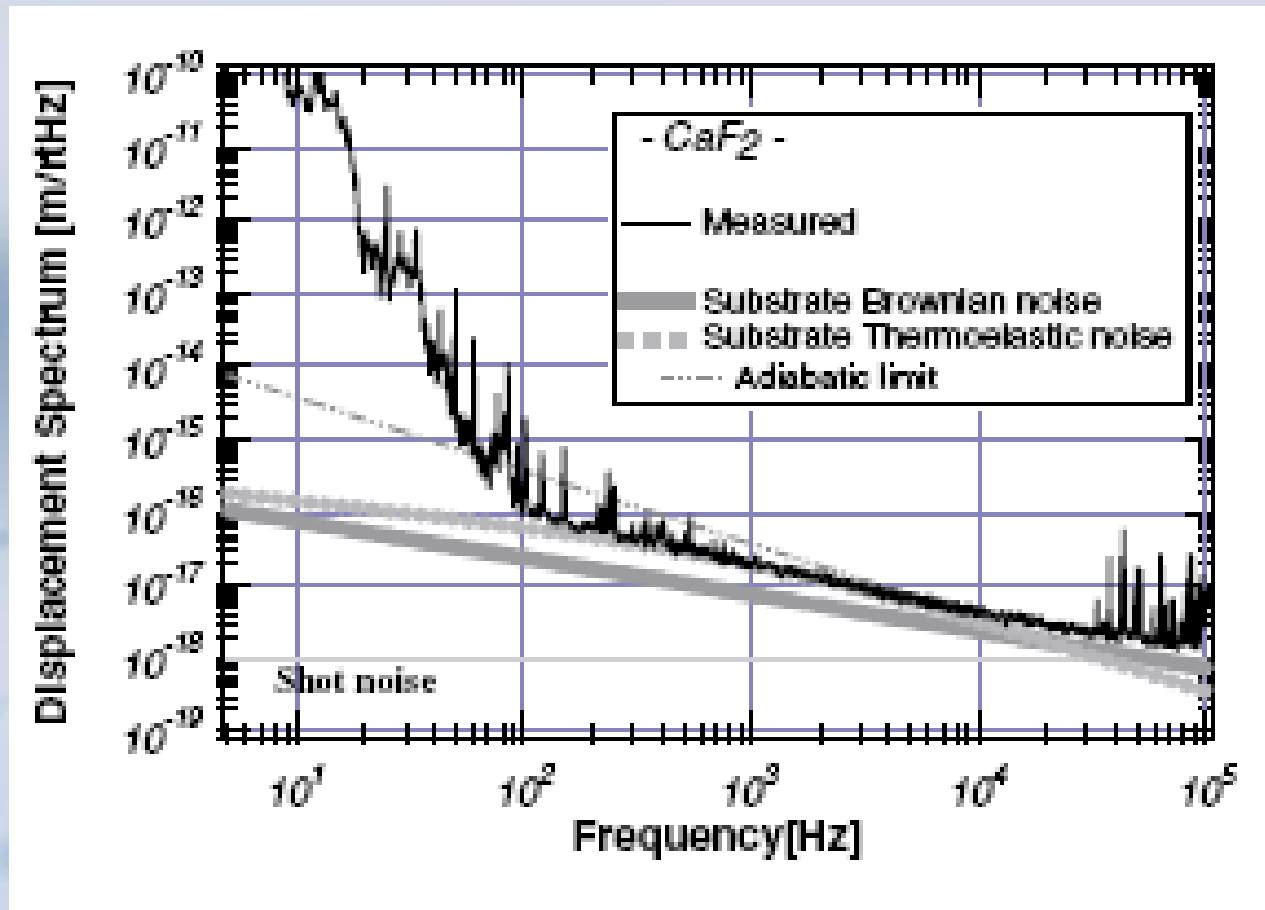
BK7 : **Structure** damping in **substrate**



## 4. Interferometer after revolution

### (3) Direct measurement of thermal noise

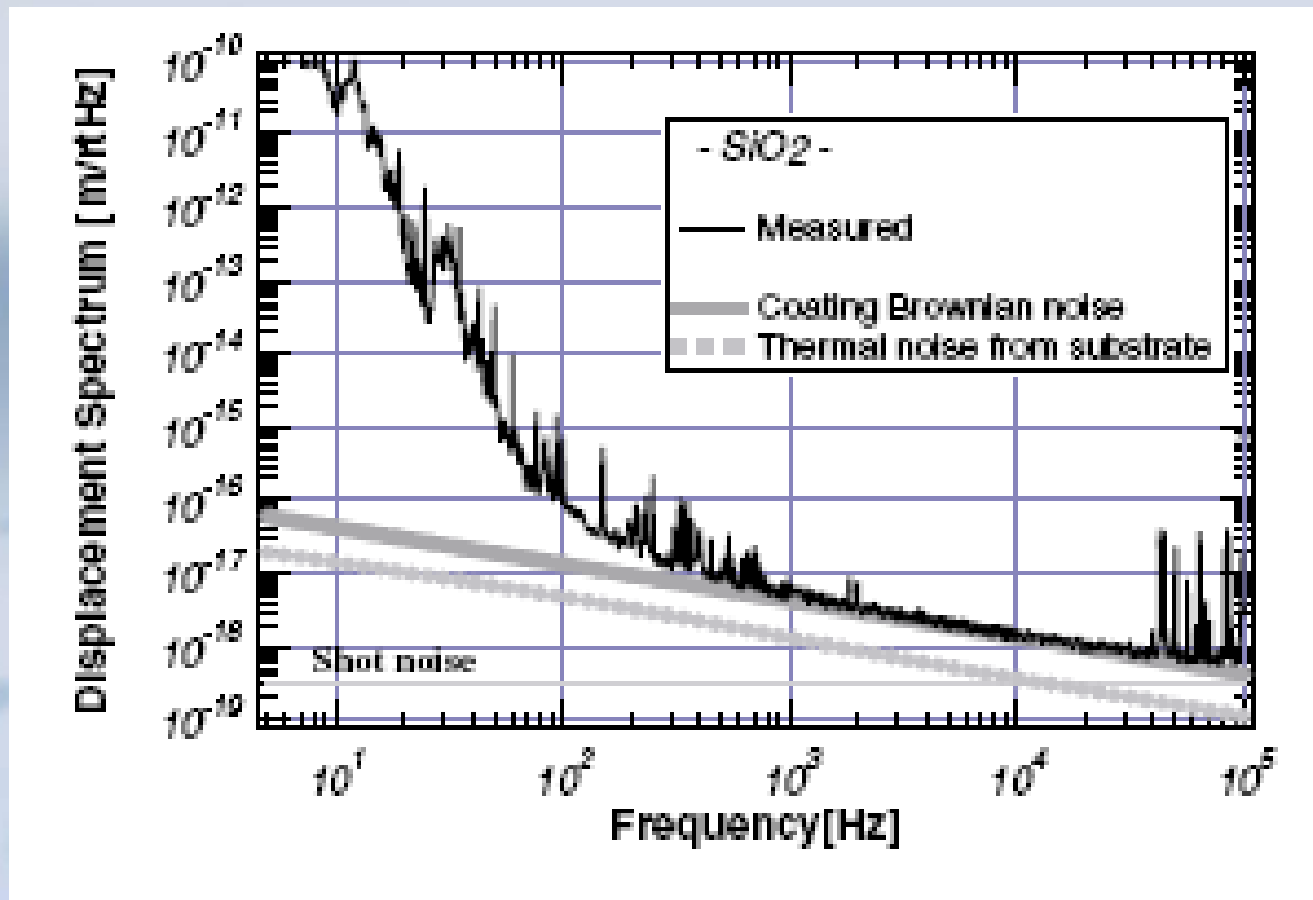
Calcium fluoride : **Thermoelastic** damping in **substrate**



## 4. Interferometer after revolution

### (3) Direct measurement of thermal noise

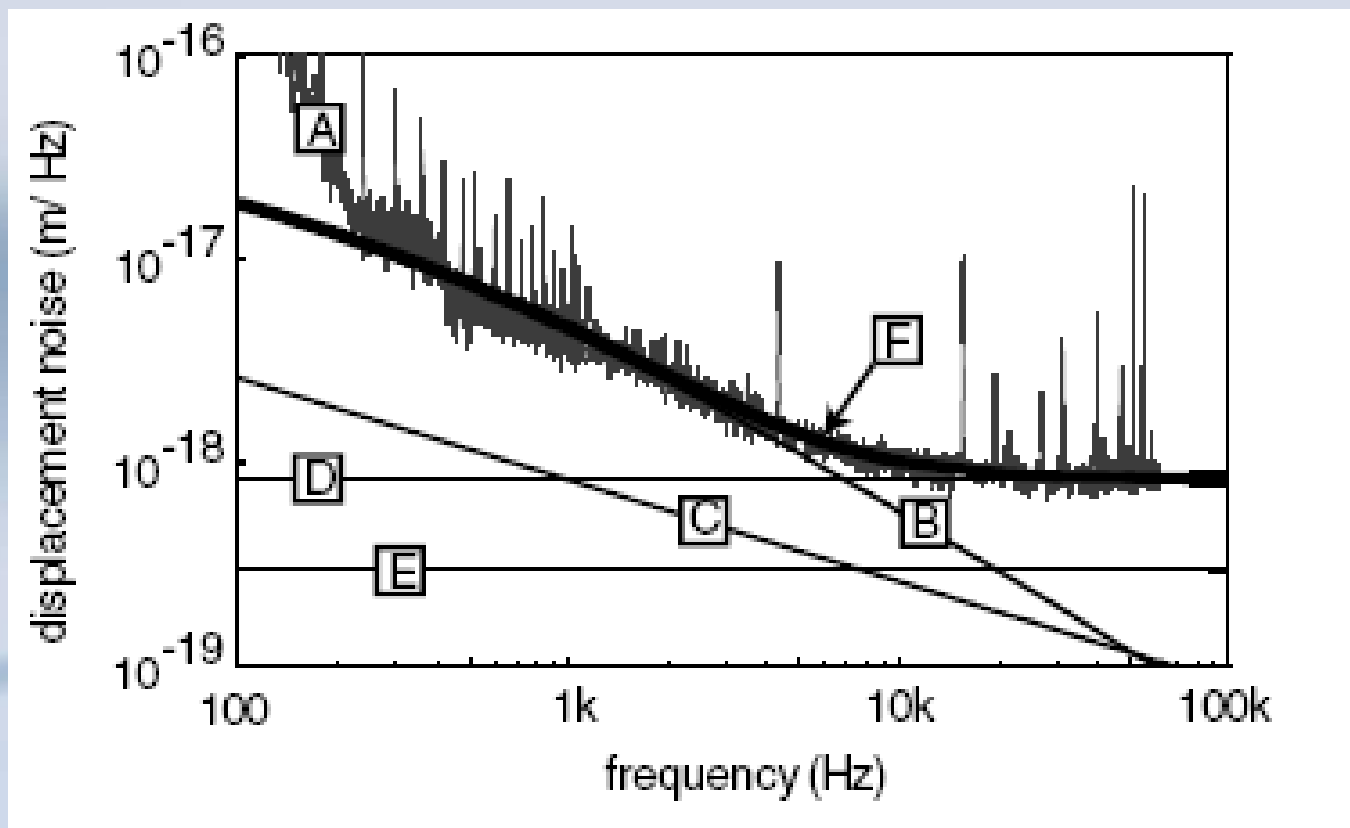
Fused silica : **Structure** damping in **coating**



