



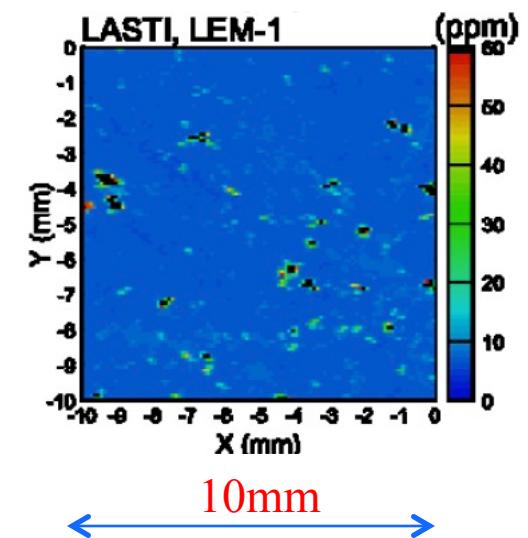
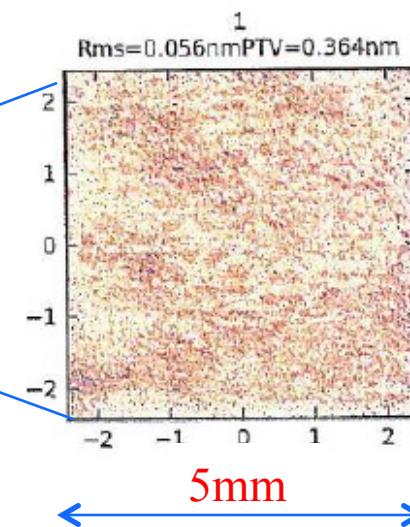
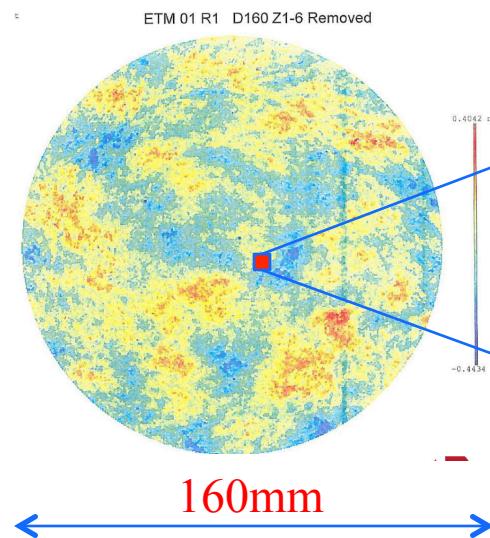
# Optics, Cavity and Loss : What we learned in aLIGO

Hiro Yamamoto / LIGO Lab @ Caltech

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- Optics, Cavity and Loss
  - » Mirror surface aberration and scattering
  - » Scattering Loss in a Cavity
- Real world optics
  - » Past and present findings
  - » A quick look at aLIGO Optics
- Modeling and Simulation
  - » SIS, Stationary Interferometer Simulation
  - » Details of cavity and optics
  - » Tools to help to understand what is going on

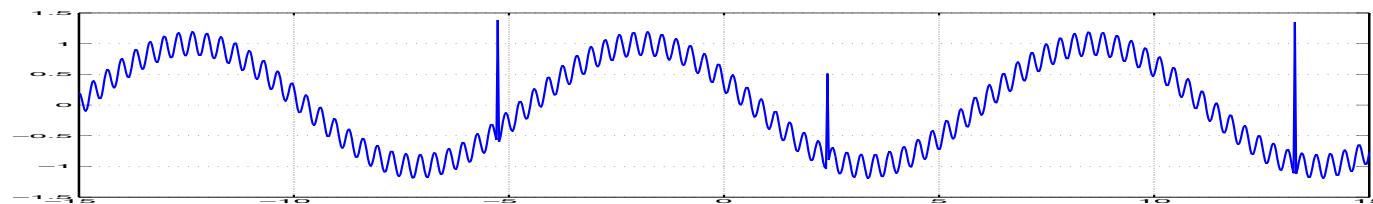
# Surface structure with different spatial distribution



Fizeau IFO

Phase Measuring Microscope

Integrating Sphere



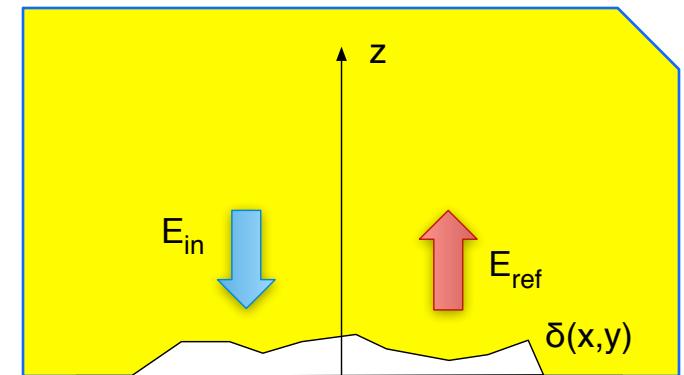
# Scattering by aberration

$$\begin{aligned}
 E_{ref} &= E_{ref}^0 \cdot \exp(i2k\delta(x,y)) \\
 &= E_{ref}^0 \cdot (1 + i2k\delta - 2(k\delta)^2) \\
 &= E_{ref}^0 \cdot (1 - 2(k\delta)^2) + E_{ref}^0 \cdot i2k\delta
 \end{aligned}$$

$$dP = \iint dx dy |E_{ref}^0|^2 4k^2 \delta(x,y)^2$$

$$= P_{ref}^0 \left( \frac{4\pi\sigma}{\lambda} \right)^2 S$$

$$\begin{aligned}
 \sigma^2 &\equiv \iint dx dy \delta(x,y)^2 / S \\
 &= \int df PSD_{1D}(f)
 \end{aligned}$$



# Scattering by point source

Far field Fraunhofer approximation :  $x^2/L\lambda \ll 1$

$$dE(x,y,L) \sim \frac{1}{L} \exp(-ik \frac{x^2 + y^2}{2L}) \iint dx_0 dy_0 \delta(x_0, y_0) \exp(ik \frac{x \cdot x_0 + y \cdot y_0}{L})$$

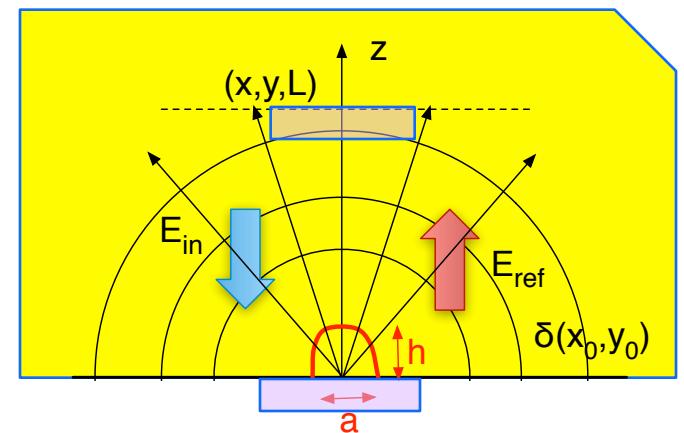
Point source : spherical wave from the point source and mostly lost out of cavity

$$dE(x,y,L) \sim \frac{1}{L} \exp(-ik \frac{r^2}{L})$$

Fractional loss for gaussian beam input

$$\begin{aligned} \text{loss} &= 32\pi \left(\frac{a}{w_0}\right)^2 \left(\frac{h}{\lambda}\right)^2 \\ &= 4\text{e}^{-5} \text{ppm} \text{ for } a=2\mu\text{m}, h=20\text{nm}, w_0=6\text{cm} \end{aligned}$$

Total loss =  $0.22 \times N(1/\text{mm}^2)$  ppm  
for randomly distributed point scattering with density  $N (1/\text{mm}^2)$