## 4. Interferometer after revolution

### (3) Direct measurement of thermal noise

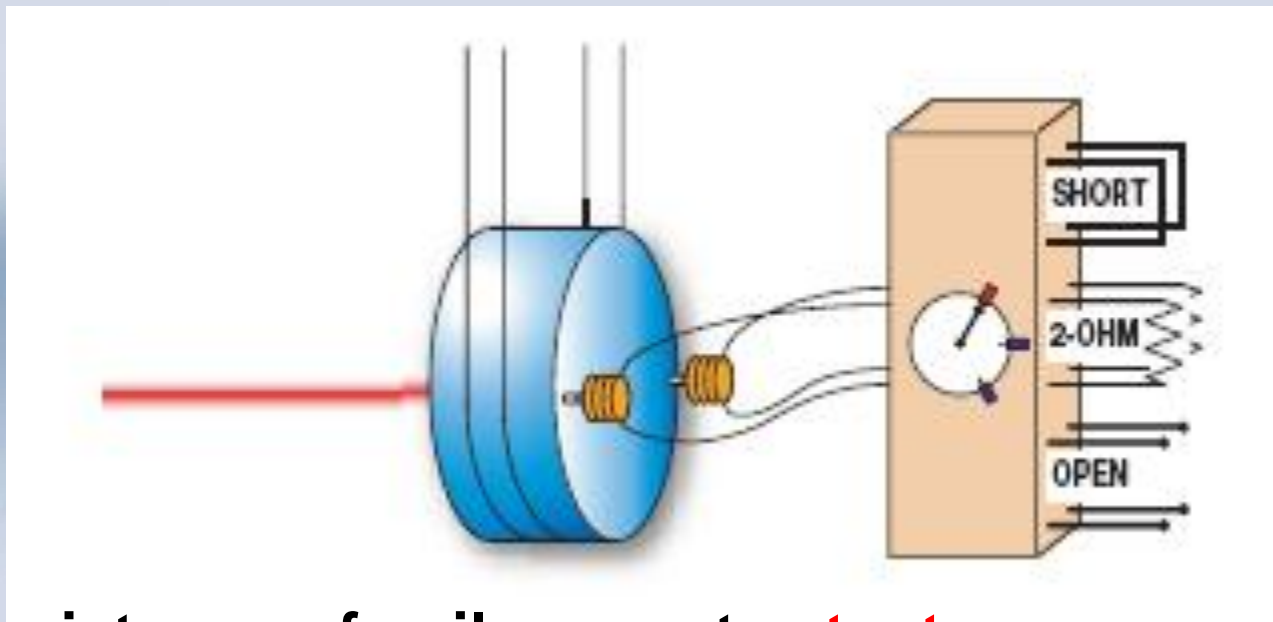
Sapphire : **Thermoelastic** damping in **substrate**



## 4. Interferometer after revolution

### (3) Direct measurement of thermal noise

Direct measurement of thermal noise of **suspension**



**Loss** : Resistance of coil-magnet **actuator**  
(**not material or clamp loss**)

We can **change** this resistance.

**Q**-value is still enough **high** ( $\sim 10^5$ ).

K. Agatsuma *et al.*, Physical Review Letters 104 (**2010**) 040602.



## 4. Interferometer after revolution

### (3) Direct measurement of thermal noise

Cryogenic Laser Interferometer Observatory (CLIO, Japan)  
**100 m**, Kamioka (Japan)

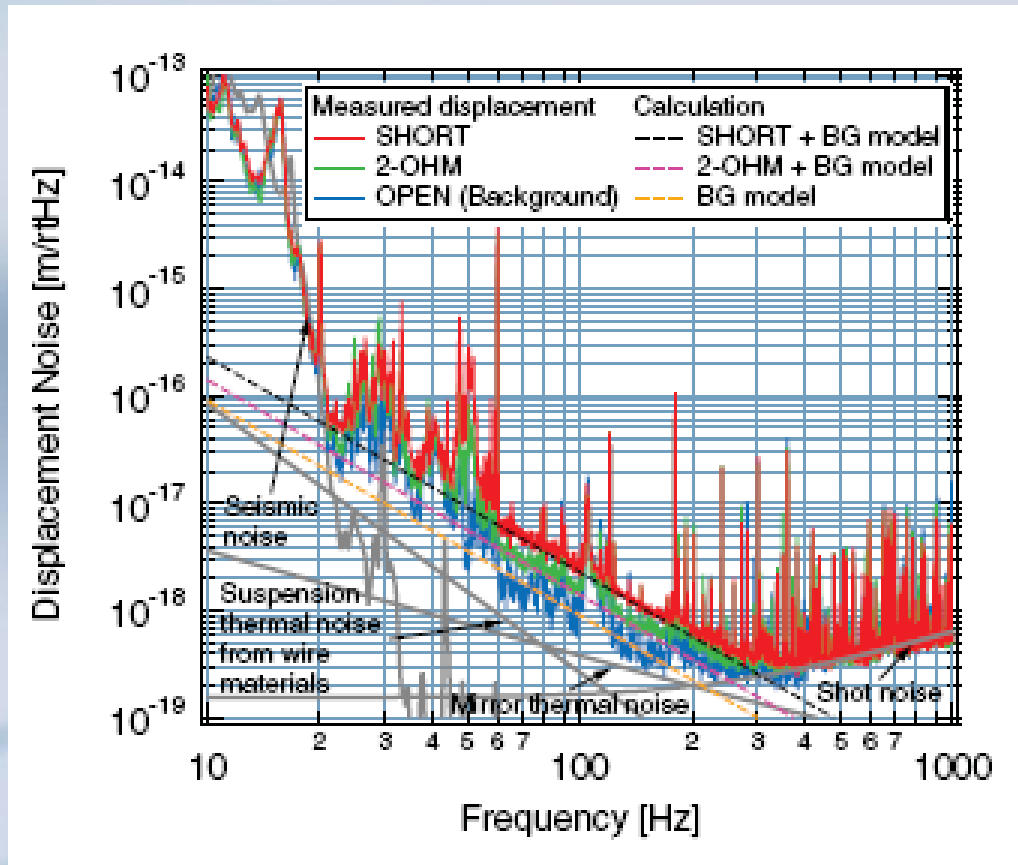


Prototype for LCGT ; cryogenic interferometer  
in underground site with small seismic motion

S. Kawamura, Classical and Quantum Gravity 27 (2010) 084001.

# 4. Interferometer after revolution

## (3) Direct measurement of thermal noise



Change of **resistance**



Change of **observed**  
sensitivity as theoretical  
**prediction**

Next step : Thermal  
noise by **loss in**  
**suspension itself**

## ***4. Interferometer after revolution***

### **(3) Direct measurement of thermal noise**

**I expect that the sensitivities of LIGO(U.S.A.), Virgo (Italy and France) and GEO (Germany and U.K.) are comparable with suspension thermal noise.**

**However, I can not find refereed papers which claim observation of suspension thermal noise.**

## 4. Interferometer after revolution

### (4) Direct measurement of off resonance dissipation

Now we observe thermal fluctuation directly.

Fluctuation dissipation theorem

$$G(f) = -\frac{4k_{\text{B}}T}{\omega} \text{Im}[H(\omega)]$$

Power spectrum  
of thermal **fluctuation**

Imaginary part of susceptibility  
(**dissipation**)

How about direct measurement of **dissipation**  
(imaginary part of susceptibility) ?

## 4. Interferometer after revolution

### (4) Direct measurement of off resonance dissipation

How about dissipation (imaginary part of susceptibility) ?  
It is not so easy.

**Imaginary part** is much **smaller** than real part.

$$\frac{\text{Im}[H(\omega)]}{\text{Re}[H(\omega)]} \sim \frac{1}{Q} < 10^{-6}$$

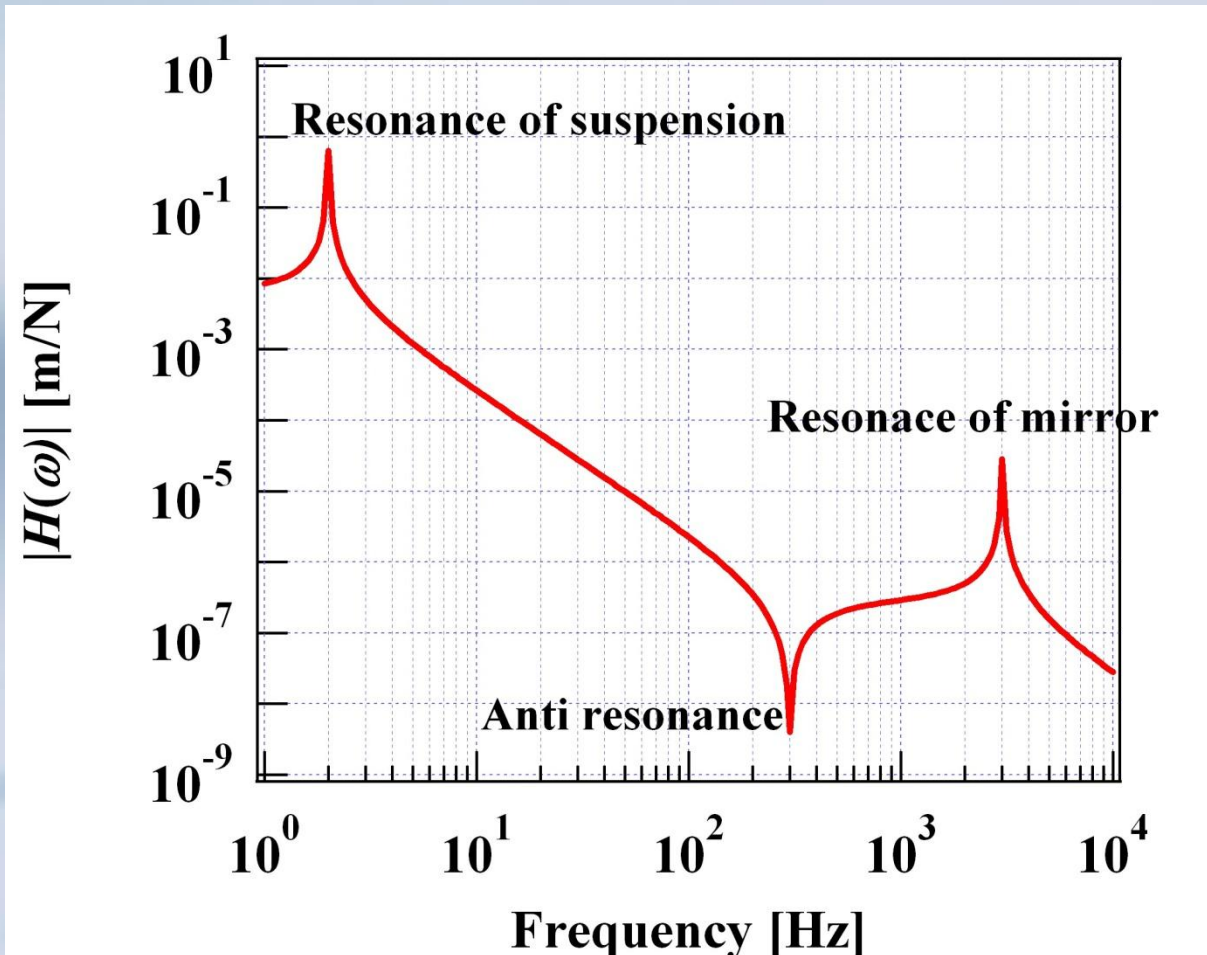
Off resonance region

Extreme precise measurement is necessary.

**Relative error** should be **smaller than  $10^{-6}$**  !

## 4. Interferometer after revolution

### (4) Direct measurement of off resonance dissipation



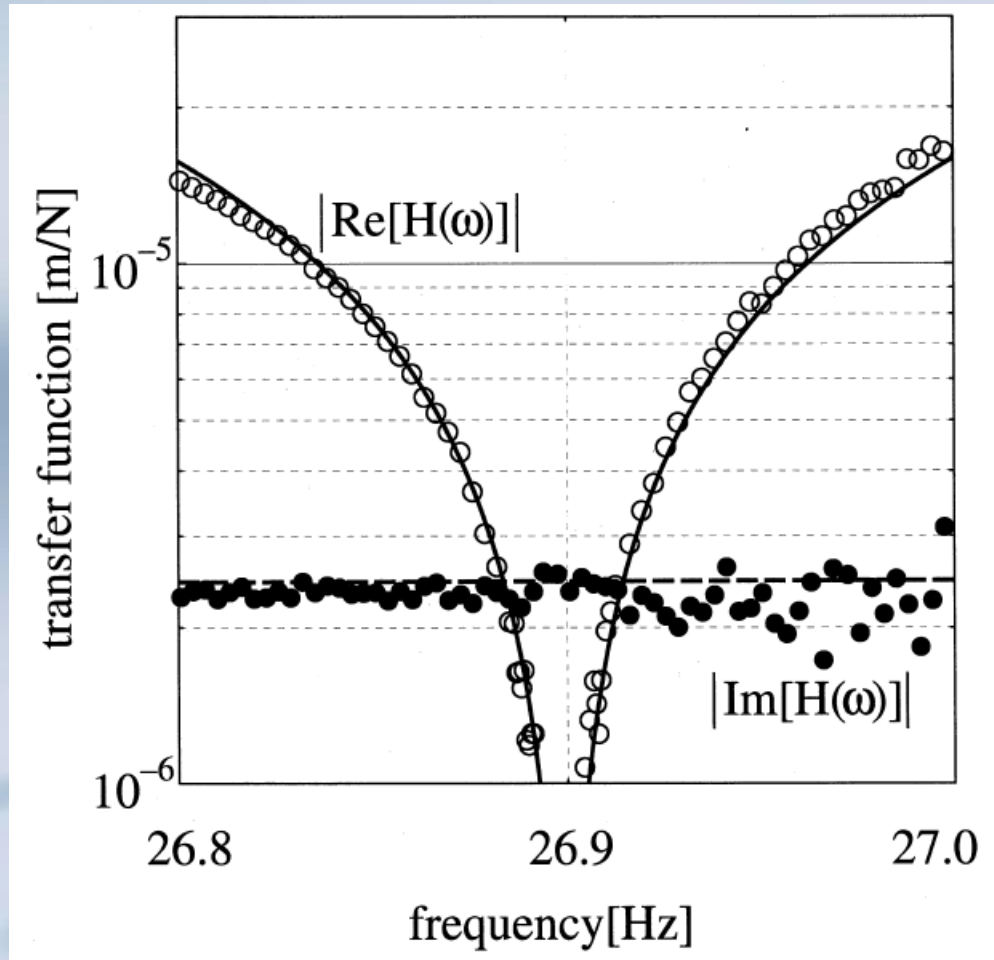
On **anti resonance**  
 **$\text{Re}[H]$  vanishes.**  
 $|H|$  is  $|\text{Im}[H]|$   
(dissipation).

Requirement of  
**relative error is not**  
**so serious.**

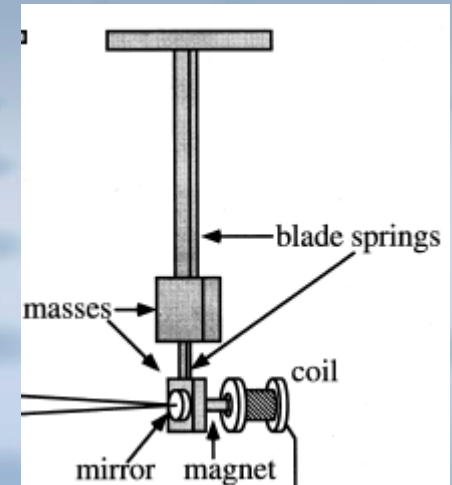


## 4. Interferometer after revolution

### (4) Direct measurement of off resonance dissipation



Ohishi confirmed that **this method works well** using small 2 modes oscillator.

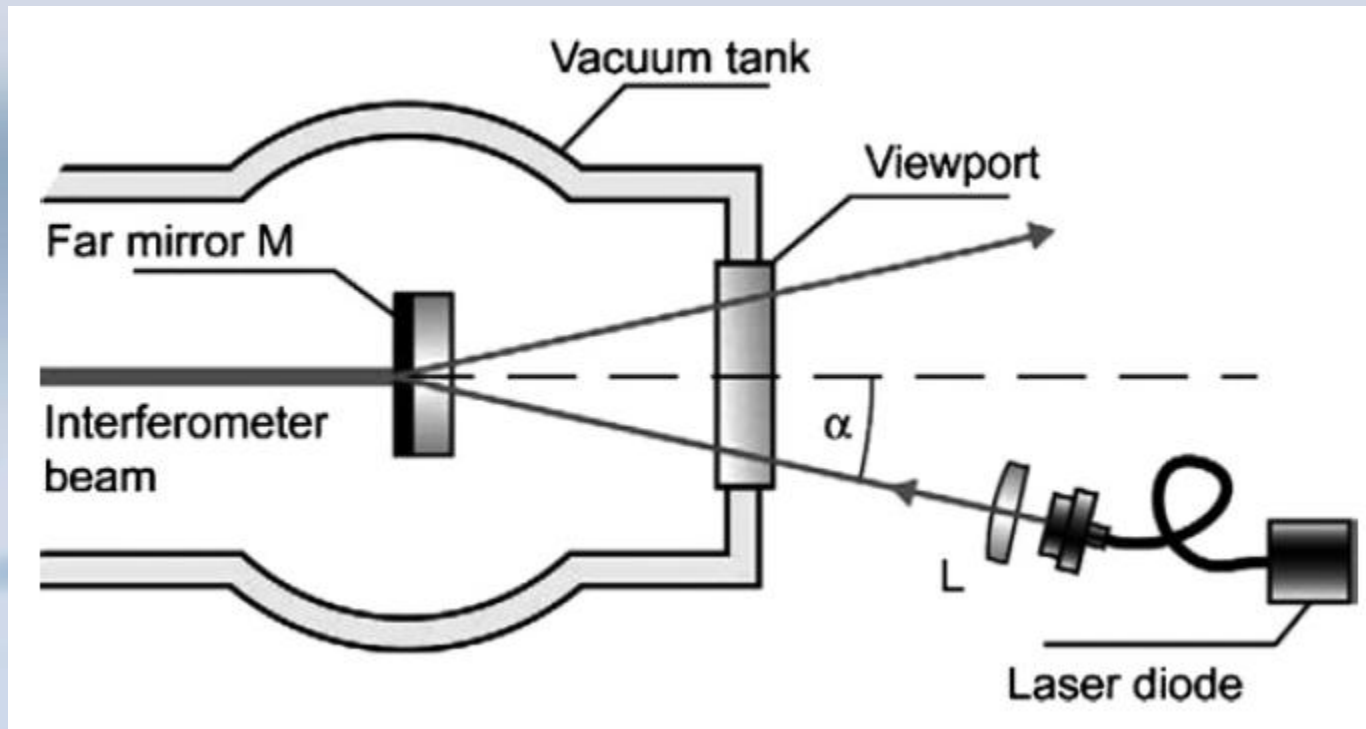




## 4. Interferometer after revolution

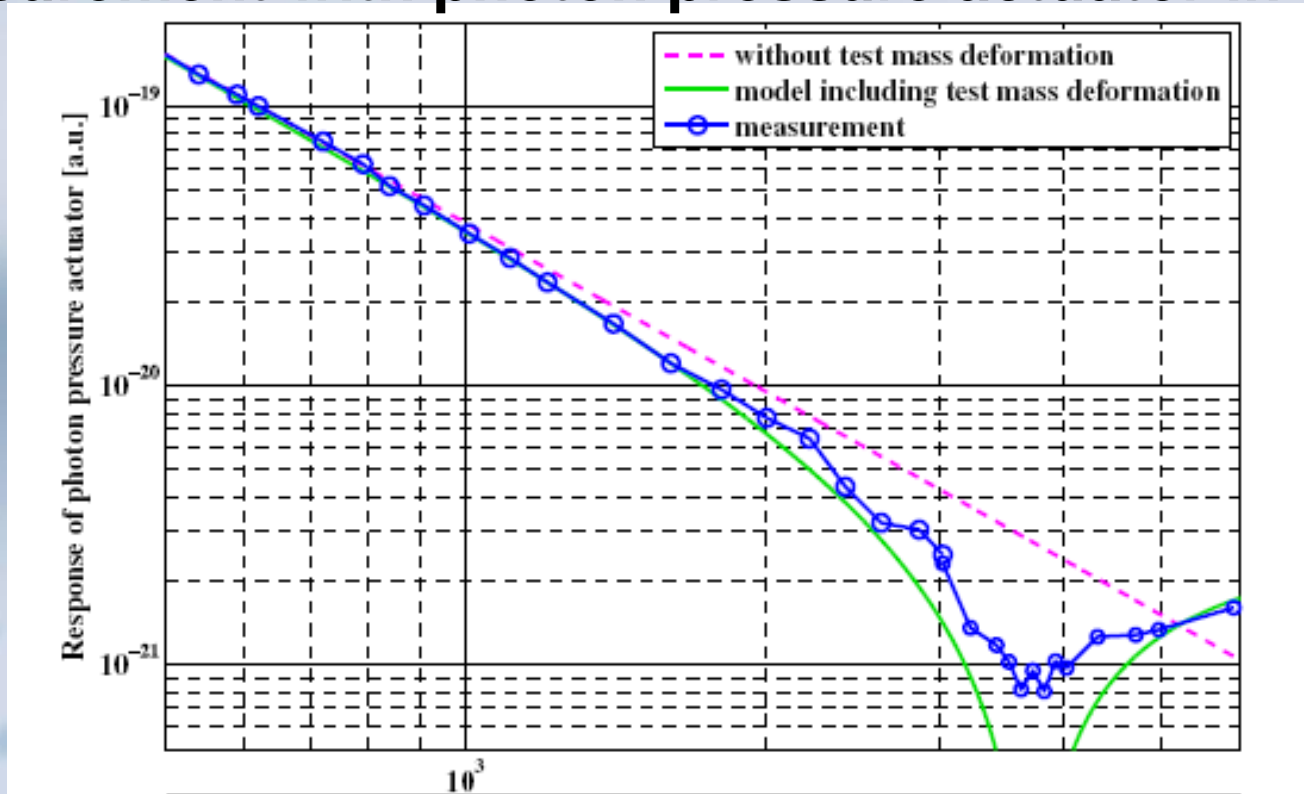
### (4) Direct measurement of off resonance dissipation

In gravitational wave detector, actuator is a problem. Probably, **photon pressure actuator** is the best one.