# Scattering by periodic source

Far field Fraunhofer approximation :  $x^2/L\lambda \ll 1$

$$dE(x,y,L) \sim \frac{1}{L} \exp(-ik \frac{x^2 + y^2}{2L}) \iint dx_0 dy_0 \delta(x_0, y_0) \exp(ik \frac{x \cdot x_0 + y \cdot y_0}{L})$$

Periodic source characterized by spatial frequency

$$\delta(x,y) = \iint df_x df_y D(f_x, f_y) \exp(-i2\pi(x \cdot f_x + y \cdot f_y))$$

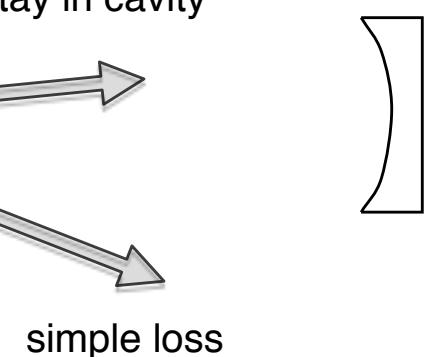
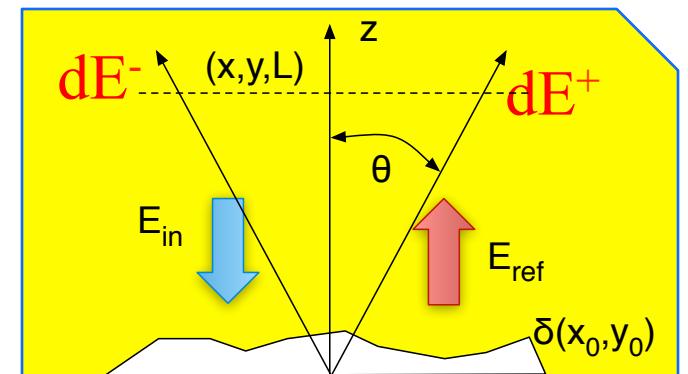
$$dE(x,y,L) \sim D(f_x, f_y) \text{ where } f_{x,y} = \frac{x, y}{L \cdot \lambda_{laser}} = \theta / \lambda_{laser}$$

$$\text{loss} \sim \sigma^2(f_{cut})$$

$$= \int_{f_{cut}} PSD_{1D}(f)$$

$$= \int lossFunction(f) \cdot PSD_{1D}(f)$$

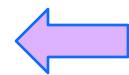
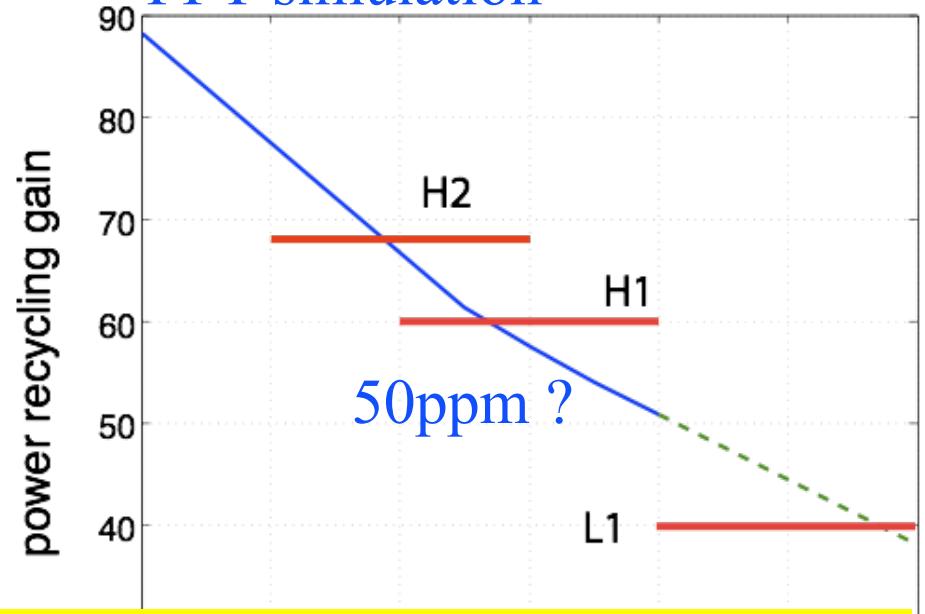
$$\theta = \lambda_{laser} \cdot f_{spatial} = \lambda_{laser} / \lambda_{spatial}$$



# What we learned from iLIGO

- Recycling gain, visibility, etc are consistent with 140 ppm loss per arm
- known loss per arm
  - » surface figure ( $\lambda > 0.5\text{m}$ ) : 10 ppm / mirror x 2
  - » ETM transmission : 7 ppm
  - » absorption : 4ppm / mirror x 2
  - » diffractive loss : 2ppm
- 140ppm total loss - known loss  
= 100 ppm / arm or  
50 ppm / mirror