## 4. Interferometer after revolution

### (4) Direct measurement of off resonance dissipation Measurement with photon pressure actuator in GEO600



Susceptibility could not be measured at anti resonance.  
The progress is necessary.

## ***4. Interferometer after revolution***

### **(5) Reduction of thermal noise**

**Coating** thermal noise is the most **serious** problem !  
Coating loss reduction is not so easy ...

### **Second generation interferometer**

**Advanced LIGO (U.S.A.)**

**and Virgo (Italy and France): Larger beam**

**LCGT (Japan) : Cooled sapphire mirror**

### **Third generation interferometer (10 times better sensitivity)**

**Einstein Telescope (ET, Europe) :**

**Cooled silicon or sapphire mirror and larger beam**

## ***4. Interferometer after revolution***

### **(5) Reduction of thermal noise**

**Larger beam**

**Mirror radius** should be **3 times larger** than  
**Gaussian beam radius** to avoid large clipping loss.

**How about other kinds of beam shape ?**

**Mesa hat, higher modes...**

**The details are in Chapter 13 (A. Freise) of  
G.M. Harry, T. Bodiya, and R. DeSalvo (Editors)**

***Optical Coatings and Thermal Noise***

***in Precision Measurements***

**Cambridge University Press, Cambridge (in press)**

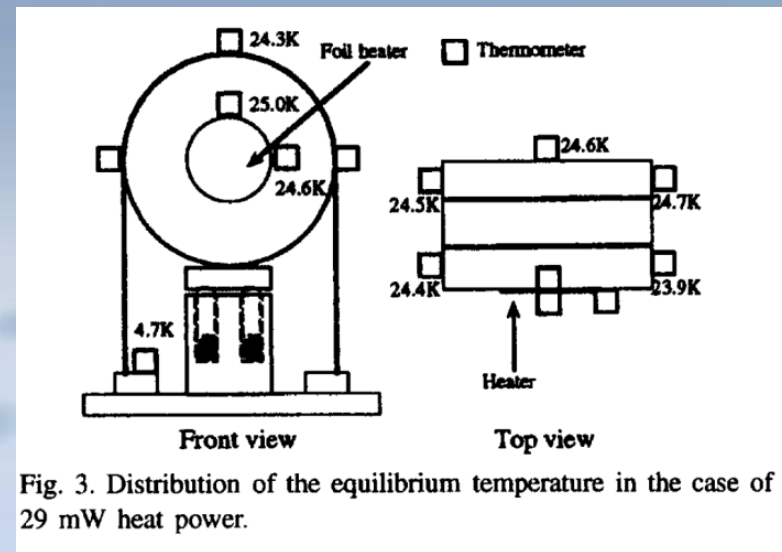
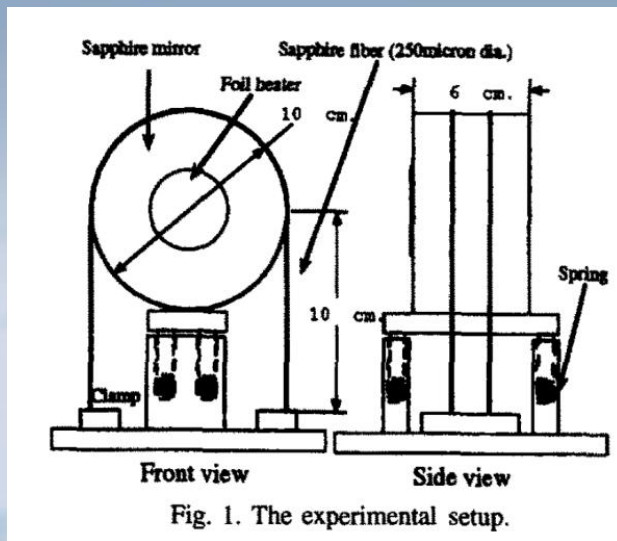
# 4. Interferometer after revolution

## (5) Reduction of thermal noise

One of the **simplest solutions** : **Cooling mirrors**

**First** feasibility study

T. Uchiyama *et al.*, Physics Letters A 242 (1998) 211.



## 4. Interferometer after revolution

### (5) Reduction of thermal noise

One of the **simplest solutions** : **Cooling mirrors**

In LCGT, **sapphire mirror** is suspended by **sapphire fibers**.

**Small mechanical loss** (small thermal noise)

T. Uchiyama *et al.*, Physics Letters A 273 (**2000**) 310.

**Large thermal conductivity** (effective cooling)

T. Tomaru *et al.*, Physics Letters A 301 (**2002**) 215.

In ET, **silicon** is also candidate.

S. Hild *et al.*,

Classical and Quantum Gravity 28 (**2011**) 094013.

R. Nawrodt *et al.*,

General Relativity and Gravitation 43 (**2011**) 593.

## ***4. Interferometer after revolution***

### **(5) Reduction of thermal noise**

**Amplitude of thermal noise is proportional to**

$$(T/Q)^{1/2}$$

**In general, *Q-value depends on T* (temperature).**

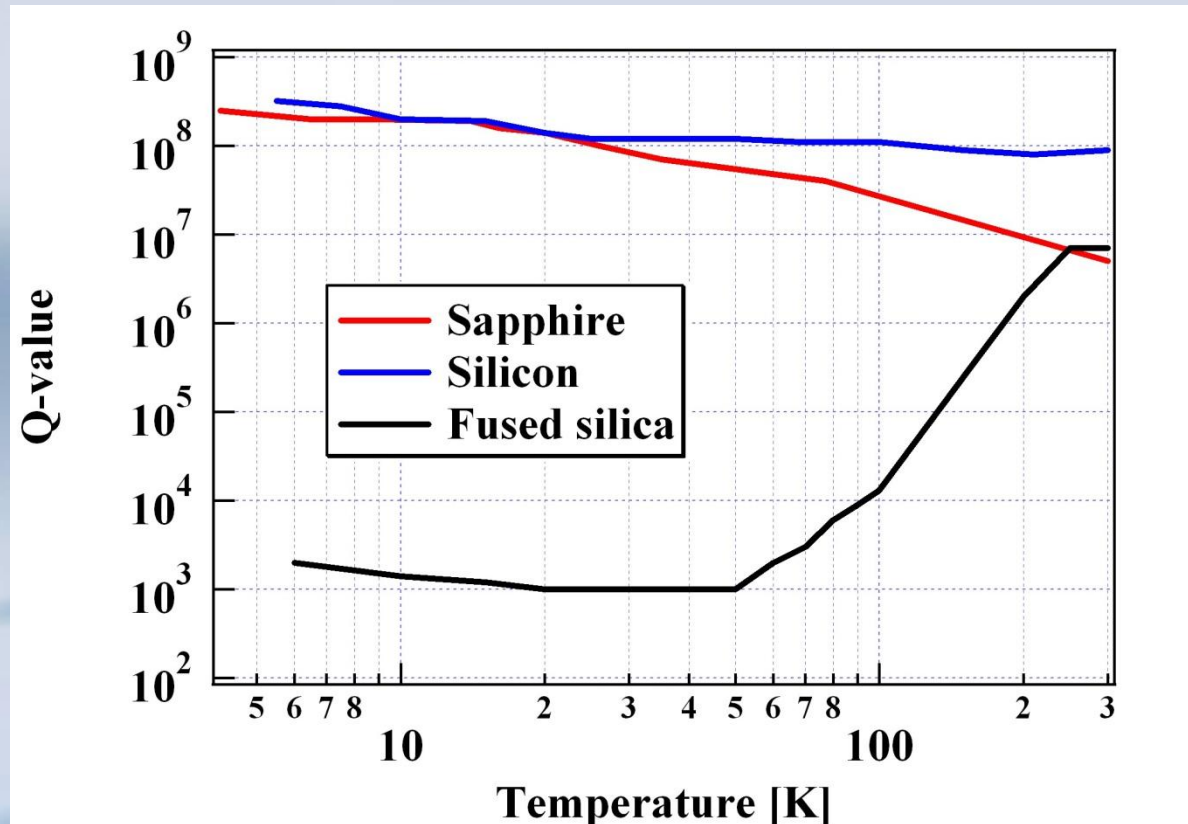
**We must investigate how dissipation depends on  
temperature in *cryogenic region*.**