Recycling gain as a function of extra loss per mirror in FFT simulation

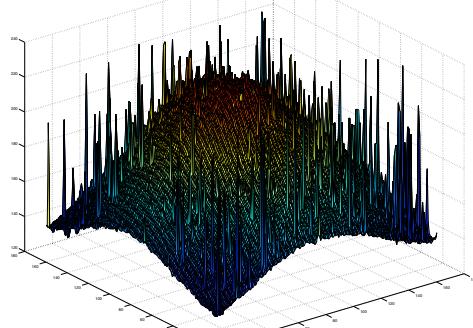


cause unclear, possibly cleaning procedure, point scattering

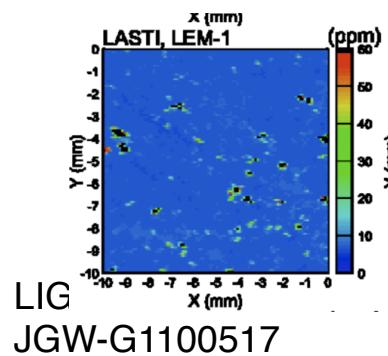
# Peeking at LIGO mirror profile

LASTI LEM-1  
With LMA coating

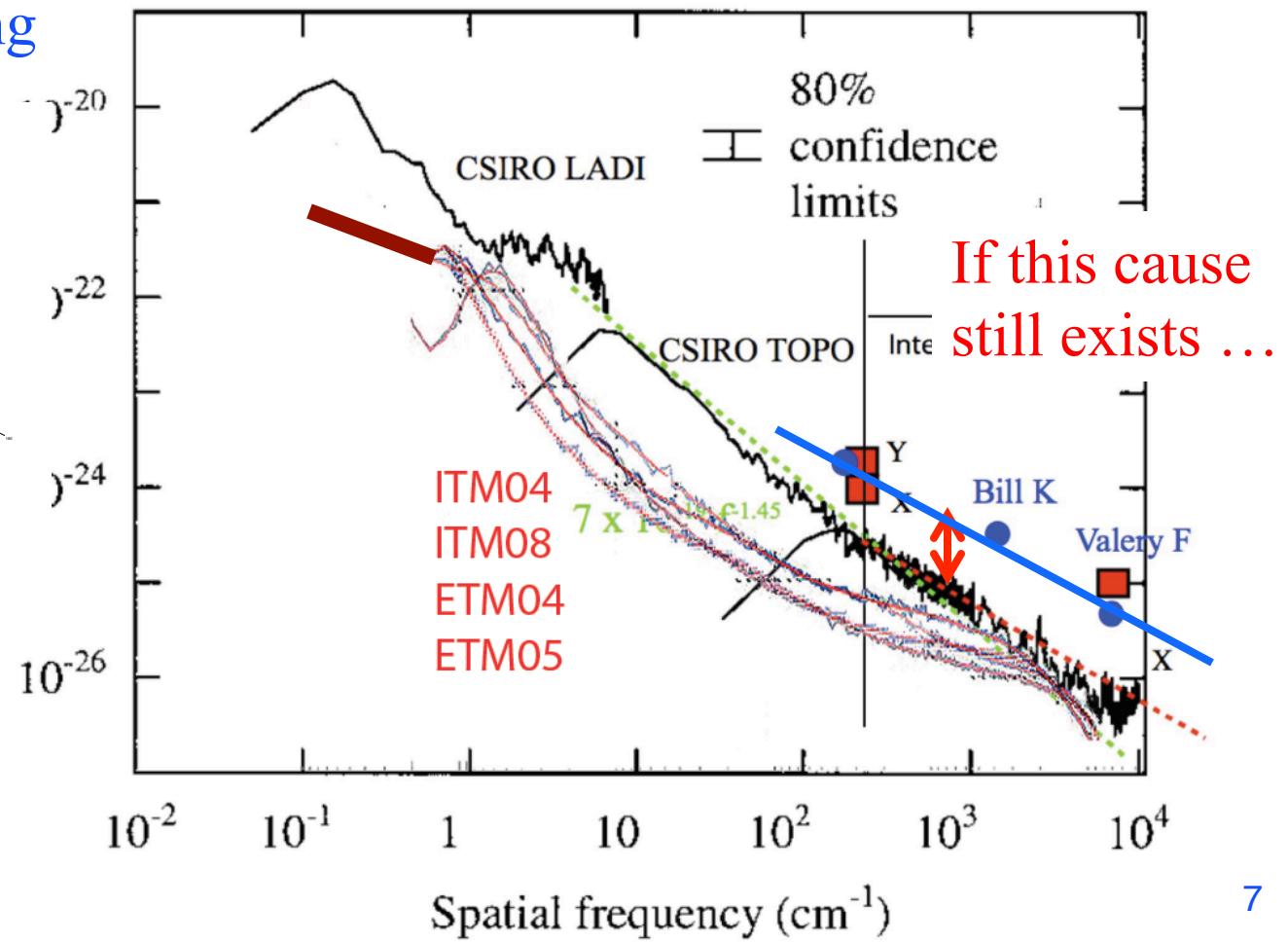
AR reflection



HR point scatter



iLIGO vs aLIGO PSD



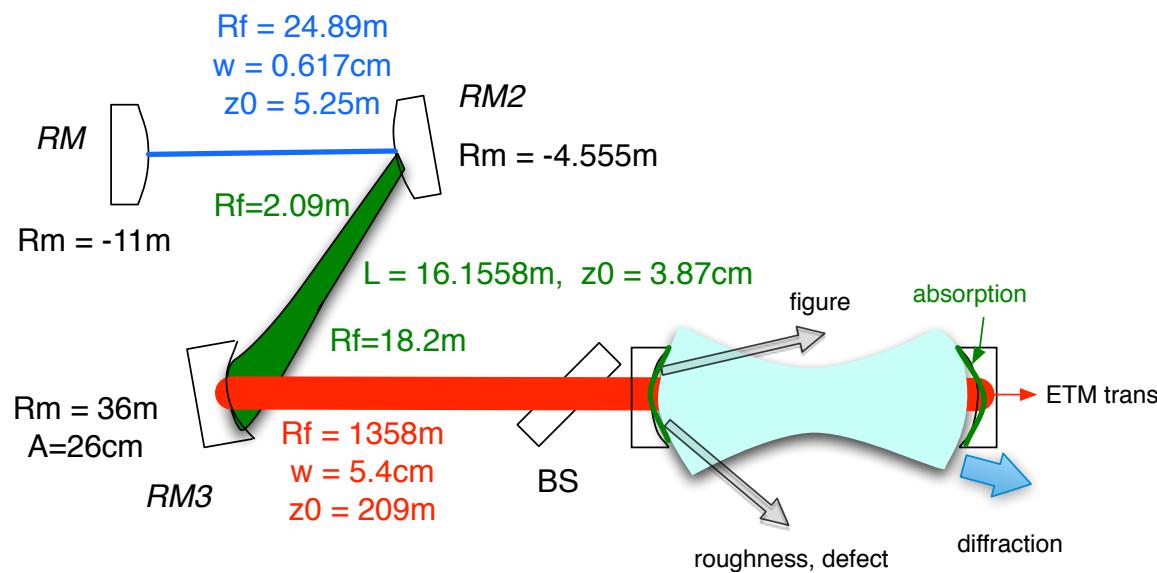
# Advanced LIGO Optics

## what we need

### Arm loss budget (ppm)

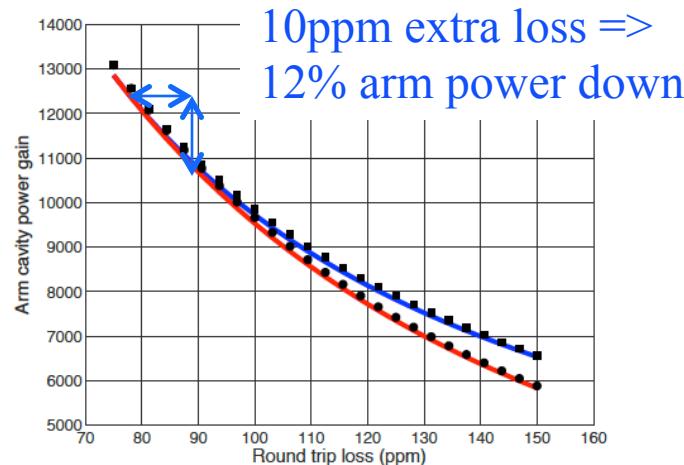
diffraction	Roughness (HSF)	Defect	absorption	Figure (LSF)	ETM transmission	Total
0.6	10 x 2	4 (?) x 2	0.5 x 2	20 x 2	5	75

Recycling cavity round trip loss  $\sim 1000\text{ppm}$   
 or net loss  $\sim \text{a few \%}$



# Coupled Cavity mess

(1) Round trip loss in Arm vs power gain

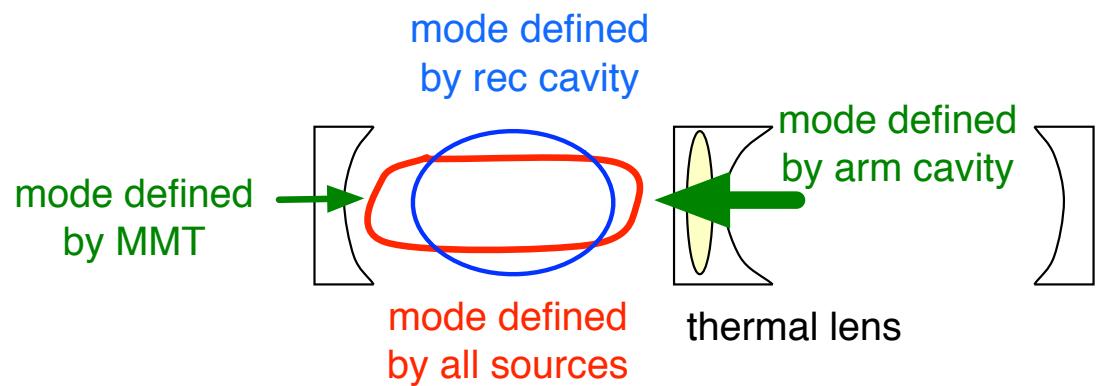


$$\frac{Power(loss)}{Power(no loss)} = \frac{1}{(1 + \frac{4Loss}{T_{RM}T_{ITM}})^2}$$

(2) Round trip loss in RC vs total loss

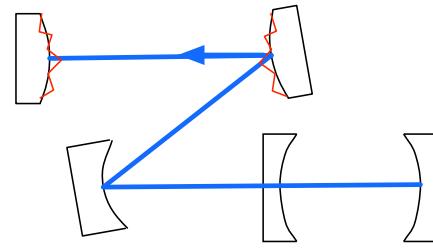
Depends on finesse, resonant condition, etc etc.

(3) Mode in the RC

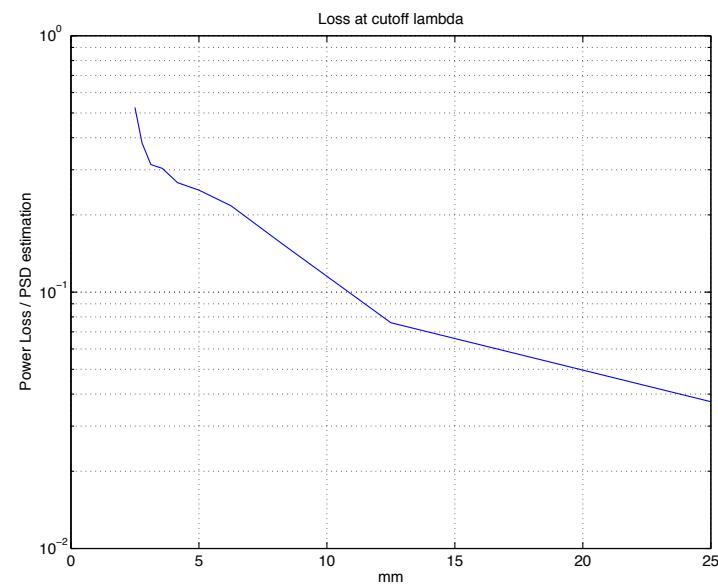
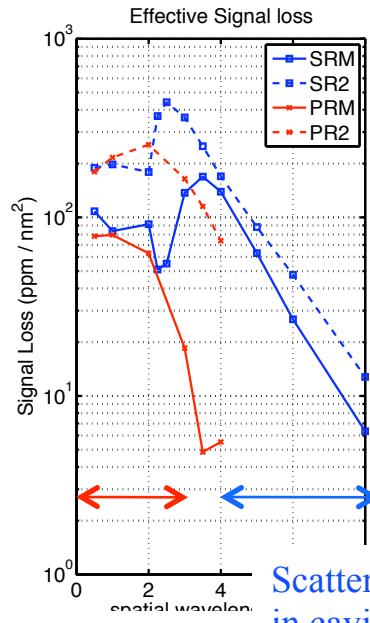
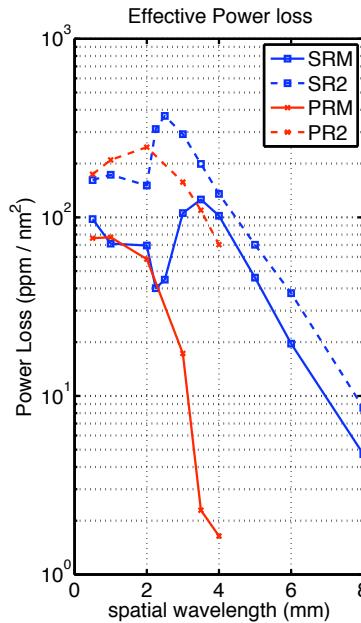
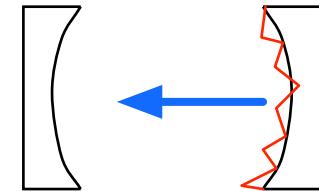


# Total loss is a *complex convolution of* PSD and loss function

$$1 - \frac{P(a \sin(2\pi \cdot f \cdot r))}{P(\text{no aberration})}$$



$$\frac{\int_{f_{\max}}^f CavityPower(f) df}{\int_f^{f_{\max}} PSD(f) df}$$



Scattered field lost out of cavity      Scattered field stay in cavity and reused



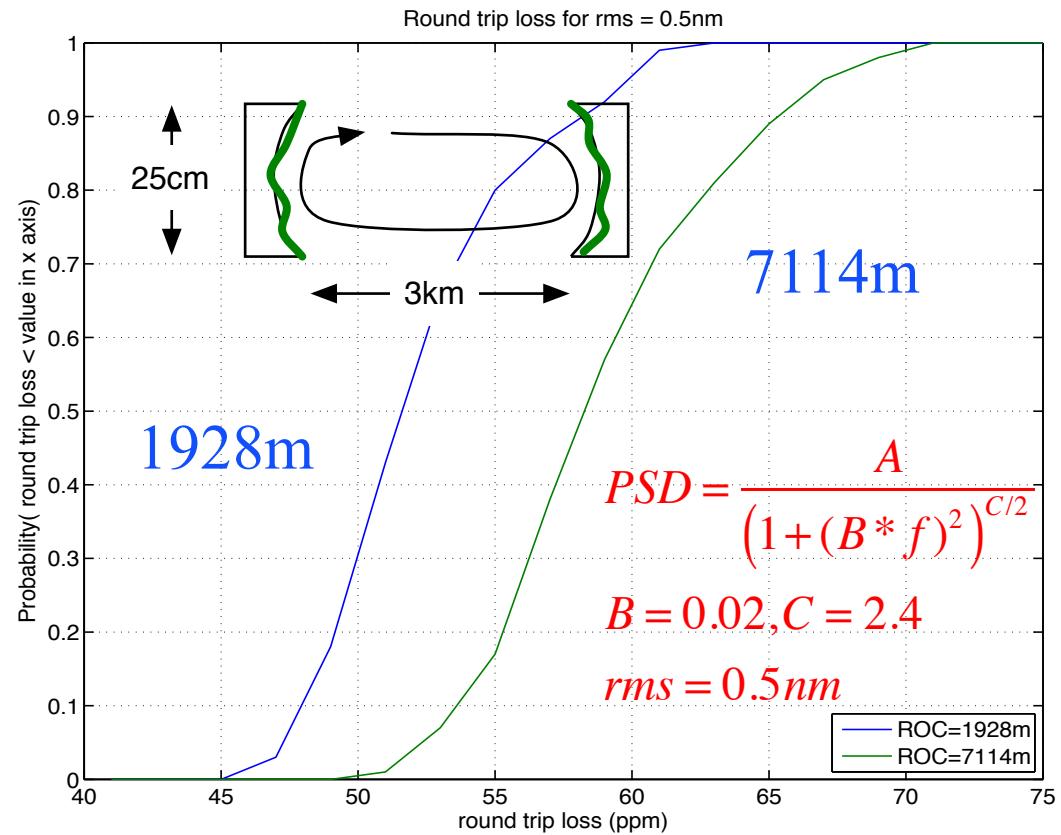
# From specification to acceptance

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- Use simulation to define the specification
  - » RMS (see next page as example)
  - » Loss function as a function of frequency
  - » Astigmatism treated separately
- When delivered, evaluate if it is acceptable
  - » There are signatures specific to the production process which cannot be characterized by the specification
  - » Unexpected defects
- Work with the vendor to improve the quality
  - » When time and money allows