# 4. Interferometer after revolution

## (5) Reduction of thermal noise

### Structure damping in substrate



T. Uchiyama *et al.*, Physics Letters A 261 (1999) 5-11.

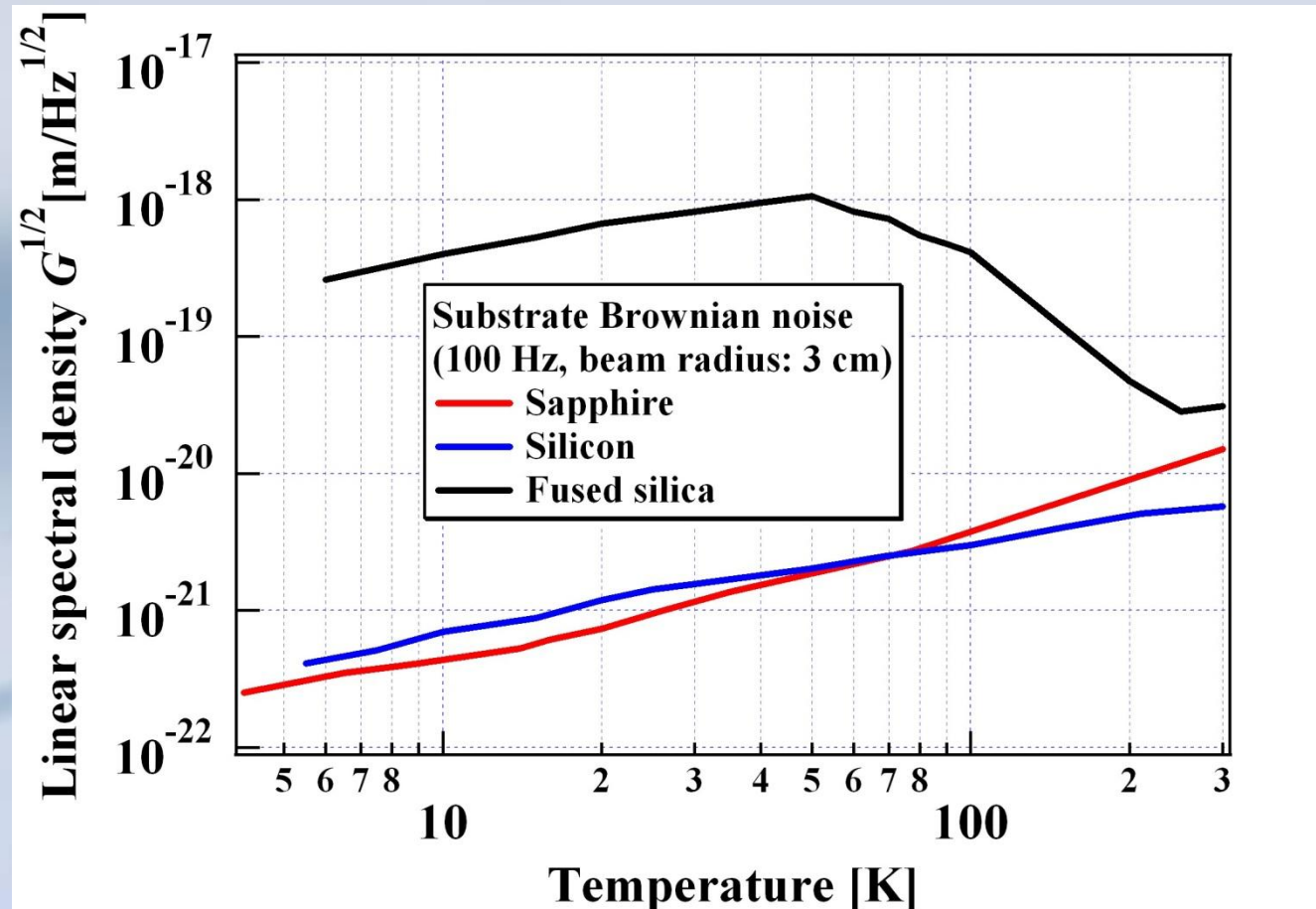
R. Nawrodt *et al.*, Journal of Physics: Conference Series 122 (2008) 012008.

C. Schwarz *et al.*, 2009 Proceedings of ICEC22-ICMC2008.

# 4. Interferometer after revolution

## (5) Reduction of thermal noise

**Structure** damping in **substrate**

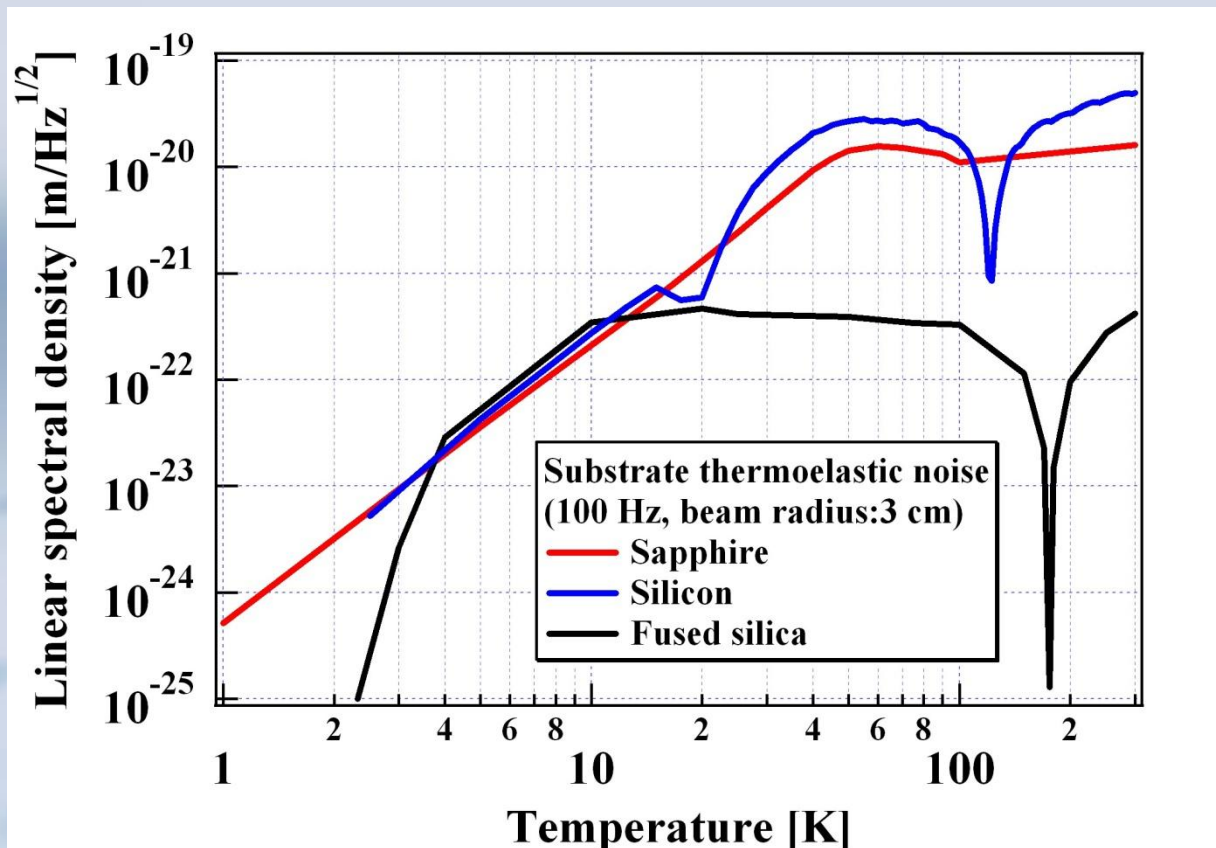


**Fused silica** can not be used !

## 4. Interferometer after revolution

### (5) Reduction of thermal noise

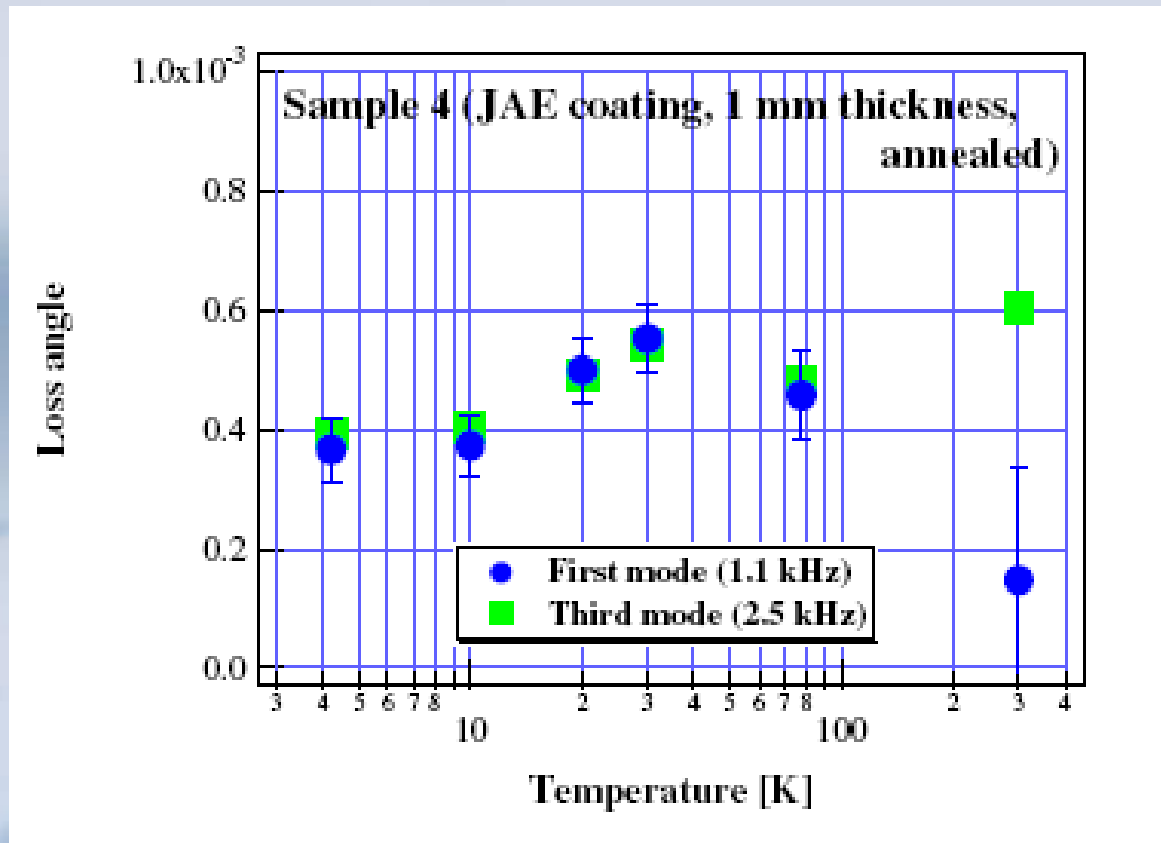
**Thermoelastic** damping in **substrate**



## 4. Interferometer after revolution

### (5) Reduction of thermal noise

#### Structure damping in coating



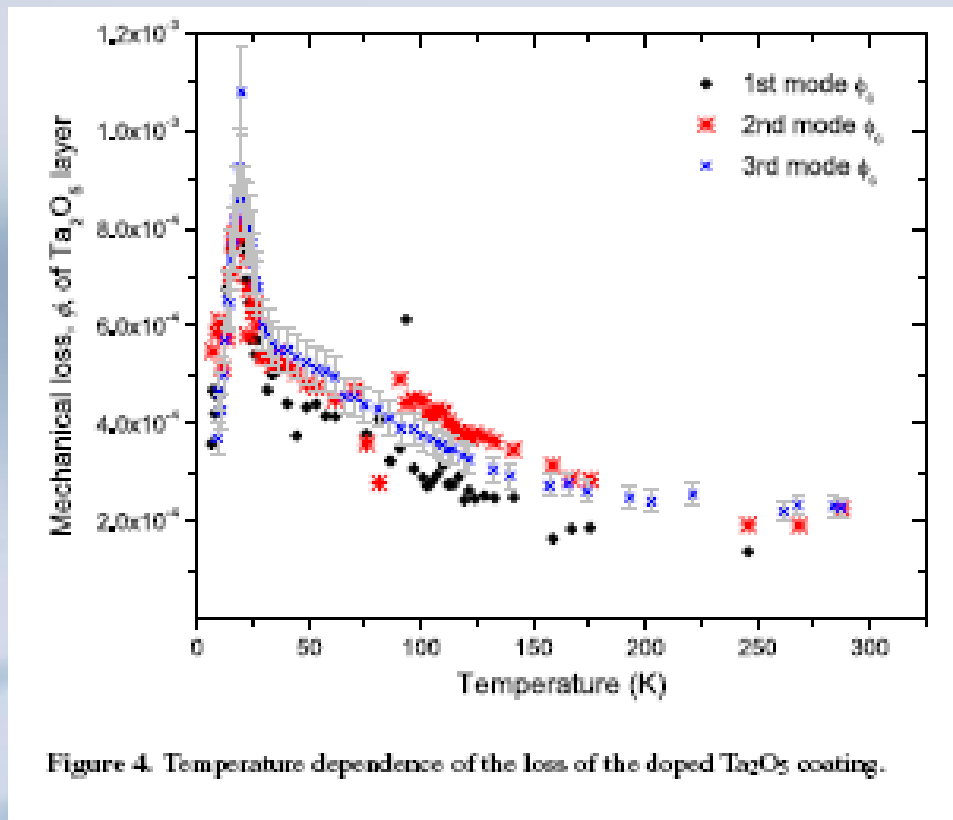
Loss angle is almost **independent of temperature**.