# mirror rms requirement

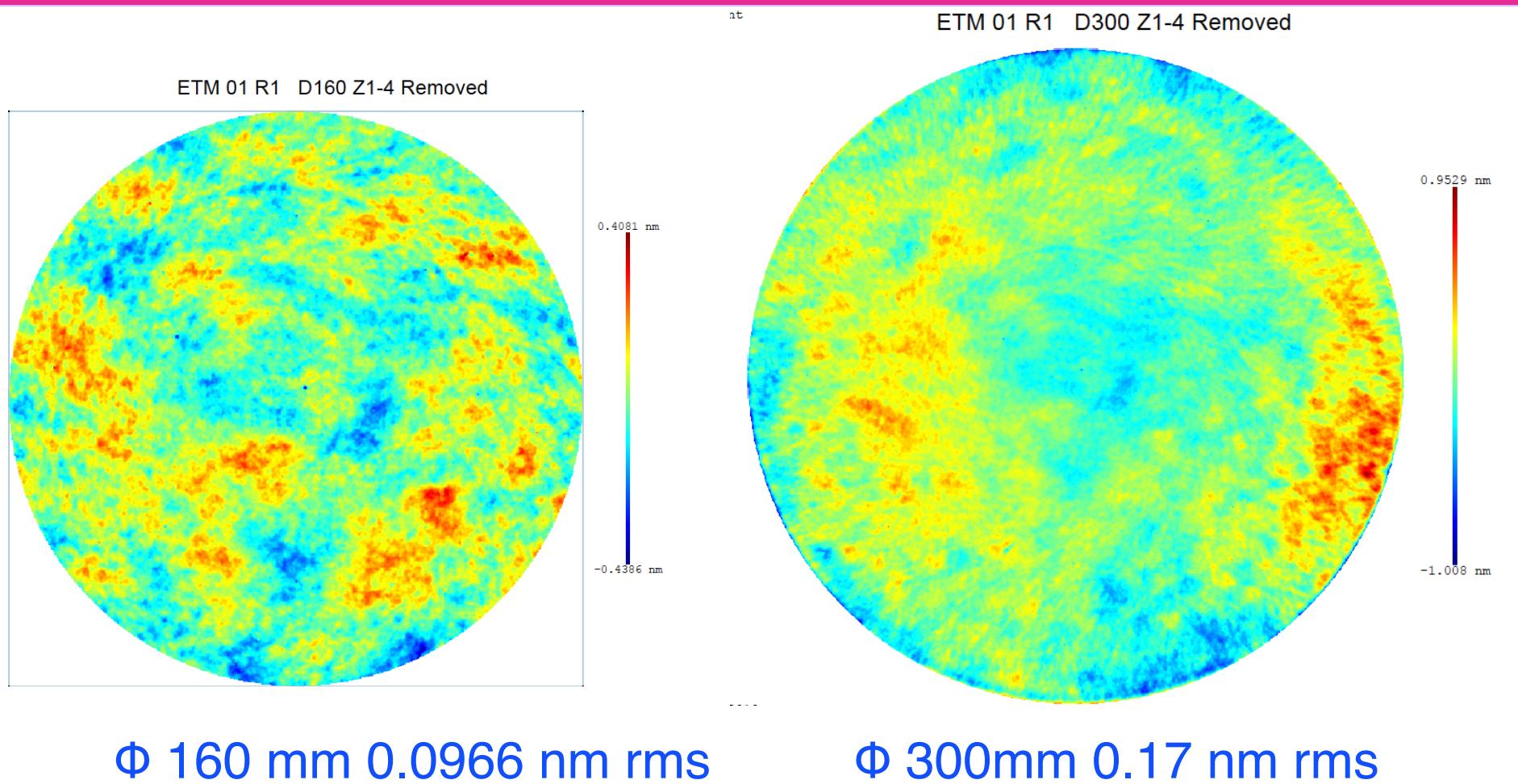
- 3km symmetric FP cavity, with aperture 25cm
- Generate 100 pairs of ITM and ETM maps using a given PSD form
- Calculate diffractive loss for each case
- Calculate probability that the loss is less than a given value



By G.Billingsley at March  
2011 LSC meeting

# Surface Figure: better than we thought possible

(Subcontractor L3 Tinsley)



$\Phi$  160 mm 0.0966 nm rms

$\Phi$  300mm 0.17 nm rms



# Requirement and result

## ITM04

Surface	Specification Parameter	Location	Specification Value	Actual Value	Pass/Fail
1	Spherical, CC, RoC	Central 160 mm	1934 m - 5m/+15m	1938.61 m	PASS
	Radius Difference from all ITMs	Central 160 mm	1938.53 m ± 3 m	0.08 m	PASS
	Astigmatism Amplitude ( $Z_{2,2}$ )	Central 160 mm	$\sigma_{RMS} < 3 \text{ nm}$	0.12 nm	PASS
	Figure Error (LSF) $< 1\text{mm}^{-1}$	Central 300 mm	$\sigma_{RMS} < 2.5 \text{ nm}$	0.37 nm	PASS
	$Z_{0,0}, Z_{1,1}, Z_{2,0}, Z_{2,2}$ Fit	Central 160mm	$\sigma_{RMS} < 0.3 \text{ nm}$	0.15 nm	PASS
	Error (HSF) $1-750\text{mm}^{-1}$	Center, Ø60 mm, Ø120 mm	$\sigma_{RMS} \leq 0.16 \text{ nm}$	0.137 nm	PASS

Requirement by simulation

LSF( $>2\text{mm}$ ) :  $\sigma < 0.5\text{nm}$  for loss  $< 20\text{ppm}$ ,  $\sigma = 0.15\text{nm} \rightarrow 2\text{ppm}$

Actually delivered

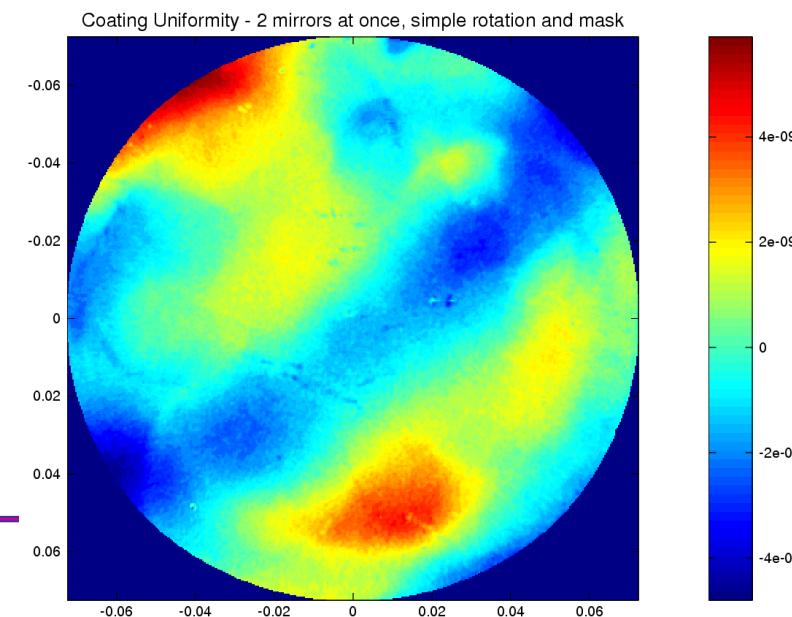
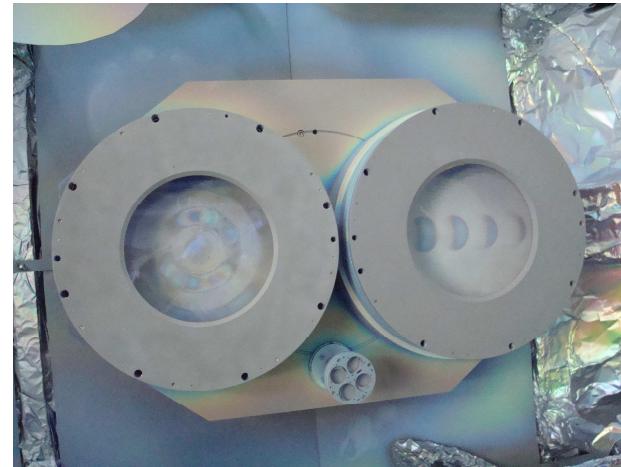
HSF( $<1\text{mm}$ ) :  $\sigma = 0.137\text{nm} \rightarrow \sim 3\text{ppm} (< 1\text{mm}), < 6\text{ppm} (< 2\text{mm})$



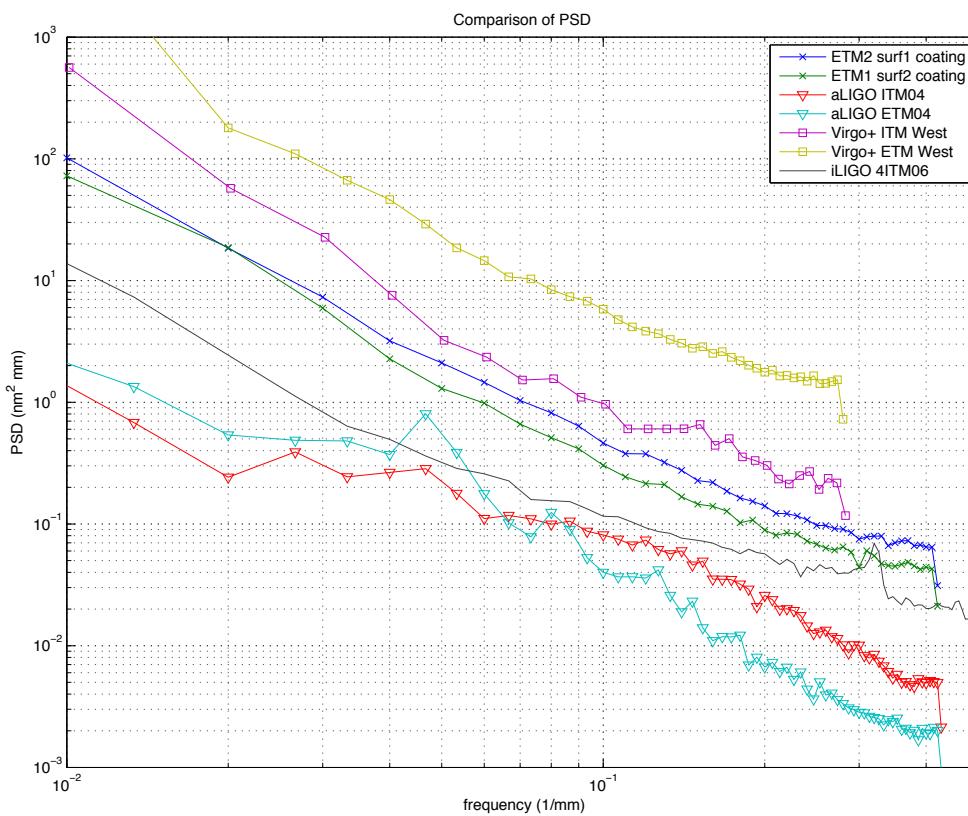
# Uniformity with two mirrors



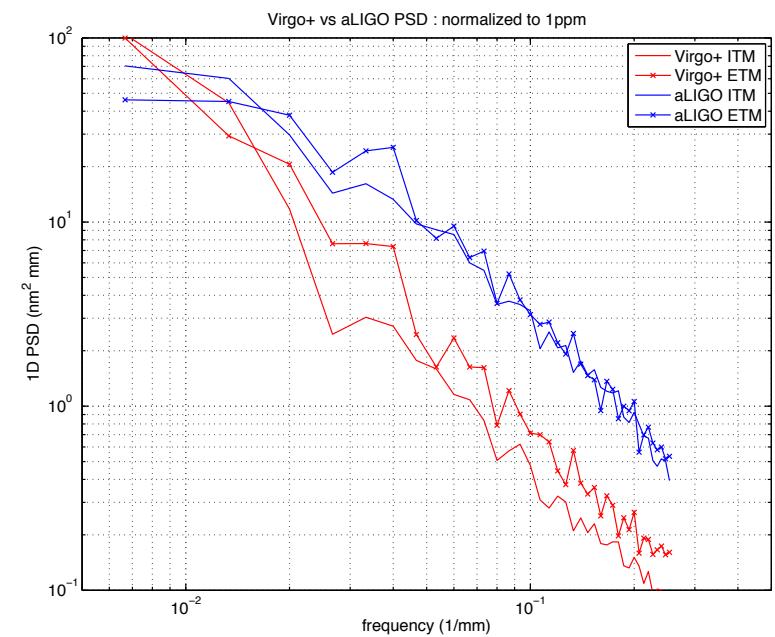
- Coating two mirrors at the same time allows minimizing the interferometer asymmetry
  - ◆ Masking technique needed
  - ◆  $\Delta R/R < 0.25\%$  on  $\varnothing 120$  mm for Virgo+ input mirrors
- Coating uniformity becomes an issue
  - ◆ Masking technique becomes critical
- Best result so far
  - ◆ Good reflectivity asymmetry:  $\Delta R/R \sim 1\%$  on  $\varnothing 160$  mm
  - ◆ Good absorption: 0.3 ppm
  - ◆ But insufficient uniformity:  
 $\sim 1.6$  nm rms, 11 nm p-p
- Losses in the cavities would be too high
  - ◆ 100 - 200 ppm



# aLIGO optics, Virgo+ optics and coating



RMS is deceiving, careful to understand what it represents

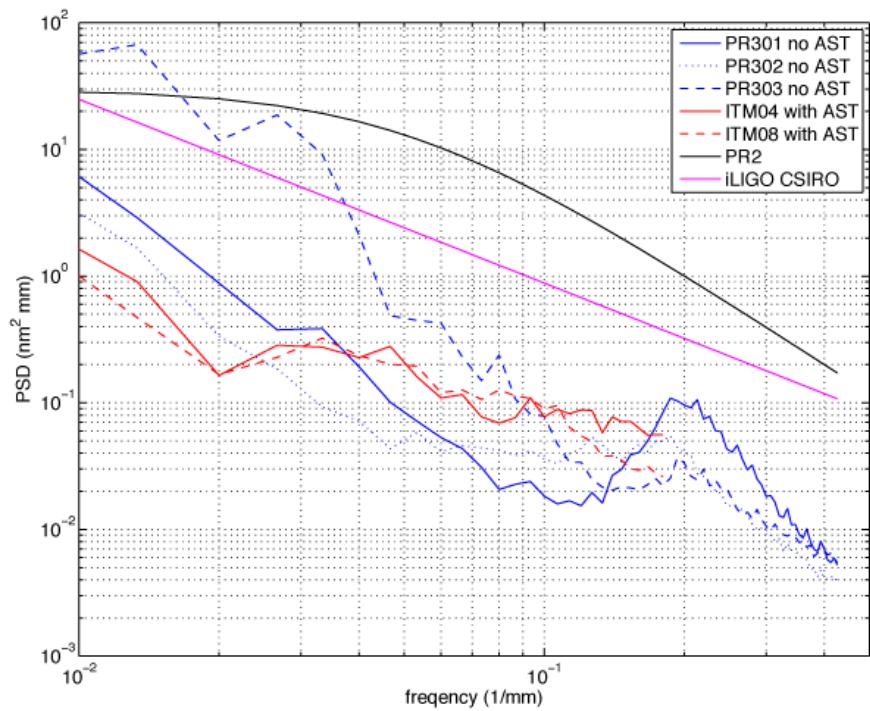
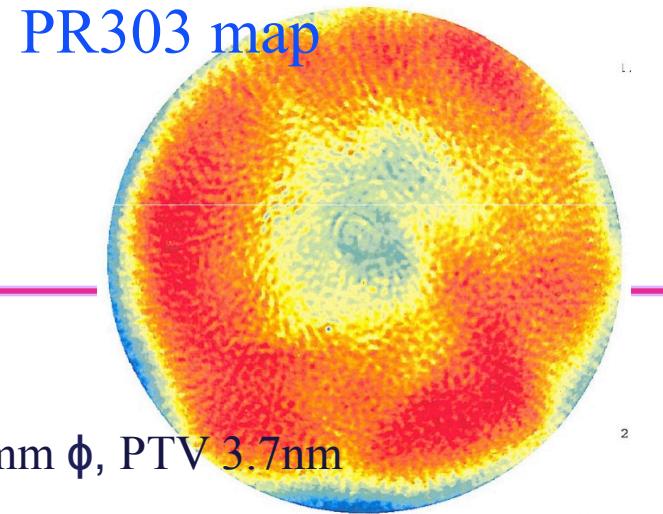


# PR3 qualities

PR3	Loss of P00 on BS (ppm)	Z(2,2) amp
PR301 w/ast	167	~1nm
PR301 wo/ast	95	
PR302 w/ast	35	~1pm
PR302 wo/ast	0	
PR303 w/ast	676	~1nm
PR303 wo/ast	576	