K. Yamamoto *et al.*, Physical Review D 74 (2006) 022002.

# 4. Interferometer after revolution

## (5) Reduction of thermal noise

### Structure damping in coating

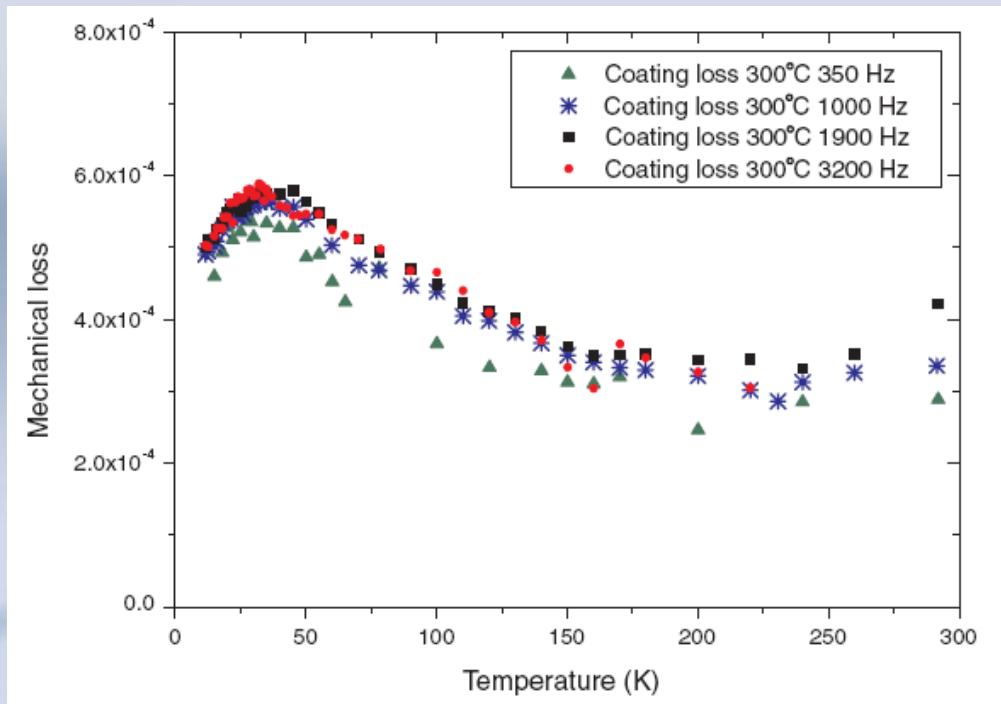


Peak at 20 K ?

# 4. Interferometer after revolution

## (5) Reduction of thermal noise

### Structure damping in coating



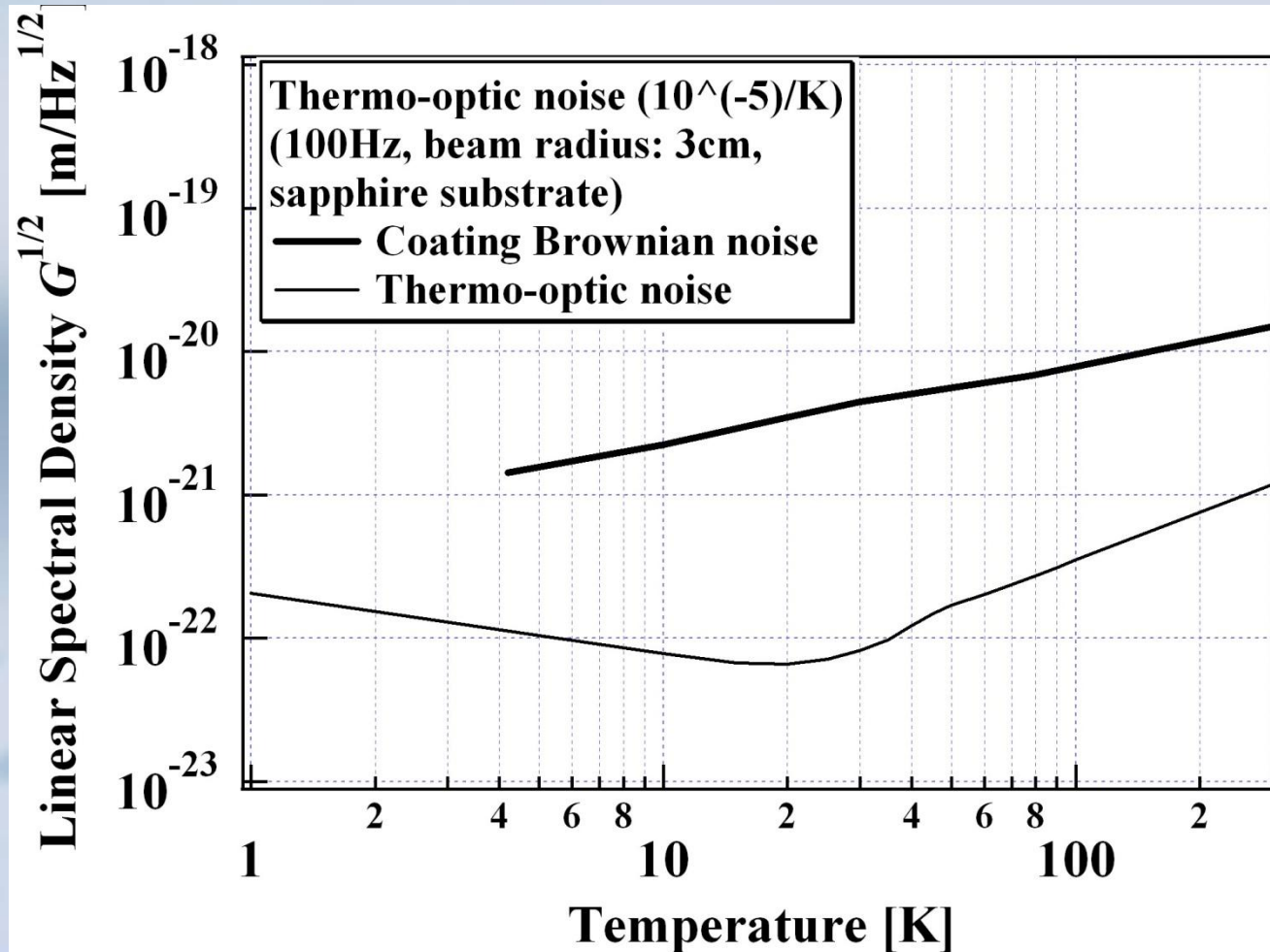
Peak at 20 K ?

Annealing suppresses peak (not perfectly).

It is assumed that loss is constant.