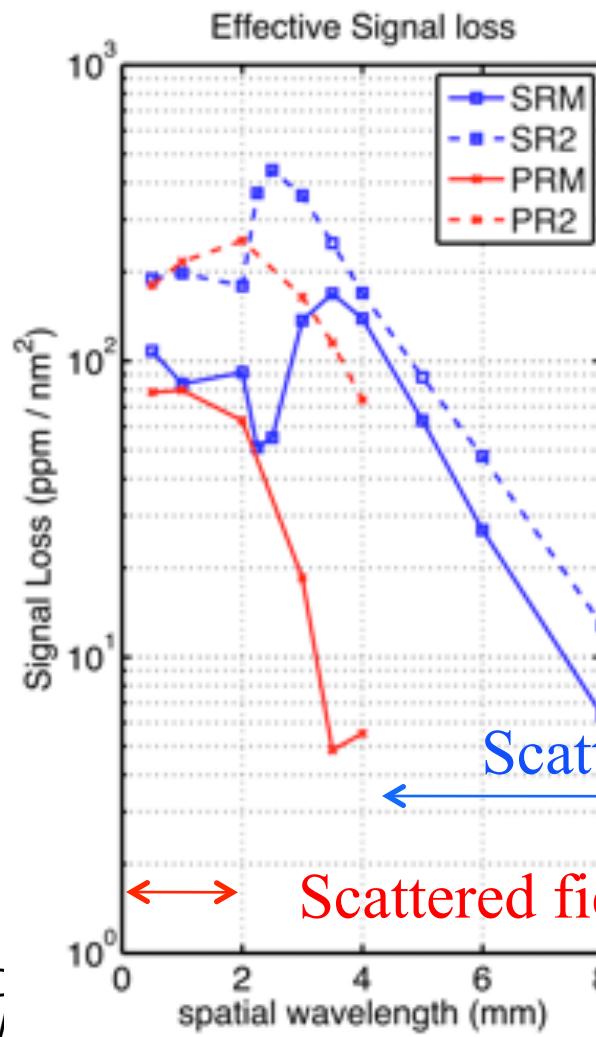
repolished



# RM2

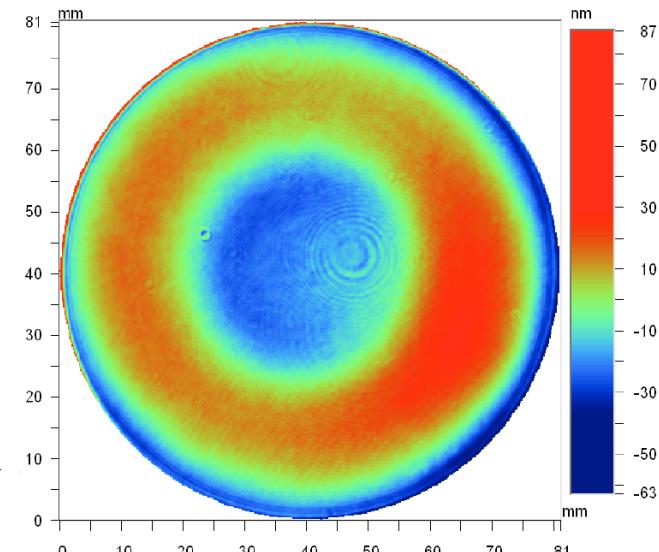
## ROC(PRM2:-4.56m,SRM2:-6.43m)

Loss calculated using SIS



- Loss by SRM2-4

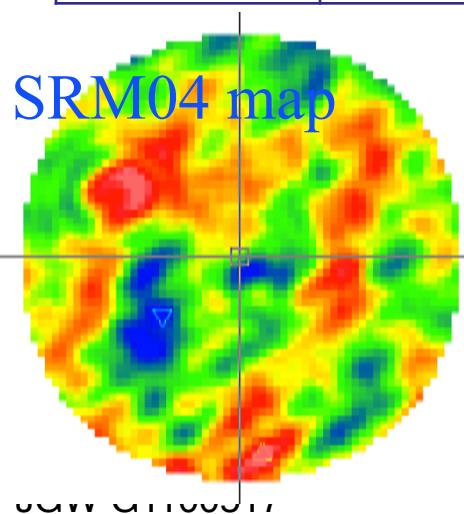
- » 3000ppm by SIS
- » 800ppm by this loss function



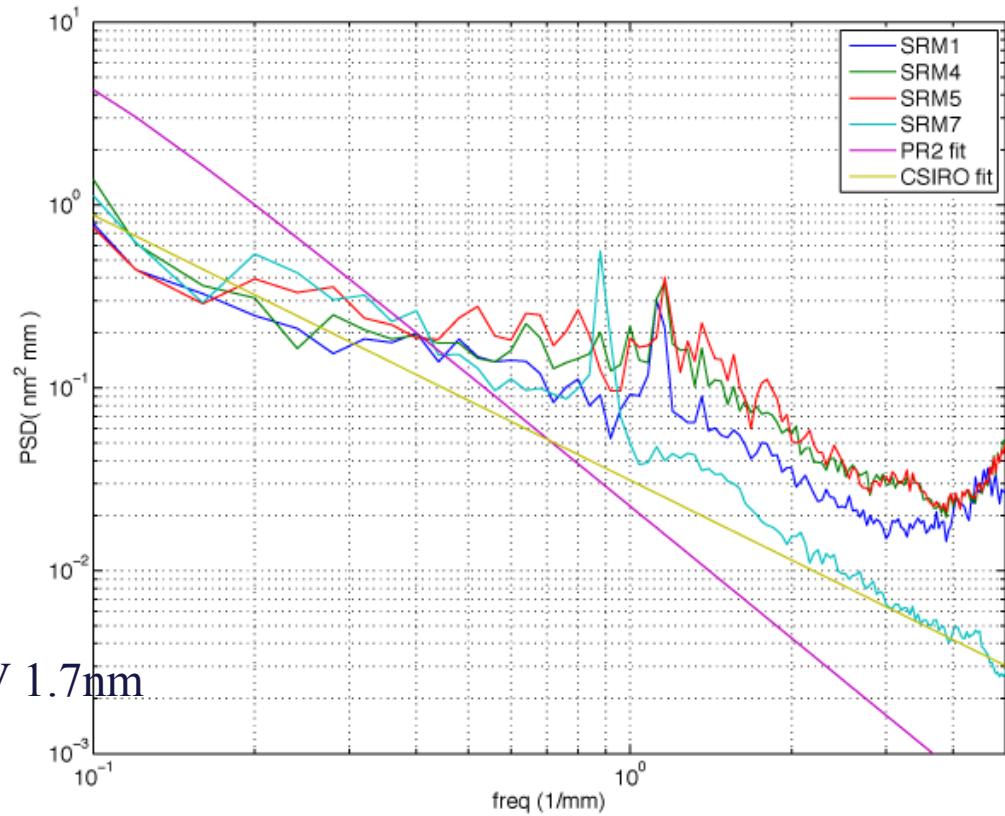
# SRM

ROC(PRM:-11.0m,SRM:-5.67m)

SRM	Loss of CR (ppm)
01	650
04	1060
05	860
07	320



30mm  $\phi$ , PTV 1.7nm



1mm pattern real or not?