# 4. Interferometer after revolution

## (5) Reduction of thermal noise



**Thermo-optic noise is less serious.**

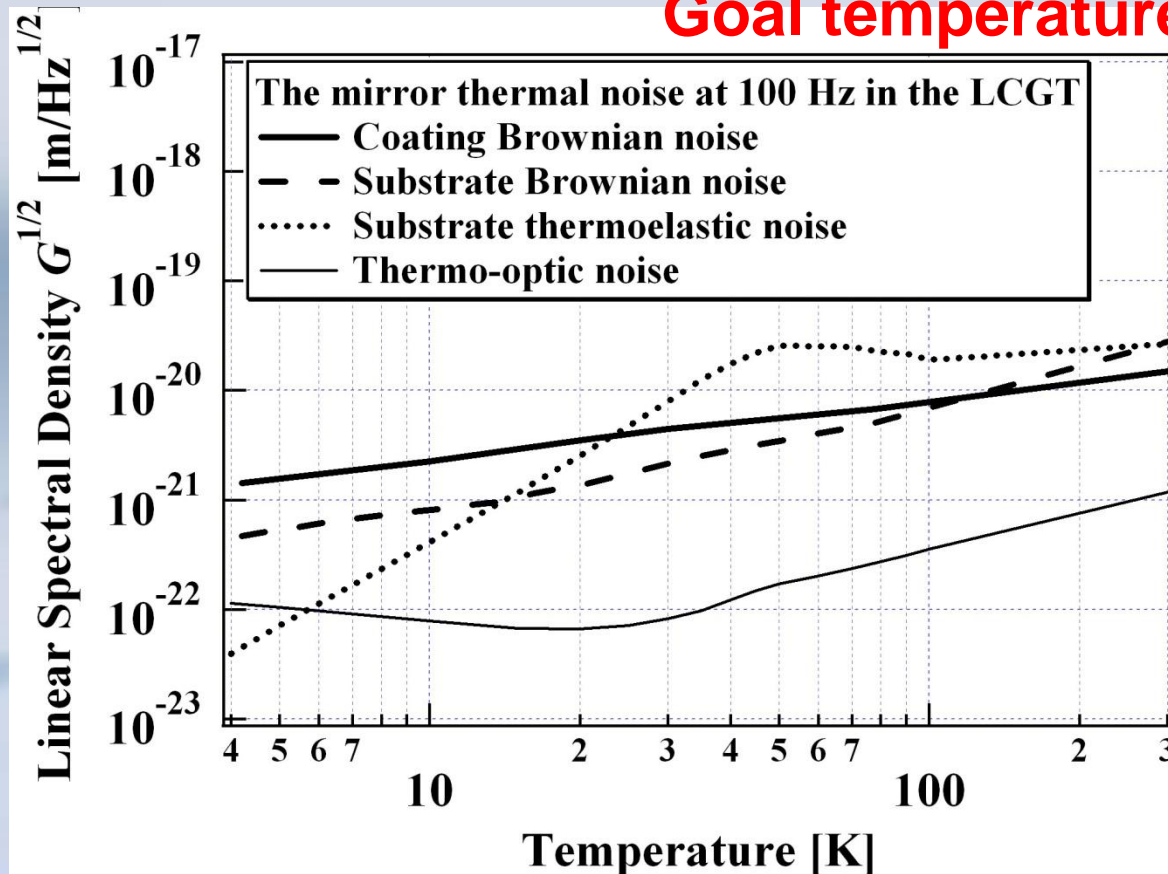


# 4. Interferometer after revolution

## (5) Reduction of thermal noise

**Structure** damping in **coating**

**Goal temperature** LCGT : 20 K



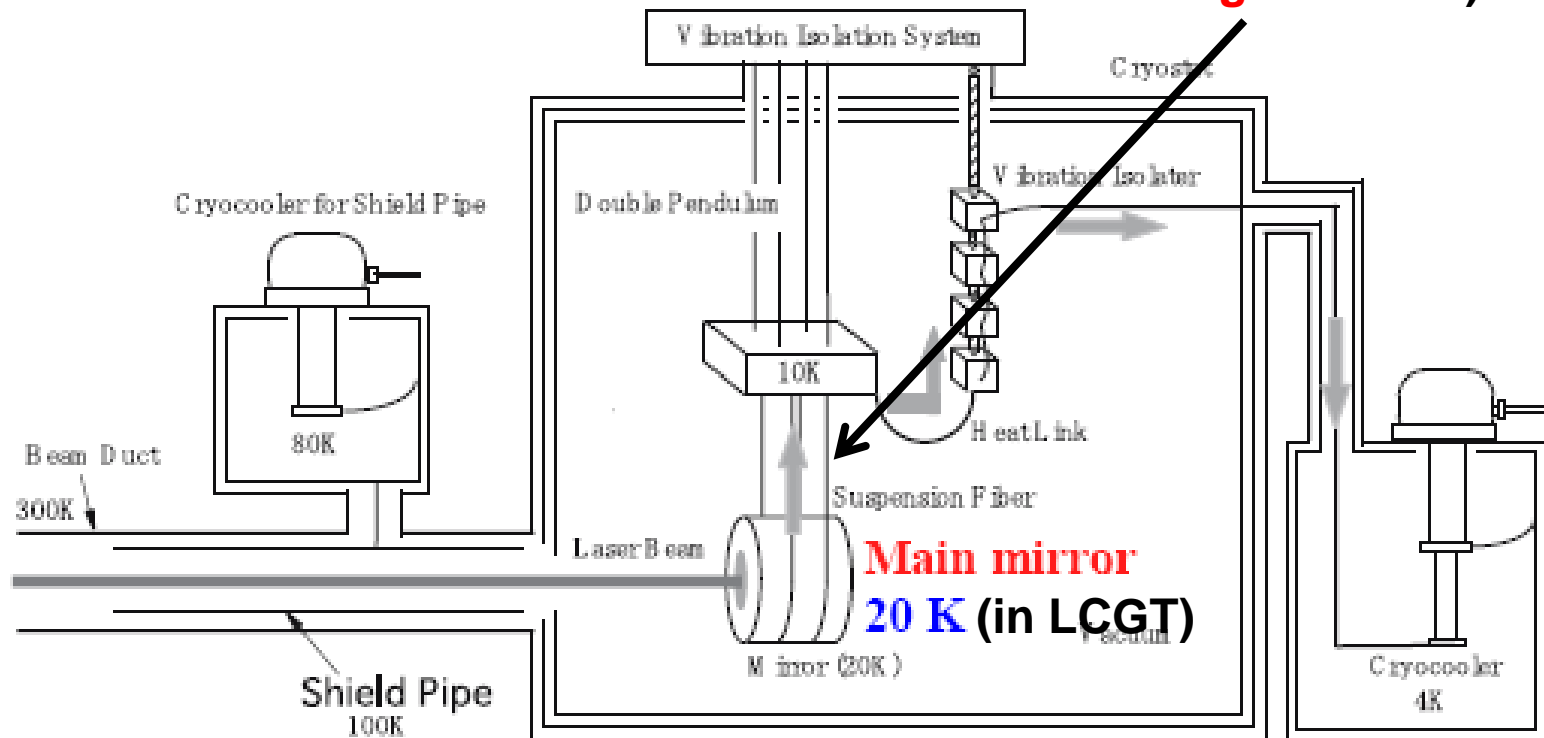
**Coating** thermal noise is the most **serious** problem !

# 4. Interferometer after revolution

## (5) Reduction of thermal noise

How can we **cool mirrors** ?

Schematic view of cryostat (by T. Tomaru)



## 4. Interferometer after revolution

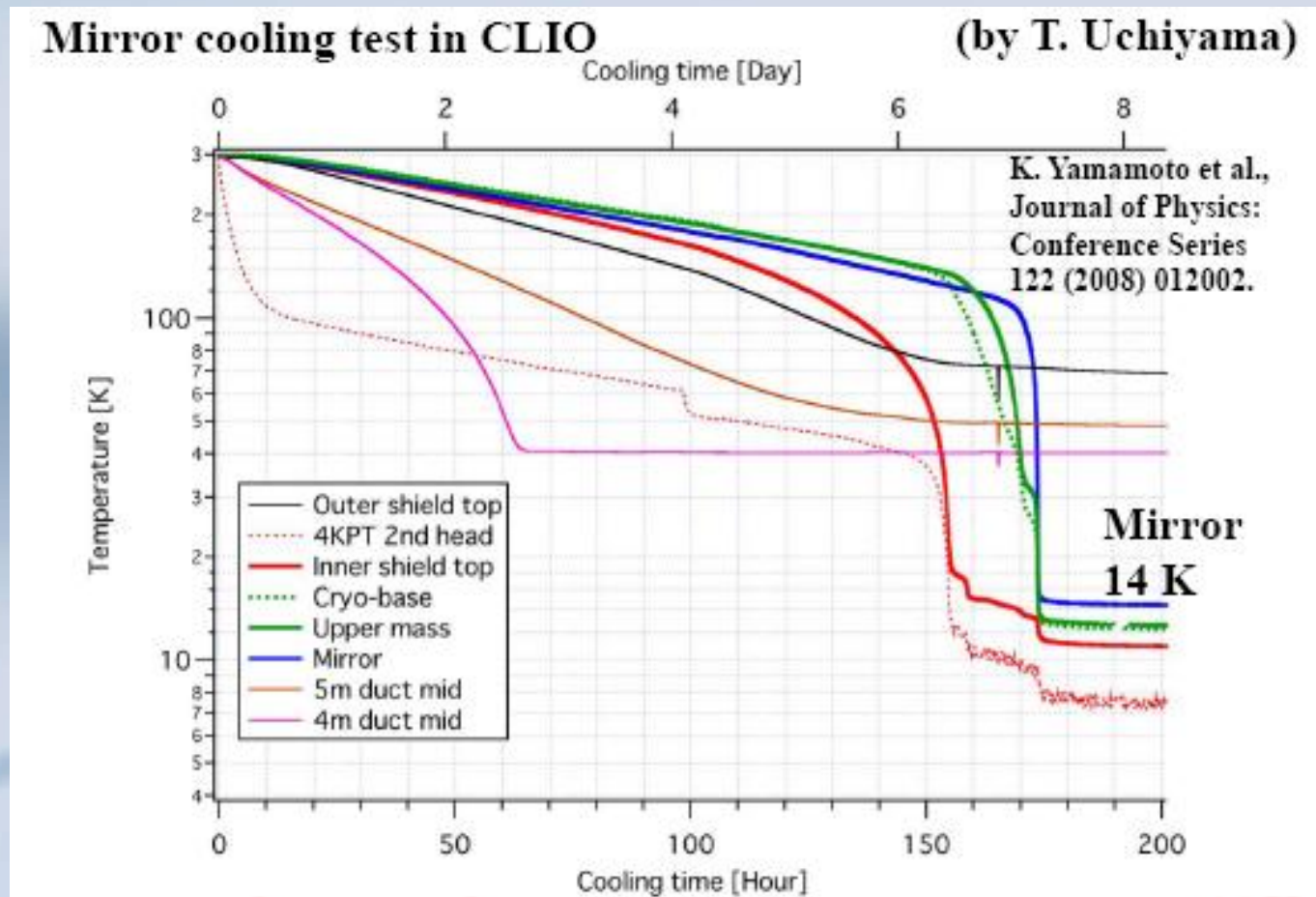
(5) Reduction of thermal noise      **100 m**, Kamioka (Japan)  
Cryogenic Laser Interferometer Observatory (CLIO, Japan)



Result of CLIO for cryogenic techniques are introduced.  
**CLIO** cryostat have already been **installed**  
(**different scale** from those of **LCGT** and **ET**).

# 4. Interferometer after revolution

## (5) Reduction of thermal noise



Within **about a week**, mirror temperature became about **14 K** (mirror temperature must be **below 20 K**).

# 4. Interferometer after revolution

## (5) Reduction of thermal noise

Cryocooler

Why ?

Usual case : **Liquid nitrogen** and **helium**

**Safety** and **maintenance** in **mine**



**Cryocooler**

Usual cryocooler : **Gifford-McMahon** cryocooler

**Large vibration**



**Pulse-tube** cryocooler (without solid piston)

But, vibration of **commercial one** is **not enough small**.



# 4. Interferometer after revolution

## (5) Reduction of thermal noise

### Schematic view of **silent pulse-tube cryocooler**

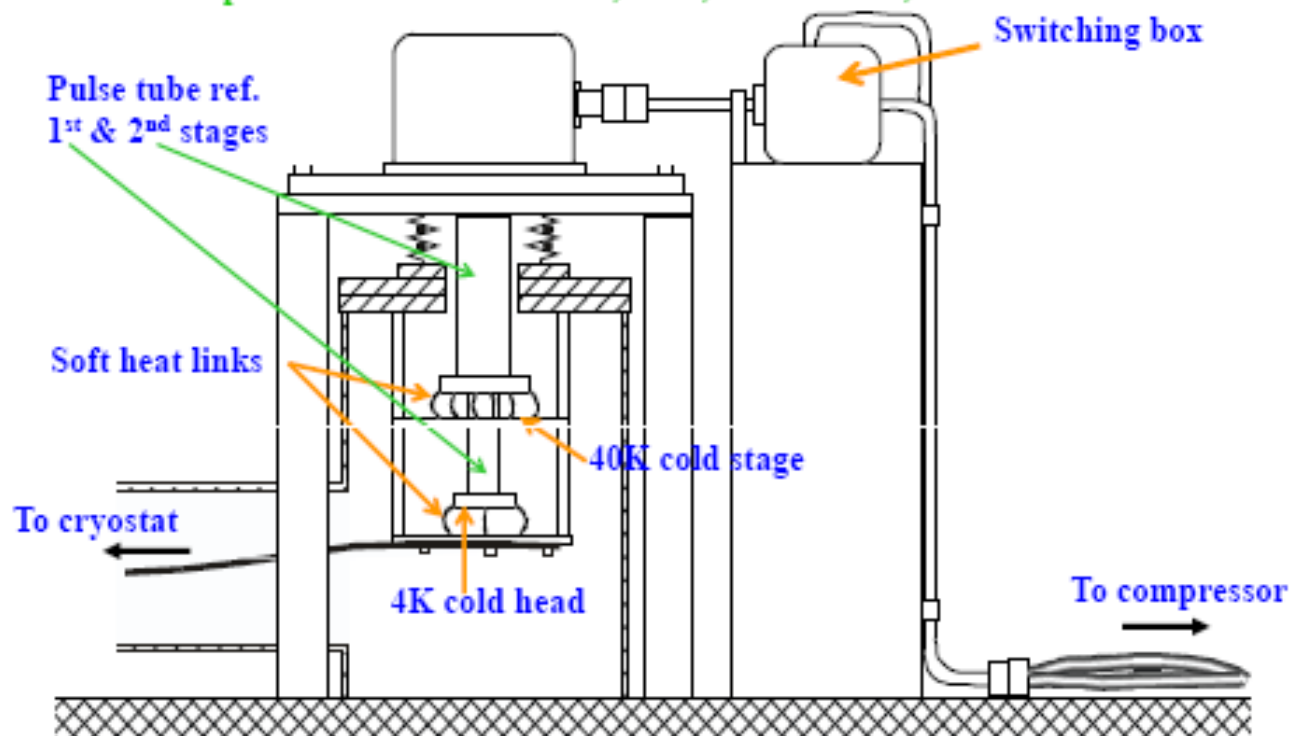
Quiet refrigerator was developed (design in 2003)

T. Tomaru *et al.*, Classical Quantum Gravity 21 (2004) S1005.

T. Tomaru *et al.*, Cryocoolers 13 (2005) 695.

R. Li. *et al.*, Cryocoolers 13 (2005) 703.

patent: Pa-3 Tomaru *et al.*, 2003; Suzuki *et al.*, 2003.



## 4. Interferometer after revolution

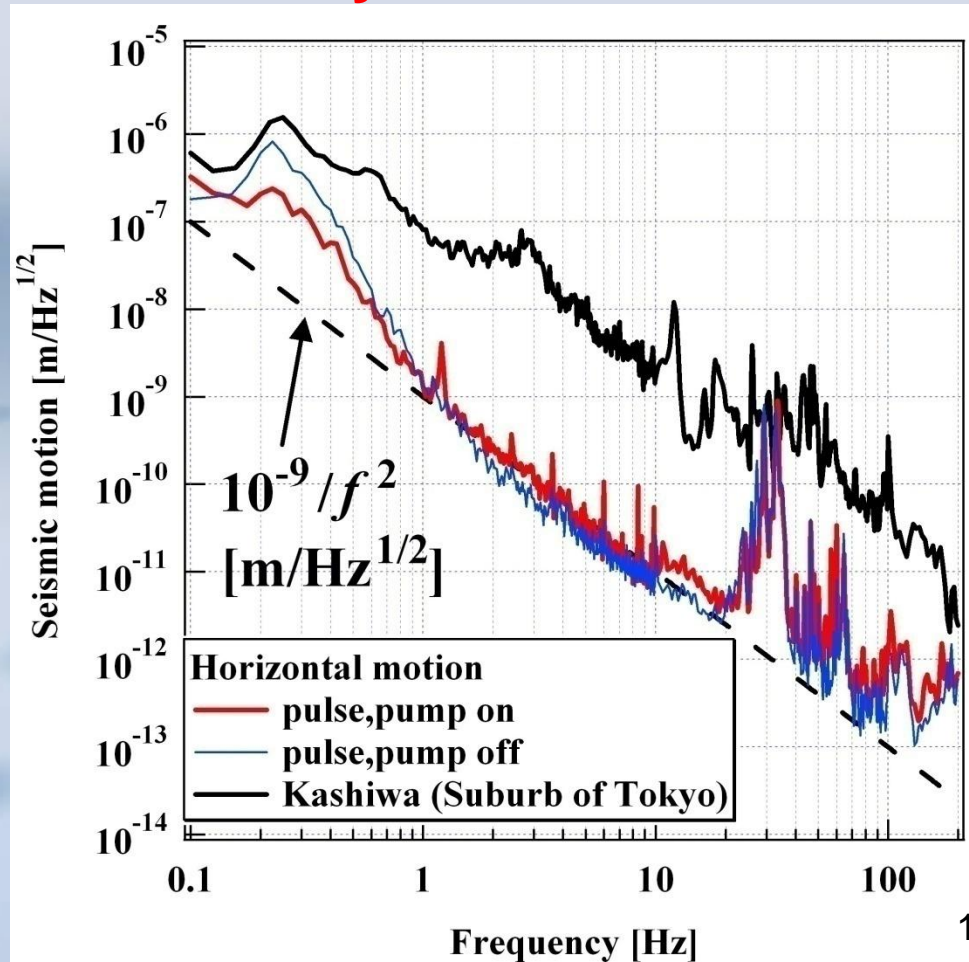
### (5) Reduction of thermal noise

Measurement of **vibration in cryostat**

with **silent pulse-tube cryocooler** at **silent site**

Cryocoolers do **not increase** vibration even if they are put on **site** with **extremely small seismic motion**.

K. Yamamoto *et al.*,  
Journal of Physics:  
Conference Series 32 (2006)  
418.





# 4. Interferometer after revolution

## (6) Impact on other fields

Contents of G.M. Harry, T. Bodiya, and R. DeSalvo (Editors)

*Optical Coatings and Thermal Noise in Precision Measurements*

Cambridge University Press, Cambridge (in press)

14	Gravitational Wave Detection	<i>D. J. Ottaway and S. D. Penn</i>	256
15	High-Precision Laser Stabilization via Optical Cavities	<i>M. J. Martin and J. Ye</i>	281
16	Quantum Optomechanics	<i>G. D. Cole and M. Aspelmeyer</i>	308
17	Cavity Quantum Electrodynamics	<i>T. E. Northup</i>	335

Thermal noise is also sensitivity limit  
on the **other fields** in precision measurement.

## ***4. Interferometer after revolution***

### **(6) Impact on other fields**

**For example ...**

**Cavity as reference for laser frequency stabilization**

**Current best laser frequency stabilization  
with rigid cavity at room temperature  
is limited by thermal noise of mirrors.**

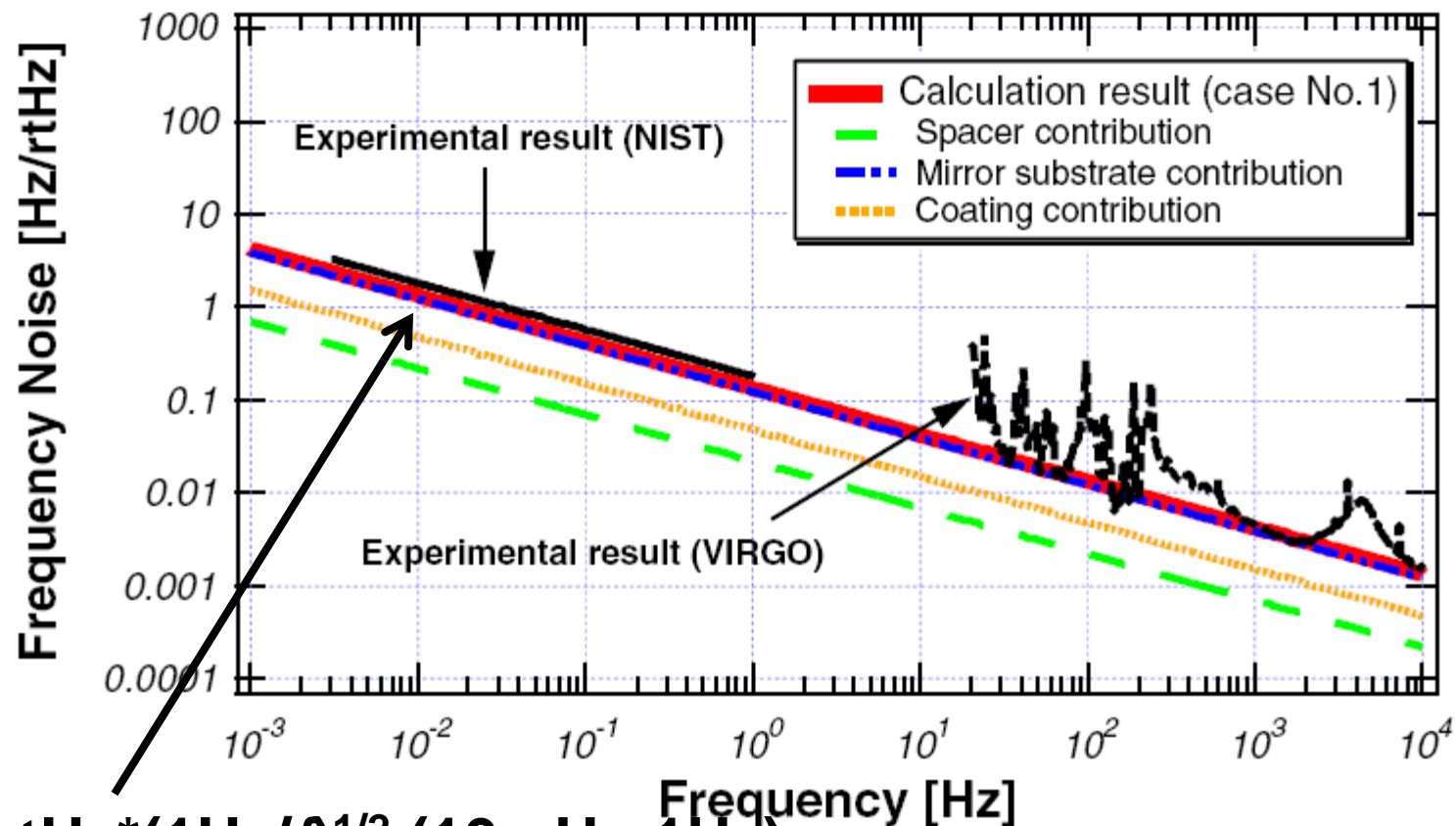
**K. Numata *et al.*, Physical Review Letters 93 (2004) 250602.**

# 4. Interferometer after revolution

## (6) Impact on other fields

PRL 93, 250602 (2004)

PHYSICAL REVIEW LETTERS



**0.1 Hz/rtHz\*(1Hz/f)<sup>1/2</sup> (10mHz-1Hz)**

**Allan deviation : 4\*10<sup>-16</sup> (10mHz-1Hz)**

## 4. Interferometer after revolution

(6) Impact on other fields **Hot paper** (ISI Web of Knowledge)

Thermal-noise limit in the frequency  
stabilization of lasers with rigid cavities

印刷 E-mail マークリストに追加

U Article Link

Holdings  Go


EndNote® Web に保存

EndNote®, RefMan, ProCite に保存

RefWorks に保存 その他のオプション

著者名: Numata K, Kemery A, Camp J

ジャーナル名: PHYSICAL REVIEW LETTERS 巻: 93 号: 25 記  
事番号: 250602 発行: DEC 17 2004

被引用数: **106** 引用文献: 24  引用マップ

1 paper every 3 weeks ! (until 18 June 2011)

## 5. Summary

(1) **Long history** of research of **thermal noise (200 years !)**  
**General theorem** for thermal noise, Fluctuation-  
Dissipation Theorem, appears only **60 years ago**.

(2) Resonant detector

**Cooling** : Liquid helium or dilution refrigerator

**Low mechanical loss material** : Al5056

(3) Interferometric detector

It is essential to **measure Q-values**.

Pendulum : Rigid and heavy support system

Mirror : Nodal support system

## ***5. Summary***

### **(4) Interferometric detector**

**Drastic progress** of research on the **end of 20<sup>th</sup> century**

**New kinds** of thermal noise

Thermoelastic noise and coating thermal noise

**Direct measurement** of **thermal noise** and **dissipation**

**Reduction** of thermal noise

(larger beam and **cryogenic techniques**)

Impact on **other fields**

**There are open questions and this field will be hot in future.**

**Vielen Dank fuer Ihre Aufmerksamkeit !**

**Thank you for your attention !**