# LIGO SIS : Stationary Interferometer Simulation

## Motivation and usage

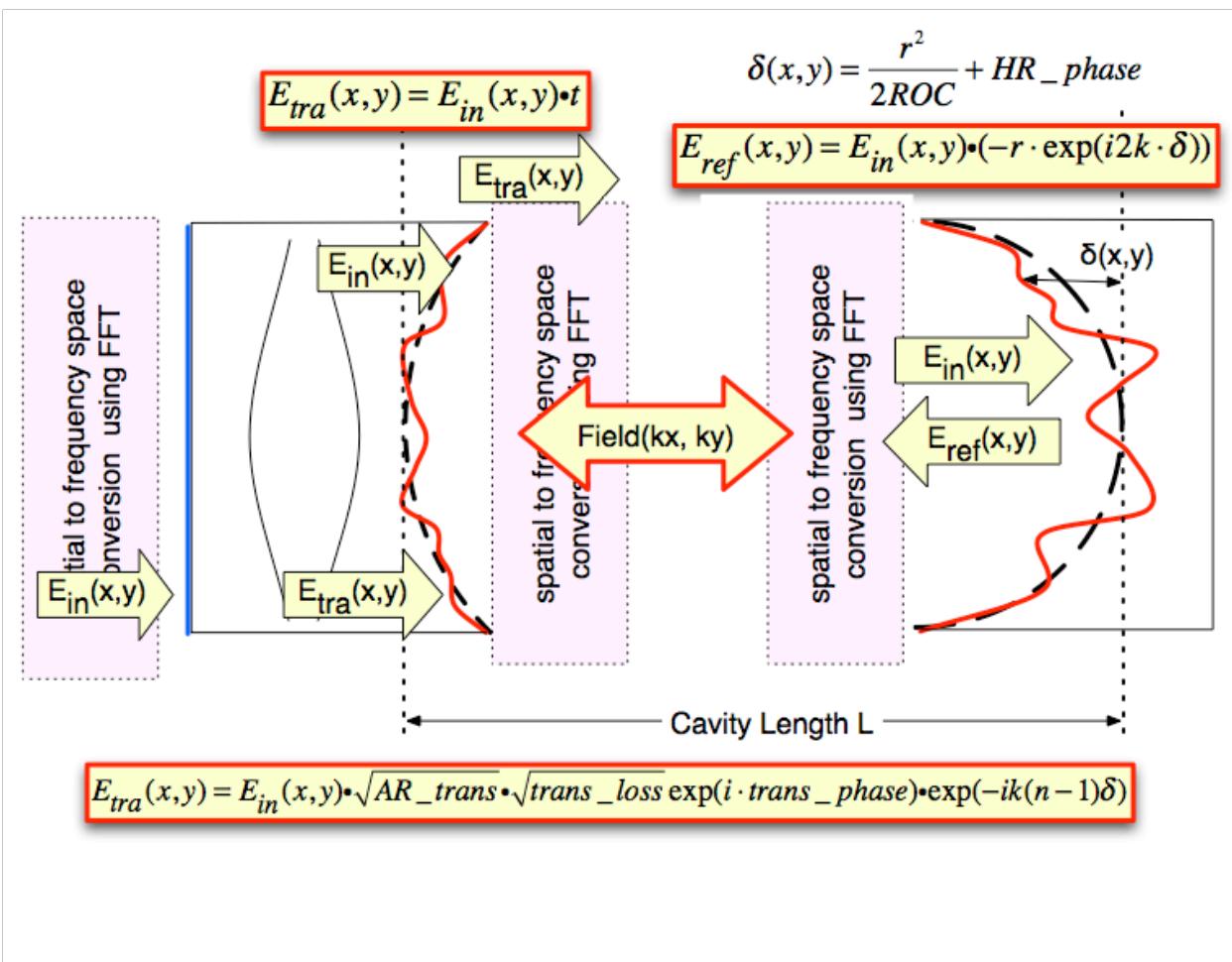
- aLIGO design tool
- Interferometer configuration trade study
  - » Demonstrated that the stable configuration is more immune to imperfection
- Effect of finite size optics
  - » RM3&BS, flat, wedge angle, baffle, etc
  - » Changed from symmetric arm design (6cm) to slightly asymmetric (5.3cm)
- Tolerance of radius of curvature of COC mirrors
- Surface aberration requirements and delivery check
  - » Test mass and recycling cavity mirrors
- Thermal lensing and surface deformation
  - » Quantitative estimation of diffractive loss and mode mismatching
- Parametric instability



# SIS basics

- 
- SIS as interferometer simulator
    - » FFT-based field calculation written in C++
    - » Details of optics can be easily included in the simulation
    - » FP and coupled cavity with BS
    - » Under construction : Full aLIGO dual recycled IFO
    - » Signal sideband generation
  - SIS as analysis tool
    - » Mode expansion to understand the basic process
    - » Random surface specified by analytic form or by real surface map
      - Looping for statistical analysis
    - » Thermal lensing : Hello-Vinet
    - » Calculation tools : PSD, zernike, etc
    - » Telescope to guide large beam to small detector

# Simulating details of optics



- Specify details
  - » Size, aberration, etc
- Basic interaction
  - » Transmission and reflection
- Propagation
- Repeat until stationary
- Repeat until lock
- User interface
- Enough physics
- Speed

$$E_{tra}(x,y) = E_{in}(x,y) \cdot \sqrt{AR\_trans} \cdot \sqrt{trans\_loss} \exp(i \cdot trans\_phase) \cdot \exp(-ik(n-1)\delta)$$



# SIS User Interface

```
SIS>      lock          calcField      signalGen      timeTrace      telescope
SIS>      dell          modeAmp       saveField      mirrorInfo    storeMap
SIS>      summary       simSpec       loadSimSpec   runSpec      help
SIS>      exit
SIS>
SIS> Select 1 item(s)
SIS> Type "name" to choose item(s)  >> ?
SIS>
SIS>      lock          : Lock the cavity
SIS>      calcField     : Calculate stationary field
SIS>      signalGen    : Generate audio signal by sinusoidal motion of mirrors
SIS>      timeTrace     : Move mirror and save field evolution
SIS>      telescope      : calculate telescope outputs
SIS>      dell          : Print and set the cavity length
SIS>      modeAmp       : Decompose a field by LG or HG
SIS>      saveField      : Save field in a file
SIS>      mirrorInfo    : View mirror information
SIS>      storeMap       : Store mirror maps
SIS>      summary        : Print summary status
SIS>      simSpec        : Set simulation parameters
SIS>      loadSimSpec   : load simulation setup
SIS>      runSpec        : Set run conditions, like convergence criteria
SIS>      help           : main help
SIS>      exit           : Exit this process
```



# Sample script specifying a FP

```
% ITM Specification
ITM.aperture = 0.34
ITM.opt.ROC = 1934
    % non uniform transmittance
ITM.opt.T = ( 1.34787 + 7.685e-2*x - 34.827e-2*y ) * 1e-2
    % loadin data file, calculate 2D PSD, generate mirror maps like that PSD
ITM.opt.HR_phase = RANDOMMAP( 12345, 0, "ITM4_W60cm_N512.dat", "SELF", {0} )

% ETM specification
    % yaw angle rotation
ETM.mech.tY = PI * 1e-8
    % Generate mirror maps with 1D PSD of  $\frac{A}{(1+(B*f)^2)^{C/2}}$ 
Ali = 2.5 * 1e-21; Bli = 0.05; Cli = 2
ETM.opt.HR_phase = RANDOM1D( 0, 0.3e-9, Ali, Bli, Cli, -5 )
    % calculate stationary state fields when ETM surface is oscillating
ETM.oscillation.amplitude = DATAFILE("DrumModeMap.dat",-3)

% Cavity specification
FPcav.L0 = 3994.5

% input beam specification
inputBeam.beamType = "HG"; inputBeam.index1 = 0; inputBeam.index2 = 0
    % input beam parameters calculated automatically to best match to cavity
inputBeam.waistSize = 0; inputBeam.waistPosition = 0
```



# Conclusion

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- aLIGO is much more complex than iLIGO
- Not all are understood and predictable
- Understanding past observations and using modeling tool can take off a piece of uncertainty to make the life a little bit easier
- Modeling can give us some precaution so that we can save a few “OUCH”
- SIS is
  - » Free for LIGO, €4000+ for Virgo
  - » Endorsed by professors about its value and ease of use
  - » Supported only on Macintosh.